## 113 Election Campaign and Lobbyist Reporting

Functional Group ID= ${CL}$ 

#### **Introduction:**

This Draft Standard for Trial Use contains the format and establishes the data contents of the Election Campaign and Lobbyist Reporting Transaction Set (113) for use within the context of an Electronic Data Interchange (EDI) environment. This Bi-directional transaction set can be used by candidates, candidate committees, party committees, political action groups, lobbyists and other entities to exchange disclosure data.

#### **Notes:**

- 1. Recipient Committees, including Candidates, Officeholders, and their Controlled Committees; Ballot Measure Committees; Primarily Formed Candidate/Officeholder Committees; and General Purpose Committees in the state of California can use this transaction set to submit California form 460 (Recipient Committee Campaign Statement) and associated schedules. It also can be used to submit California 1994 form 490, Schedule A-1 (Attachment to Schedule A for Reporting Transfers to a Special Election Committee), California 1994 form 495 (Supplemental Pre-election Campaign Statement), California 1994 form 465 (Supplemental Independent Expenditure Report), and California form 497 (Late Contribution Report) dated 8/99. If this IC is used to transmit a form 460, table 1 and the applicable illustrated HL loops, 2/HL/0100 through 2/HL/0115 (Schedule A-1 should be submitted as part of form 460), MUST be transmitted (see note 10 below for an explanation of an illustrated HL loop). If this IC is used to submit a form 495, table 1 and the illustrated HL loop 2/HL/0116 MUST be transmitted. If this IC is used to submit a form 465, table 1 and illustrated HL loop 2/HL/0117 Must be transmitted. If this IC is used to submit a form 497, table 1 and illustrated HL loop 2/HL/018 Must be transmitted.
- 2. This IC can be used to amend previously submitted data. The amended data is a total replacement of the form and ALL associated schedules.
- 3. Throughout this implementation convention, reference is made to segments and data elements within this transaction set. Segments are identified in the following manner 1/NM1/0700. The first number identifies the table that contains the segment. The 2 or 3 characters between the slash marks identify the segment (in the example, NM1 identifies the Individual or Organizational Name segment). The last 4 numbers identify the position of the segment within the table. Thus, 1/NM1/0700 identifies the Individual or Organizational Name segment in table 1 at position 0700.
- 4. A combination of the segment identifier and the position of the data element within the segment, e.g., BGN06 identify data elements within segments. BGN identifies the Beginning Segment and 06 identifies data element 127 which is the sixth data element within the BGN segment.
- 5. Composite data elements are identified in a manner similar to data elements, e.g., AWD01-04. AWD identifies the Amount with Description segment; 01 identifies composite data element C007, which is the first data element within the segment; and -04 identifies sub data element 935, which is the fourth sub data element within the composite data element C007.
- 6. This transaction set contains segments and data elements that by the X12 standard are mandatory. A mandatory segment is identified by an "M" in the Requirement Designator field within the transaction set table and by the word "MANDATORY" in the Usage row on the segment page. Data elements that are mandatory by the X12 standard are identified by an "M" in the first column under the ATTRIBUTES on the segment page. Mandatory segments MUST be present in all transmissions of this transaction set. Mandatory data elements MUST be present in all mandatory segments and in those optional segments that are used in the transaction set.
- 7. This transaction set also contains segments and data elements whose uses are optional by the X12 standard. These segments and data elements are identified in a similar manner as are the mandatory segments and data elements except an "O" is displayed in lieu of an "M" and the word "OPTIONAL" is used in place of "MANDATORY". Optional segments and data elements may be used at the discretion of the originator of the

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transaction set except when the words "MUST USE" are present. In this instance, the segment or data element MUST be present in the occurrence of the illustrated HL loop in which the annotation appears.

- 8. This transaction set contains segments and data elements that are optional by the X12 standard but are REQUIRED by this implementation convention under specified conditions. In this instance the word "REQUIRED" will appear in a note associated with the segment or data element and the condition requiring the use of the segment or data element will be specified in this note. Use of the segment, data element or code are REQUIRED whenever the specified condition is present.
- 9. This transaction set contains a HL loop (which includes all segments 2/HL/0100 through 2/MTX/3500) in table 2. This HL loop is used to transmit data applicable to a form or schedule and to categorize that data.
- 10. Within this 113 transaction set there is only one HL loop identified in table 2, however, this loop may be repeated an infinite number of times. For ease of understanding this implementation convention, the data required by each form or schedule is identified in a separate illustrated HL loop. The illustrated HL loops identify the segments, data elements and codes that are to be used to provide the data required by the form or schedule. The illustrated HL loops can be identified by their position numbers within the transaction set. The HL loop within this 113 transaction set starts at position 2/0100 and ends at position 2/3500. Each segment in the HL loop is at a position that is incremented by 100 from the HL segment, e.g., the second segment in the HL loop is at position 2/0200. Each of the segments in the illustrated loops is incremented by 1 from the segments in the basic HL loop, e.g., 2/0101 through 2/3501, 2/0102 through 2/3502, etc. Notes that appear in these illustrative loops will refer to segments by their ACTUAL positions within the X12 transaction set, NOT by their illustrated positions.
- 11. Each HL loop that starts a form or schedule MUST cite code RP (Report) in HL03. There may be other HL loops within a form or schedule. Each of these loops will cite a code other than RP in HL03. Each code listed in HL03 may be used ONLY one time in each HL loop that transmits data for a form or schedule. All HL loops MUST be numbered consecutively in HL01 throughout the entire transaction set.
- 12. Codes listed in data elements are the only codes that may be used in that data element.
- 13. Instructions regarding the use of this transaction set to comply with the reporting requirements of the California Secretary of State's office will appear as italicized, bolded, shaded text. Any other text that appears within this transaction set is part of the X12 standard.
- 14. Segment syntax and semantic notes are part of the X12 standard and MUST be complied with.
- 15. This IC accommodates all the instructions for completing a form or schedule.
- 16. This implementation convention uses the term "form" to also refer to schedules.

## **Heading:**

<b>Page</b> <u><b>No.</b></u> 19	Pos. <u>No.</u> 0100	Seg. <u>ID</u> ST	Name Transaction Set Header	Req. <u>Des.</u> M	Max.Use	Loop <u>Repeat</u>	Notes and Comments
20	0200	BGN	Beginning Segment	M	1		
Not Used	0300	N9	Reference Identification	O	>1		
Not Used	0400	DTM	Date/Time Reference	O	>1		
Not Used	0500	LQ	Industry Code	O	>1		n1
Not Used	0600	MTX	Text	O	>1		
			LOOP ID - NM1			>1	
22	0700	NM1	Individual or Organizational Name	O	1		n2
24	0800	N2	Additional Name Information	O	2		
Not Used	0900	N3	Address Information	O	2		
Not Used	1000	N4	Geographic Location	O	1		
Not Used	1100	PER	Administrative Communications Contact	O	>1		
Not Used	1200	N9	Reference Identification	O	>1		

			LOOP ID - AWD			>1	
Not Used	1300	AWD	Amount with Description	О	1	n3	
Not Used	1400	LQ	Industry Code	O	>1	n4	
Not Used	1500	MTX	Text	O	>1		

## **Detail:**

Page <u>No.</u>	Pos. No.	Seg. <u>ID</u>	<u>Name</u> LOOP ID - HL	Req. <u>Des.</u>	Max.Use	Loop Repeat	Notes and Comments
25	0100	HL	Hierarchical Level	O	1	>1	n5
23	0100	пL	LOOP ID - N9	0	1	>1	115
27	0200	N9	Reference Identification	O	1	>1	
29	0300	DTM	Date/Time Reference	0	>1		
Not Used	0400	NX2	Location ID Component	0	>1		
30	0500	LQ	Industry Code	0	>1		n6
31	0600	MTX	Text	0	>1		110
31	0000	WIIX	·		<i>&gt;</i> 1		
			LOOP ID - NM1			>1	
32	0700	NM1	Individual or Organizational Name	0	1		n7
36	0800	N2	Additional Name Information	0	2		
37	0900	N3	Address Information	0	2		
38	1000	N4	Geographic Location	О	1		
39	1100	PER	Administrative Communications Contact	O	>1		
40	1200	N9	Reference Identification	O	>1		
42	1300	DTM	Date/Time Reference	O	>1		
Not Used	1400	NX2	Location ID Component	O	>1		
Not Used	1500	EMS	Employment Position	О	>1		
Not Used	1600	TPB	Business Professional Title	О	>1		
Not Used	1700	PWK	Paperwork	O	>1		
Not Used	1800	PAM	Period Amount	O	>1		
Not Used	1900	G86	Signature	O	1		
Not Used	2000	MTX	Text	O	>1		
			LOOP ID - LQ			>1	
43	2100	LQ	Industry Code	О	1		
45	2200	N9	Reference Identification	O	>1		
Not Used	2300	DTM	Date/Time Reference	O	>1		
Not Used	2400	PCT	Percent Amounts	O	>1		
Not Used	2500	QTY	Quantity	O	>1		
47	2600	MTX	Text	O	>1		
			LOOP ID - AWD			>1	
Not Used	2700	AWD	Amount with Description	O	1		
Not Used	2800	DTM	Date/Time Reference	O	>1		
Not Used	2900	PCT	Percent Amounts	O	>1		
Not Used	3000	QTY	Quantity	O	>1		
Not Used	3100	N9	Reference Identification	O	>1		
Not Used	3200	PDL	Payment Details	O	>1		
Not Used	3300	LQ	Industry Code	O	>1		n8
Not Used	3400	N1	Name	O	>1		n9
Not Used	3500	MTX	Text	O	>1		
			LOOP ID - HL			>1	
48	0101	HL	Hierarchical Level	0	1	71	
+0	0101	IIL	meraremear Lever	J	1		

			LOOP ID - N9			>1
50	0201	N9	Reference Identification	О	1	
Not Used	0301	DTM	Date/Time Reference	О	>1	
Not Used	0401	NX2	Location ID Component	О	>1	
Not Used	0501	LQ	Industry Code	О	>1	
52	0601	MTX	Text	О	>1	
			LOOP ID - NM1			>1
53	0701	NM1	Individual or Organizational Name	0	1	>1
Not Used	0801	N2	Additional Name Information	0	2	
Not Used	0901	N3	Address Information	0	2	
Not Used	1001	N4	Geographic Location	0	1	
Not Used	1101	PER	Administrative Communications Contact	0	>1	
Not Used	1201	N9	Reference Identification	0	>1	
Not Used	1301	DTM	Date/Time Reference	0	>1	
Not Used	1401	NX2	Location ID Component	0	>1	
Not Used	1501	EMS	Employment Position	0	>1	
Not Used	1601	TPB	Business Professional Title	О	>1	
Not Used	1701	PWK	Paperwork	О	>1	
Not Used	1801	PAM	Period Amount	О	>1	
Not Used	1901	G86	Signature	О	1	
Not Used	2001	MTX	Text	О	>1	
			LOOP ID - LQ			>1
Not Used	2101	LQ	Industry Code	O	1	
Not Used	2201	N9	Reference Identification	O	>1	
Not Used	2301	DTM	Date/Time Reference	О	>1	
Not Used	2401	PCT	Percent Amounts	O	>1	
Not Used	2501	QTY	Quantity	O	>1	
Not Used	2601	MTX	Text	О	>1	
			LOOP ID - AWD			>1
55	2701	AWD	Amount with Description	0	1	71
59	2801	DTM	Date/Time Reference	0	>1	
Not Used	2901	PCT	Percent Amounts	0	>1	
Not Used	3001	QTY	Quantity	0	>1	
Not Used	3101	N9	Reference Identification	0	>1	
Not Used	3201	PDL	Payment Details	0	>1	
Not Used	3301	LQ	Industry Code	0	>1	
Not Used	3401	N1	Name	0	>1	
60	3501	MTX	Text	О	>1	
			LOOP ID - HL			>1
61	0102	HL	Hierarchical Level	О	1	
			LOOP ID - N9			>1
63	0202	N9	Reference Identification	О	1	
Not Used	0302	DTM	Date/Time Reference	О	>1	
Not Used	0402	NX2	Location ID Component	O	>1	
Not Used	0502	LQ	Industry Code	O	>1	
65	0602	MTX	Text	O	>1	
			LOOP ID - NM1			>1
66	0702	NM1	Individual or Organizational Name	0	1	>1
69	0802	NM1 N2	Additional Name Information	0	2	
70 <b>113CA46</b>	0902	N3	Address Information	О	2	   Sentember 6 1999

71	1002	N4	Geographic Location	O	1	
Not Used	1102	PER	Administrative Communications Contact	O	>1	
72	1202	N9	Reference Identification	O	>1	
Not Used	1302	DTM	Date/Time Reference	O	>1	
Not Used	1402	NX2	Location ID Component	O	>1	
74	1502	EMS	Employment Position	O	>1	
Not Used	1602	TPB	Business Professional Title	O	>1	
Not Used	1702	PWK	Paperwork	O	>1	
Not Used	1802	PAM	Period Amount	O	>1	
Not Used	1902	G86	Signature	O	1	
Not Used	2002	MTX	Text	O	>1	
			LOOP ID - LQ			>1
Not Used	2102	LQ	Industry Code	0	1	
Not Used	2202	N9	Reference Identification	O	>1	
Not Used	2302	DTM	Date/Time Reference	O	>1	
Not Used	2402	PCT	Percent Amounts	O	>1	
Not Used	2502	QTY	Quantity	0	>1	
Not Used	2602	MTX	Text	0	>1	
1100 0000	2002	1,111				
	2502		LOOP ID - AWD			>1
75	2702	AWD	Amount with Description	0	1	
77	2802	DTM	Date/Time Reference	0	>1	
Not Used	2902	PCT	Percent Amounts	О	>1	
Not Used	3002	QTY	Quantity	О	>1	
78	3102	N9	Reference Identification	О	>1	
Not Used	3202	PDL	Payment Details	О	>1	
Mot Hood	2202	10	Industry Code	O	>1	
Not Used	3302	LQ	Industry Code	O		
Not Used	3402	N1	Name	0	>1	
Not Used	3402	N1	Name	O	>1	>1
Not Used	3402	N1	Name Text	O	>1	>1
Not Used 80	3402 3502	N1 MTX	Name Text LOOP ID - HL	0	>1 >1	>1 >1
Not Used 80	3402 3502	N1 MTX	Name Text LOOP ID - HL Hierarchical Level	0	>1 >1	
Not Used 80 81	3402 3502 0103	N1 MTX HL	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9	0 0	>1 >1	
Not Used 80 81	3402 3502 0103 0203	N1 MTX HL N9	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification	0 0	>1 >1 1	
Not Used 80 81 83 Not Used	3402 3502 0103 0203 0303	N1 MTX HL N9 DTM	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference	0 0 0	>1 >1 1 1 >1	
Not Used 80 81 83 Not Used Not Used	3402 3502 0103 0203 0303 0403	N1 MTX HL N9 DTM NX2	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component	0 0 0	>1 >1 1 1 >1 >1	
Not Used 80 81 83 Not Used Not Used Not Used	3402 3502 0103 0203 0303 0403 0503	N1 MTX HL N9 DTM NX2 LQ	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code	0 0 0 0 0 0	>1 >1 1 1 >1 >1 >1 >1	
Not Used 80 81 83 Not Used Not Used Not Used	3402 3502 0103 0203 0303 0403 0503	NI MTX HL N9 DTM NX2 LQ MTX	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1	0 0 0 0 0 0	>1 >1 1 1 >1 >1 >1 >1	>1
Not Used 80 81 83 Not Used Not Used Not Used 85	3402 3502 0103 0203 0303 0403 0503 0603	N1 MTX HL N9 DTM NX2 LQ	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name	0 0 0 0 0 0 0	>1 >1 1 -1 >1 >1 >1 >1	>1
Not Used 80 81 83 Not Used Not Used Not Used 85	3402 3502 0103 0203 0303 0403 0503 0603	N1 MTX HL N9 DTM NX2 LQ MTX NM1 N2	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1	0 0 0 0 0 0 0	>1 >1 1 -1 >1 >1 >1 >1	>1
Not Used 80 81 83 Not Used Not Used Not Used 85 86 88	3402 3502 0103 0203 0303 0403 0503 0603 0703 0803 0903	N1 MTX HL N9 DTM NX2 LQ MTX NM1 N2 N3	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information	0 0 0 0 0 0 0	>1 >1 1 >1 >1 >1 >1 >1 >1 >1	>1
Not Used 80 81 83 Not Used Not Used Not Used 85	3402 3502 0103 0203 0303 0403 0503 0603 0703 0803 0903 1003	N1 MTX HL N9 DTM NX2 LQ MTX NM1 N2 N3 N4	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location	0 0 0 0 0 0 0 0	>1 >1 1 >1 >1 >1 >1 >1 >1 2 2 2	>1
Not Used 80 81 83 Not Used Not Used Not Used 85 86 88 89 90 Not Used	3402 3502 0103 0203 0303 0403 0503 0603 0703 0803 0903 1003 1103	N1 MTX HL N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact	0 0 0 0 0 0 0 0	>1 >1 1 >1 >1 >1 >1 >1 >1 >1 >1 >1	>1
Not Used 80 81 83 Not Used Not Used Not Used 85 86 88 89 90 Not Used 91	3402 3502 0103 0203 0303 0403 0503 0603 0703 0803 0903 1003 1103 1203	N1 MTX HL N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification	0 0 0 0 0 0 0 0	>1 >1 1 1 >1 >1 >1 >1 >1 >1 >1 >1 >1	>1
Not Used 80 81 83 Not Used Not Used 85 86 88 89 90 Not Used 91 Not Used	3402 3502 0103 0203 0303 0403 0503 0603 0703 0803 0903 1103 1203 1303	N1 MTX  HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NMI Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference		>1 >1 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1	>1
Not Used 80 81 83 Not Used Not Used Not Used 85 86 88 89 90 Not Used 91 Not Used Not Used	3402 3502 0103 0203 0303 0403 0503 0603 0703 0803 1003 1103 1203 1303 1403	N1 MTX HL N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component		>1 1 1 >1 >1 >1 >1 >1 >1 >1 >1	>1
Not Used 80 81 83 Not Used Not Used Not Used 85 86 88 89 90 Not Used 91 Not Used Not Used 93	3402 3502 0103 0203 0303 0403 0503 0603 0703 0803 1003 1103 1203 1303 1403 1503	N1 MTX HL N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position		>1	>1
Not Used 80 81 83 Not Used Not Used 85 86 88 89 90 Not Used 91 Not Used Not Used 93 Not Used	3402 3502 0103 0203 0303 0403 0503 0603 0703 0803 1003 1103 1203 1403 1503 1603	N1 MTX  HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB	Name Text  LOOP ID - HL Hierarchical Level  LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NMI Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title		>1 >1 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1	>1
Not Used 80 81 83 Not Used Not Used Not Used 85 86 88 89 90 Not Used 91 Not Used Not Used 93 Not Used Not Used	3402 3502 0103 0203 0303 0403 0503 0603 0703 0803 1003 1103 1203 1303 1403 1503 1603 1703	NI MTX  HL  N9 DTM NX2 LQ MTX  NMI N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork		>1 >1 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1	>1
Not Used 80 81 83 Not Used Not Used 85 86 88 89 90 Not Used 91 Not Used Not Used 93 Not Used	3402 3502 0103 0203 0303 0403 0503 0603 0703 0803 1003 1103 1203 1403 1503 1603	N1 MTX  HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB	Name Text  LOOP ID - HL Hierarchical Level  LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NMI Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title		>1 >1 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1	>1

Not Used	2003	MTX	Text	O	>1	
			LOOP ID - LQ			>1
Not Used	2103	LQ	Industry Code	О	1	
Not Used	2203	N9	Reference Identification	O	>1	
Not Used	2303	DTM	Date/Time Reference	О	>1	
Not Used	2403	PCT	Percent Amounts	O	>1	
Not Used	2503	QTY	Quantity	0	>1	
Not Used	2603	MTX	Text	O	>1	
			LOOP ID - AWD			>1
94	2703	AWD	Amount with Description	0	1	, i
96	2803	DTM	Date/Time Reference	0	>1	
Not Used	2903	PCT	Percent Amounts	0	>1	
Not Used	3003	QTY	Quantity	0	>1	
97	3103	N9	Reference Identification	0	>1	
Not Used	3203	PDL	Payment Details	0	>1	
99	3303	LQ	Industry Code	0	>1	
Not Used	3403	N1	Name	0	>1	
100	3503	MTX	Text	0	>1	
100	3303	WIIA			>1	
			LOOP ID - HL			>1
101	0104	HL	Hierarchical Level	О	1	
			LOOP ID - N9			>1
103	0204	N9	Reference Identification	О	1	
Not Used	0304	DTM	Date/Time Reference	O	>1	
Not Used	0404	NX2	Location ID Component	O	>1	
Not Used	0504	LQ	Industry Code	O	>1	
105	0604	MTX	Text	О	>1	
			LOOP ID - NM1			>1
106	0704	NM1	Individual or Organizational Name	0	1	
109	0804	N2	Additional Name Information	O	2	
110	0904	N3	Address Information	O	2	
111	1004	N4	Geographic Location	O	1	
Not Used	1104	PER	Administrative Communications Contact	O	>1	
112	1204	N9	Reference Identification	O	>1	
Not Used	1304	DTM	D-4-/Tim- D-6			
Not Used		DIM	Date/Time Reference	O	>1	
Not Oscu	1404	NX2	Location ID Component	0 0	>1 >1	
114						
	1404	NX2	Location ID Component	О	>1	
114	1404 1504	NX2 EMS	Location ID Component Employment Position	O O	>1 >1	
114 Not Used	1404 1504 1604	NX2 EMS TPB	Location ID Component Employment Position Business Professional Title	0 0 0	>1 >1 >1	
114 Not Used Not Used	1404 1504 1604 1704	NX2 EMS TPB PWK	Location ID Component Employment Position Business Professional Title Paperwork	0 0 0	>1 >1 >1 >1 >1	
Not Used Not Used Not Used	1404 1504 1604 1704 1804	NX2 EMS TPB PWK PAM	Location ID Component Employment Position Business Professional Title Paperwork Period Amount	0 0 0 0	>1 >1 >1 >1 >1 >1	
Not Used Not Used Not Used Not Used	1404 1504 1604 1704 1804 1904	NX2 EMS TPB PWK PAM G86	Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature	0 0 0 0 0	>1 >1 >1 >1 >1 >1	>1
Not Used Not Used Not Used Not Used	1404 1504 1604 1704 1804 1904	NX2 EMS TPB PWK PAM G86	Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text	0 0 0 0 0	>1 >1 >1 >1 >1 >1	>1
Not Used Not Used Not Used Not Used Not Used	1404 1504 1604 1704 1804 1904 2004	NX2 EMS TPB PWK PAM G86 MTX	Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text LOOP ID - LQ	0 0 0 0 0 0	>1 >1 >1 >1 >1 >1 1 >1	>l
Not Used Not Used Not Used Not Used Not Used Not Used	1404 1504 1604 1704 1804 1904 2004	NX2 EMS TPB PWK PAM G86 MTX	Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text LOOP ID - LQ Industry Code	0 0 0 0 0 0	>1 >1 >1 >1 >1 1 >1	>l
Not Used	1404 1504 1604 1704 1804 1904 2004	NX2 EMS TPB PWK PAM G86 MTX	Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text LOOP ID - LQ Industry Code Reference Identification	0 0 0 0 0 0 0	>1 >1 >1 >1 >1 1 >1	>1
Not Used	1404 1504 1604 1704 1804 1904 2004 2104 2204 2304	NX2 EMS TPB PWK PAM G86 MTX LQ N9	Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text LOOP ID - LQ Industry Code Reference Identification Date/Time Reference	0 0 0 0 0 0 0	>1 >1 >1 >1 >1 1 >1 >1	>1
Not Used	1404 1504 1604 1704 1804 1904 2004 2104 2204 2304 2404	NX2 EMS TPB PWK PAM G86 MTX  LQ N9 DTM PCT	Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text LOOP ID - LQ Industry Code Reference Identification Date/Time Reference Percent Amounts	0 0 0 0 0 0 0	>1 >1 >1 >1 >1 1 >1 >1 >1 >1	>1
Not Used	1404 1504 1604 1704 1804 1904 2004 2104 2204 2304 2404 2504	NX2 EMS TPB PWK PAM G86 MTX  LQ N9 DTM PCT QTY	Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text LOOP ID - LQ Industry Code Reference Identification Date/Time Reference Percent Amounts Quantity Text	0 0 0 0 0 0 0	>1 >1 >1 >1 >1 1 >1 >1 >1 >1 >1	
Not Used	1404 1504 1604 1704 1804 1904 2004 2104 2204 2304 2404 2504	NX2 EMS TPB PWK PAM G86 MTX  LQ N9 DTM PCT QTY	Location ID Component Employment Position Business Professional Title Paperwork Period Amount Signature Text LOOP ID - LQ Industry Code Reference Identification Date/Time Reference Percent Amounts Quantity	0 0 0 0 0 0 0	>1 >1 >1 >1 >1 1 >1 >1 >1 >1 >1	>l >l

118	2804	DTM	Date/Time Reference	О	>1	
119	2904	PCT	Percent Amounts	O	>1	
Not Used	3004	QTY	Quantity	O	>1	
120	3104	N9	Reference Identification	O	>1	
Not Used	3204	PDL	Payment Details	О	>1	
Not Used	3304	LQ	Industry Code	О	>1	
Not Used	3404	N1	Name	О	>1	
122	3504	MTX	Text	О	>1	
			LOOP ID - HL			>1
123	0105	HL	Hierarchical Level	0	1	
			LOOP ID - N9			>1
125	0205	N9	Reference Identification	0	1	
Not Used	0305	DTM	Date/Time Reference	0	>1	
Not Used	0405	NX2	Location ID Component	0	>1	
Not Used	0505	LQ	Industry Code	0	>1	
127	0605	MTX	Text	0	>1	
120	0705	ND 41	LOOP ID - NM1	0	1	>1
128	0705	NM1	Individual or Organizational Name	0	1	
130	0805	N2	Additional Name Information	0	2	
Not Used	0905	N3	Address Information	0	2	
Not Used	1005	N4	Geographic Location	0	1	
Not Used	1105	PER	Administrative Communications Contact	0	>1	
Not Used	1205	N9	Reference Identification	0	>1	
Not Used	1305	DTM	Date/Time Reference	0	>1	
Not Used	1405	NX2	Location ID Component	0	>1	
Not Used	1505	EMS	Employment Position	0	>1	
Not Used	1605	TPB	Business Professional Title	0	>1	
Not Used	1705	PWK	Paperwork	0	>1	
Not Used	1805	PAM	Period Amount	0	>1	
Not Used	1905	G86	Signature	0	1	
Not Used	2005	MTX	Text	0	>1	,
	2105		LOOP ID - LQ			>1
Not Used	2105	LQ	Industry Code	0	1	
Not Used	2205	N9	Reference Identification	0	>1	
Not Used	2305	DTM	Date/Time Reference	0	>1	
Not Used	2405	PCT	Percent Amounts	0	>1	
Not Used	2505	QTY	Quantity	0	>1	
Not Used	2605	MTX	Text	О	>1	
			LOOP ID - AWD			>1
131	2705	AWD	Amount with Description	O	1	
133	2805	DTM	Date/Time Reference	O	>1	
134	2905	PCT	Percent Amounts	O	>1	
Not Used	3005	QTY	Quantity	O	>1	
135	3105	N9	Reference Identification	O	>1	
Not Used	3205	PDL	Payment Details	O	>1	
Not Used	3305	LQ	Industry Code	O	>1	
Not Used	3405	N1	Name	O	>1	
137	3505	MTX	Text	О	>1	
			LOOP ID - HL			>1
138	0106	HL	Hierarchical Level	О	1	
4400140			_			g

			LOOP ID - N9			>1
140	0206	N9	Reference Identification	O	1	
Not Used	0306	DTM	Date/Time Reference	O	>1	
Not Used	0406	NX2	Location ID Component	О	>1	
Not Used	0506	LQ	Industry Code	O	>1	
142	0606	MTX	Text	O	>1	
			LOOP ID - NM1			>1
143	0706	NM1	Individual or Organizational Name	0	1	>1
145	0806	N2	Additional Name Information	0	2	
Not Used	0906	N3	Address Information	0	2	
Not Used	1006	N4	Geographic Location	0	1	
Not Used	1106	PER	Administrative Communications Contact	0	>1	
Not Used	1206	N9	Reference Identification	0	>1	n10
Not Used	1306	DTM	Date/Time Reference	0	>1	1110
Not Used	1406	NX2	Location ID Component	0	>1	
Not Used	1506	EMS	Employment Position	0	>1	
Not Used	1606	TPB	Business Professional Title	0	>1	
Not Used	1706	PWK	Paperwork	0	>1	
Not Used	1806	PAM	Period Amount	0	>1	
Not Used	1906	G86	Signature	0	1	
Not Used	2006	MTX	Text	0	>1	
Not Osca	2000	WIIX	LOOP ID - LQ		<i>&gt;</i> 1	>1
Not Used	2106	LQ	Industry Code	0	1	>1
Not Used	2206	N9	Reference Identification	0	>1	
Not Used	2306	DTM	Date/Time Reference	0	>1	
Not Used	2406	PCT	Percent Amounts	0	>1	
Not Used	2506	QTY	Quantity	0	>1	
Not Used	2606	MTX	Text	0	>1	
1100 0000	2000					. 1
146	2706	AWD	LOOP ID - AWD  Amount with Description	О	1	>1
148	2806	DTM	Date/Time Reference	0	>1	
Not Used Not Used	2906 3006	PCT QTY	Percent Amounts Quantity	0	>1 >1	
149	3106	N9	Reference Identification	0	>1	
Not Used	3206	PDL	Payment Details	0	>1	
Not Used	3306	LQ	Industry Code	0	>1	
Not Used	3406	N1	Name	0	>1	
151	3506	MTX	Text	0	>1	
131	3300	WIIA			<i>&gt;</i> 1	
			LOOP ID - HL			>1
152	0107	HL	Hierarchical Level	О	1	
			LOOP ID - N9			>1
154	0207	N9	Reference Identification	0	1	
Not Used	0307	DTM	Date/Time Reference	0	>1	
Not Used	0407	NX2	Location ID Component	0	>1	
Not Used	0507	LQ	Industry Code	0	>1	
156	0607	MTX	Text	0	>1	
			LOOP ID - NM1			>1
157	0707	NM1	Individual or Organizational Name	0	1	
160	0807	N2	Additional Name Information	O	2	
161	0907	N3	Address Information	O	2	
113CA46	0 (00 40)	34)	0			Sentember 6 1999

162	1007	N4	Geographic Location	O	1	
Not Used	1107	PER	Administrative Communications Contact	О	>1	
163	1207	N9	Reference Identification	O	>1	
Not Used	1307	DTM	Date/Time Reference	O	>1	
Not Used	1407	NX2	Location ID Component	O	>1	
165	1507	EMS	Employment Position	O	>1	
Not Used	1607	TPB	Business Professional Title	O	>1	
Not Used	1707	PWK	Paperwork	O	>1	
Not Used	1807	PAM	Period Amount	O	>1	
Not Used	1907	G86	Signature	O	1	
Not Used	2007	MTX	Text	О	>1	
			LOOP ID - LQ			>1
Not Used	2107	LQ	Industry Code	О	1	
Not Used	2207	N9	Reference Identification	О	>1	
Not Used	2307	DTM	Date/Time Reference	O	>1	
Not Used	2407	PCT	Percent Amounts	O	>1	
Not Used	2507	QTY	Quantity	O	>1	
Not Used	2607	MTX	Text	О	>1	
			LOOP ID - AWD			>1
166	2707	AWD	Amount with Description	0	1	>1
168	2807	DTM	Date/Time Reference	0	>1	
Not Used		PCT				
	2907		Percent Amounts	0	>1	
Not Used	3007	QTY N9	Quantity  Defermed Identification	0	>1	
169	3107		Reference Identification	0	>1	
Not Used	3207	PDL	Payment Details	0	>1	
Not Used	3307	LQ	Industry Code	0	>1	
Not Used	3407	N1	Name	О	>1	
		_				
Not Used	3407	N1	Name	О	>1	>1
Not Used	3407	N1	Name Text LOOP ID - HL Hierarchical Level	О	>1	>1
Not Used 171	3407 3507	N1 MTX	Name Text LOOP ID - HL	0	>1 >1	>1 >1
Not Used 171	3407 3507	N1 MTX	Name Text LOOP ID - HL Hierarchical Level	0	>1 >1	
Not Used 171 172	3407 3507 0108	N1 MTX HL	Name Text LOOP ID - HL Hierarchical Level LOOP ID - N9	0 0	>1 >1	
Not Used 171 172 174	3407 3507 0108 0208	N1 MTX HL	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification	0 0	>1 >1 1	
Not Used 171 172 174 Not Used	3407 3507 0108 0208 0308	N1 MTX HL N9 DTM	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference	0 0 0	>1 >1 1 1 >1	
Not Used 171 172 174 Not Used Not Used	3407 3507 0108 0208 0308 0408	N1 MTX HL N9 DTM NX2	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component	0 0 0	>1 >1 1 1 >1 >1	
Not Used 171 172 174 Not Used Not Used Not Used	3407 3507 0108 0208 0308 0408 0508	N1 MTX HL N9 DTM NX2 LQ	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code	0 0 0 0 0 0	>1 >1 1 1 >1 >1 >1 >1	
Not Used 171 172 174 Not Used Not Used Not Used	3407 3507 0108 0208 0308 0408 0508	N1 MTX HL N9 DTM NX2 LQ	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1	0 0 0 0 0 0	>1 >1 1 1 >1 >1 >1 >1	>1
Not Used 171 172 174 Not Used Not Used Not Used 176	3407 3507 0108 0208 0308 0408 0508 0608	N1 MTX HL N9 DTM NX2 LQ MTX	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text	0 0 0 0 0 0 0	>1 >1 1 >1 >1 >1 >1 >1	>1
Not Used 171 172 174 Not Used Not Used Not Used 176	3407 3507 0108 0208 0308 0408 0508 0608	N1 MTX HL N9 DTM NX2 LQ MTX	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name	0 0 0 0 0 0 0	>1 >1 1 >1 >1 >1 >1 >1 >1	>1
Not Used 171  172  174  Not Used Not Used Not Used 176  177	3407 3507 0108 0208 0308 0408 0508 0608	N1 MTX HL N9 DTM NX2 LQ MTX NM1 N2 N3	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information	0 0 0 0 0 0 0 0	>1 >1 1 1 >1 >1 >1 >1 >1 >1	>1
Not Used 171  172  174  Not Used Not Used Not Used 176  177 179 180	3407 3507 0108 0208 0308 0408 0508 0608	N1 MTX HL N9 DTM NX2 LQ MTX	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information	0 0 0 0 0 0 0 0	>1 >1 1 1 >1 >1 >1 >1 >1 2	>1
Not Used 171  172  174  Not Used Not Used 176  177 179 180 181	3407 3507 0108 0208 0308 0408 0508 0608 0708 0808 0908 1008	N1 MTX HL N9 DTM NX2 LQ MTX NM1 N2 N3 N4	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location	0 0 0 0 0 0 0 0	>1 >1 1 -1 >1 >1 >1 >1 >1 2 2 1	>1
Not Used 171  172  174  Not Used Not Used 176  177 179 180 181  Not Used	3407 3507 0108 0208 0308 0408 0508 0608 0708 0808 0908 1008	N1 MTX HL N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact	0 0 0 0 0 0 0 0	>1 >1 1 1 >1 >1 >1 >1 >1 >1 >1 >1	>1
Not Used 171  172  174  Not Used Not Used 176  177  179  180  181  Not Used 182	3407 3507 0108 0208 0308 0408 0508 0608 0708 0808 0908 1008 1108 1208	NI MTX HL N9 DTM NX2 LQ MTX NM1 N2 N3 N4 PER N9	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference	0 0 0 0 0 0 0 0 0	>1 >1 1 1 >1 >1 >1 >1 >1 >1 >1 >1	>1
Not Used 171  172  174  Not Used Not Used 176  177  179  180  181  Not Used 182  Not Used	3407 3507 0108 0208 0308 0408 0508 0608 0708 0808 0908 1008 1108 1208	N1 MTX HL N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM	Name Text  LOOP ID - HL Hierarchical Level  LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component	0 0 0 0 0 0 0 0 0	>1 >1 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1	>1
Not Used 171  172  174  Not Used Not Used 176  177  179  180  181  Not Used 182  Not Used Not Used Not Used Not Used	3407 3507 0108 0208 0308 0408 0508 0608 0708 0808 0908 1108 1208 1308 1408	N1 MTX HL N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference	0 0 0 0 0 0 0 0 0 0 0 0	>1 >1 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1	>1
Not Used 171  172  174  Not Used Not Used 176  177  179  180  181  Not Used 182  Not Used Not Used	3407 3507 0108 0208 0308 0408 0508 0608 0708 0808 0908 1008 1108 1208 1308	N1 MTX HL N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title		>1 >1 1 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1	>1
Not Used 171  172  174  Not Used Not Used 176  177 179 180 181  Not Used 182  Not Used	3407 3507 0108 0208 0308 0408 0508 0608 0708 0808 1008 1108 1208 1308 1408 1508 1608	NI MTX HL N9 DTM NX2 LQ MTX NMI N2 N3 N4 PER N9 DTM NX2 EMS TPB	Name Text  LOOP ID - HL Hierarchical Level  LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork		>1 >1 1 1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1	>1
Not Used 171  172  174  Not Used Not Used 176  177 179 180 181  Not Used 182  Not Used	3407 3507 0108 0208 0308 0408 0508 0608 0708 0808 1008 1108 1208 1308 1408 1508	NI MTX HL N9 DTM NX2 LQ MTX NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title		>1	>1

Not Used	2008	MTX	Text	O	>1	
			LOOP ID - LQ			>1
184	2108	LQ	Industry Code	0	1	
185	2208	N9	Reference Identification	O	>1	
Not Used	2308	DTM	Date/Time Reference	O	>1	
Not Used	2408	PCT	Percent Amounts	О	>1	
Not Used	2508	QTY	Quantity	O	>1	
187	2608	MTX	Text	O	>1	
			LOOP ID - AWD			>1
188	2708	AWD	Amount with Description	О	1	
190	2808	DTM	Date/Time Reference	0	>1	
Not Used	2908	PCT	Percent Amounts	0	>1	
Not Used	3008	QTY	Quantity	0	>1	
191	3108	N9	Reference Identification	0	>1	
Not Used	3208	PDL	Payment Details	0	>1	
Not Used	3308	LQ	Industry Code	0	>1	
Not Used	3408	N1	Name	0	>1	
193	3508	MTX	Text	0	>1	
173	3300	WIIX			<i>&gt;</i> 1	
			LOOP ID - HL			>1
194	0109	HL	Hierarchical Level	О	1	
			LOOP ID - N9			>1
196	0209	N9	Reference Identification	О	1	
Not Used	0309	DTM	Date/Time Reference	О	>1	
Not Used	0409	NX2	Location ID Component	О	>1	
Not Used	0509	LQ	Industry Code	О	>1	
198	0609	MTX	Text	О	>1	
			LOOP ID - NM1			>1
199	0709	NM1	Individual or Organizational Name	0	1	
203	0809	N2	Additional Name Information	O	2	
204	0909	N3	Address Information	O	2	
205	1009	N4	Geographic Location	O	1	
Not Used	1109	PER	Administrative Communications Contact	O	>1	
206	1209	N9	Reference Identification	O	>1	
Not Used	1309	DTM	Date/Time Reference	O	>1	
Not Used	1409	NX2	Location ID Component	O	>1	
Not Used	1509	EMS	Employment Position	O	>1	
Not Used	1609	TPB	Business Professional Title	O	>1	
Not Used	1709	PWK	Paperwork	O	>1	
Not Used	1809	PAM	Period Amount	O	>1	
Not Used	1909	G86	Signature	O	1	
208	2009	MTX	Text	O	>1	
			LOOP ID - LQ			>1
Not Used	2109	LQ	Industry Code	0	1	
Not Used	2209	N9	Reference Identification	О	>1	
Not Used	2309	DTM	Date/Time Reference	О	>1	
Not Used	2409	PCT	Percent Amounts	О	>1	
Not Used		0.000.1				
	2509	QTY	Quantity	O	>1	
Not Used	2509 2609	MTX	Quantity Text	0	>1 >1	
		_	Text			>1
		_				>1

211	2809	DTM	Date/Time Reference	О	>1	11
Not Used	2909	PCT	Percent Amounts	0	>1	
Not Used	3009	QTY	Quantity	0	>1	
212	3109	N9	Reference Identification	0	>1	
Not Used	3209	PDL		0	>1	
			Payment Details			
214	3309	LQ N1	Industry Code	0	>1	
215	3409	N1	Name	0	>1	
217	3509	MTX	Text	О	>1	
			LOOP ID - HL			>1
218	0110	HL	Hierarchical Level	О	1	
			LOOP ID - N9			>1
220	0210	N9	Reference Identification	O	1	
Not Used	0310	DTM	Date/Time Reference	O	>1	
Not Used	0410	NX2	Location ID Component	O	>1	
Not Used	0510	LQ	Industry Code	O	>1	
222	0610	MTX	Text	O	>1	
			LOOP ID - NM1			>1
223	0710	NM1	Individual or Organizational Name	0	1	
225	0810	N2	Additional Name Information	O	2	
226	0910	N3	Address Information	O	2	
227	1010	N4	Geographic Location	O	1	
Not Used	1110	PER	Administrative Communications Contact	О	>1	
Not Used	1210	N9	Reference Identification	О	>1	
Not Used	1310	DTM	Date/Time Reference	О	>1	
Not Used	1410	NX2	Location ID Component	О	>1	
Not Used	1510	EMS	Employment Position	О	>1	
Not Used	1610	TPB	Business Professional Title	О	>1	
Not Used	1710	PWK	Paperwork	О	>1	
Not Used	1810	PAM	Period Amount	О	>1	
Not Used	1910	G86	Signature	О	1	
Not Used	2010	MTX	Text	О	>1	
			LOOP ID - LQ			>1
Not Used	2110	LQ	Industry Code	0	1	
Not Used	2210	N9	Reference Identification	О	>1	
Not Used	2310	DTM	Date/Time Reference	O	>1	
Not Used	2410	PCT	Percent Amounts	О	>1	
Not Used	2510	QTY	Quantity	O	>1	
Not Used	2610	MTX	Text	О	>1	
			LOOP ID - AWD			>1
228	2710	AWD	Amount with Description	0	1	>1
230	2810		Date/Time Reference	0	>1	
Not Used	2910	DTM PCT	Percent Amounts	0	>1	
Not Used Not Used	3010	QTY	Quantity Quantity	0	>1	
231	3110	N9	Reference Identification	0	>1	
Not Used	3210	PDL	Payment Details	0	>1	
233	3310	LQ	Industry Code	0	>1	
233			Name	0	>1	
	3410	N1 MTY				
236	3510	MTX	Text	0	>1	
			LOOP ID - HL			>1
238	0111	HL	Hierarchical Level	О	1	

			LOOP ID - N9			>1
240	0211	N9	Reference Identification	0	1	
Not Used	0311	DTM	Date/Time Reference	О	>1	
Not Used	0411	NX2	Location ID Component	O	>1	
Not Used	0511	LQ	Industry Code	O	>1	
242	0611	MTX	Text	O	>1	
			LOOP ID - NM1			>1
243	0711	NM1	Individual or Organizational Name	0	1	7.
245	0811	N2	Additional Name Information	0	2	
246	0911	N3	Address Information	О	2	
247	1011	N4	Geographic Location	О	1	
Not Used	1111	PER	Administrative Communications Contact	О	>1	
248	1211	N9	Reference Identification	O	>1	
Not Used	1311	DTM	Date/Time Reference	O	>1	
Not Used	1411	NX2	Location ID Component	O	>1	
Not Used	1511	EMS	Employment Position	О	>1	
Not Used	1611	TPB	Business Professional Title	О	>1	
Not Used	1711	PWK	Paperwork	O	>1	
Not Used	1811	PAM	Period Amount	O	>1	
Not Used	1911	G86	Signature	O	1	
Not Used	2011	MTX	Text	O	>1	
			LOOP ID - LQ			>1
Not Used	2111	LQ	Industry Code	О	1	
Not Used	2211	N9	Reference Identification	О	>1	
Not Used	2311	DTM	Date/Time Reference	O	>1	
Not Used	2411	PCT	Percent Amounts	О	>1	
Not Used	2511	QTY	Quantity	O	>1	
Not Used	2611	MTX	Text	О	>1	
			LOOP ID - AWD			>1
250	2711	AWD	Amount with Description	О	1	
Not Used	2811	DTM	Date/Time Reference	О	>1	
Not Used	2911	PCT	Percent Amounts	О	>1	
Not Used	3011	QTY	Quantity	О	>1	
252	3111	N9	Reference Identification	О	>1	
Not Used	3211	PDL	Payment Details	О	>1	
254	3311	LQ	Industry Code	0	>1	
255	3411	N1	Name	0	>1	
257	3511	MTX	Text	0	>1	
			LOOP ID - HL			>1
258	0112	HL	Hierarchical Level	О	1	
			LOOP ID - N9			>1
260	0212	N9	Reference Identification	0	1	
Not Used	0312	DTM	Date/Time Reference	О	>1	
Not Used	0412	NX2	Location ID Component	О	>1	
Not Used	0512	LQ	Industry Code	O	>1	
262	0612	MTX	Text	0	>1	
			LOOP ID - NM1			>1
263	0712	NM1	Individual or Organizational Name	O	1	
				_	•	
265 266	0812 0912	N2 N3	Additional Name Information  Address Information	0 0	2 2	

267	1012	N4	Geographic Location	O	1	
Not Used	1112	PER	Administrative Communications Contact	O	>1	
Not Used	1212	N9	Reference Identification	O	>1	
Not Used	1312	DTM	Date/Time Reference	0	>1	
Not Used	1412	NX2	Location ID Component	O	>1	
Not Used	1512	EMS	Employment Position	O	>1	
Not Used	1612	TPB	Business Professional Title	O	>1	
Not Used	1712	PWK	Paperwork	O	>1	
Not Used	1812	PAM	Period Amount	O	>1	
Not Used	1912	G86	Signature	0	1	
Not Used	2012	MTX	Text	0	>1	
			LOOP ID - LQ			>1
Not Used	2112	LQ	Industry Code	0	1	
Not Used	2212	N9	Reference Identification	0	>1	
Not Used	2312	DTM	Date/Time Reference	0	>1	
Not Used	2412	PCT	Percent Amounts	0	>1	
Not Used	2512	QTY	Quantity	0	>1	
Not Used	2612	MTX	Text	0	>1	
Not Osed	2012	WIIA			<i>&gt;</i> 1	
			LOOP ID - AWD			>1
268	2712	AWD	Amount with Description	О	1	
270	2812	DTM	Date/Time Reference	О	>1	
271	2912	PCT	Percent Amounts	O	>1	
Not Used	3012	QTY	Quantity	O	>1	
272	3112	N9	Reference Identification	O	>1	
Not Used	3212	PDL	Payment Details	O	>1	
Not Used	3312	LQ	Industry Code	O	>1	
Not Oscu	3312		,			
Not Used	3412	N1	Name	0	>1	
				0 0	>1 >1	
Not Used	3412	N1	Name			>1
Not Used	3412	N1	Name Text			>1
Not Used 274	3412 3512	N1 MTX	Name Text LOOP ID - HL	0	>1	>l >l
Not Used 274	3412 3512	N1 MTX	Name Text  LOOP ID - HL  Hierarchical Level	0	>1	
Not Used 274 275	3412 3512 0113	N1 MTX HL	Name Text  LOOP ID - HL  Hierarchical Level  LOOP ID - N9	0	>1	
Not Used 274 275 277	3412 3512 0113 0213	N1 MTX HL N9	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification	0 0	>l 1	
Not Used 274 275 277 Not Used	3412 3512 0113 0213 0313	NI MTX HL N9 DTM	Name Text  LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference	0 0 0	>l 1 1 >l	
Not Used 274 275 277 Not Used Not Used	3412 3512 0113 0213 0313 0413	N1 MTX HL N9 DTM NX2	Name Text  LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component	0 0 0 0 0	1 1 2 31 >1	
Not Used 274 275 277 Not Used Not Used Not Used	3412 3512 0113 0213 0313 0413 0513	N1 MTX HL N9 DTM NX2 LQ	Name Text  LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text	0 0 0 0 0	1 1 21 >1 >1 >1	>1
Not Used 274 275 277 Not Used Not Used Not Used 279	3412 3512 0113 0213 0313 0413 0513 0613	N1 MTX HL N9 DTM NX2 LQ MTX	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1	0 0 0 0 0 0	1 1 2l >l >l >l >l	
Not Used 274 275 277 Not Used Not Used Not Used 279	3412 3512 0113 0213 0313 0413 0513 0613	N1 MTX HL N9 DTM NX2 LQ MTX	Name Text  LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name	0 0 0 0 0 0	>1  1  1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >1 >	>1
Not Used 274 275 277 Not Used Not Used 279 280 283	3412 3512 0113 0213 0313 0413 0513 0613	N1 MTX HL N9 DTM NX2 LQ MTX	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information	0 0 0 0 0 0 0	>1  1  1 >1 >1 >1 >1 >1 >1 2	>1
Not Used 274 275 277 Not Used Not Used 279 280 283 284	3412 3512 0113 0213 0313 0413 0513 0613 0713 0813 0913	N1 MTX HL N9 DTM NX2 LQ MTX NM1 N2 N3	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information	0 0 0 0 0 0 0	>1  1  1 >1 >1 >1 >1 >1 >1 2 2	>1
Not Used 274 275 277 Not Used Not Used 279 280 283 284 285	3412 3512 0113 0213 0313 0413 0513 0613 0713 0813 0913 1013	N1 MTX HL N9 DTM NX2 LQ MTX NM1 N2 N3 N4	Name Text  LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification  Date/Time Reference  Location ID Component  Industry Code  Text  LOOP ID - NM1  Individual or Organizational Name  Additional Name Information  Address Information  Geographic Location	0 0 0 0 0 0 0	>1  1  1 >1 >1 >1 >1 >1 >1 2 2 1	>1
Not Used 274  275  277  Not Used Not Used Not Used 279  280 283 284 285  Not Used	3412 3512 0113 0213 0313 0413 0513 0613 0713 0813 0913 1013	NI MTX HL N9 DTM NX2 LQ MTX NM1 N2 N3 N4 PER	Name Text  LOOP ID - HL  Hierarchical Level  LOOP ID - N9  Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact	0 0 0 0 0 0 0	1  1  1  >1  >1  >1  >1  >1  >1  >1  >1	>1
Not Used 274  275  277  Not Used Not Used Not Used 279  280  283  284  285  Not Used 286	3412 3512 0113 0213 0313 0413 0513 0613 0713 0813 0913 1013 1113 1213	N1 MTX HL N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification	0 0 0 0 0 0 0 0	1  1  1  >1  >1  >1  >1  >1  >1  >1  >1	>1
Not Used 274  275  277  Not Used Not Used 279  280  283  284  285  Not Used 286  Not Used	3412 3512 0113 0213 0313 0413 0513 0613 0713 0813 0913 1013 1113 1213 1313	NI MTX HL N9 DTM NX2 LQ MTX NM1 N2 N3 N4 PER N9 DTM	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference	0 0 0 0 0 0 0 0	1  1  1  >1  >1  >1  >1  >1  >1  >1  >1	>1
Not Used 274  275  277  Not Used Not Used 279  280 283 284 285  Not Used 286  Not Used Not Used Not Used	3412 3512 0113 0213 0313 0413 0513 0613 0713 0813 1013 1113 1213 1313 1413	NI MTX HL N9 DTM NX2 LQ MTX NM1 N2 N3 N4 PER N9 DTM NX2	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component	0 0 0 0 0 0 0 0 0	1  1  1  >1  >1  >1  >1  >1  >1  >1  >1	>1
Not Used 274  275  277  Not Used Not Used Not Used 279  280 283 284 285  Not Used 286  Not Used Not Used Not Used Not Used	3412 3512 0113 0213 0313 0413 0513 0613 0713 0813 1013 1113 1213 1313 1413	N1 MTX  HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position		1  1  1  >1  >1  >1  >1  >1  >1  >1  >1	>1
Not Used 274  275  277  Not Used Not Used 279  280 283 284 285  Not Used 286  Not Used	3412 3512 0113 0213 0313 0413 0513 0613 0713 0813 1013 1113 1213 1313 1413 1513	NI MTX HL N9 DTM NX2 LQ MTX NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title		1  1  1  >1  >1  >1  >1  >1  >1  >1  >1	>1
Not Used 274  275  277  Not Used Not Used Not Used 279  280 283 284 285  Not Used 286  Not Used	3412 3512 0113 0213 0313 0413 0513 0613 0713 0813 1013 1113 1213 1313 1413 1513 1613 1713	NI MTX  HL  N9 DTM NX2 LQ MTX  NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB PWK	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork		1  1  1  >1  >1  >1  >1  >1  >1  >1  >1	>1
Not Used 274  275  277  Not Used Not Used 279  280 283 284 285  Not Used 286  Not Used	3412 3512 0113 0213 0313 0413 0513 0613 0713 0813 1013 1113 1213 1313 1413 1513	NI MTX HL N9 DTM NX2 LQ MTX NM1 N2 N3 N4 PER N9 DTM NX2 EMS TPB	Name Text  LOOP ID - HL Hierarchical Level LOOP ID - N9 Reference Identification Date/Time Reference Location ID Component Industry Code Text  LOOP ID - NM1 Individual or Organizational Name Additional Name Information Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title		1  1  1  >1  >1  >1  >1  >1  >1  >1  >1	>1

Not Used	2013	MTX	Text	О	>1		Î
			LOOP ID - LQ			>1	
Not Used	2113	LQ	Industry Code	О	1		
Not Used	2213	N9	Reference Identification	O	>1		
Not Used	2313	DTM	Date/Time Reference	O	>1		
Not Used	2413	PCT	Percent Amounts	O	>1		
Not Used	2513	QTY	Quantity	O	>1		
Not Used	2613	MTX	Text	О	>1		
			LOOP ID - AWD			>1	
288	2713	AWD	Amount with Description	О	1		
290	2813	DTM	Date/Time Reference	О	>1		
291	2913	PCT	Percent Amounts	О	>1		
Not Used	3013	QTY	Quantity	О	>1		
292	3113	N9	Reference Identification	О	>1		
Not Used	3213	PDL	Payment Details	О	>1		
Not Used	3313	LQ	Industry Code	О	>1		
Not Used	3413	N1	Name	О	>1		
294	3513	MTX	Text	0	>1		
			LOOP ID - HL			>1	
295	0114	HL	Hierarchical Level	0	1	>1	
293	0114	пL	LOOP ID - N9	0	1	>1	
297	0214	N9	Reference Identification	0	1	>1	
Not Used	0314	DTM	Date/Time Reference	0	>1		
Not Used	0414	NX2		0	>1		
	0514		Location ID Component				
Not Used 299	0614	LQ MTX	Industry Code Text	O O	>1 >1		
299	0014	WIIA			<i>&gt;</i> 1		
			LOOP ID - NM1			>1	
300	0714	NM1	Individual or Organizational Name	О	1		
302	0814	N2	Additional Name Information	0	2		
Not Used	0914	N3	Address Information	О	2		
Not Used	1014	N4	Geographic Location	О	1		
Not Used	1114	PER	Administrative Communications Contact	О	>1		
Not Used	1214	N9	Reference Identification	О	>1	n11	
Not Used	1314	DTM	Date/Time Reference	О	>1		
Not Used	1414	NX2	Location ID Component	О	>1		
Not Used	1514	EMS	Employment Position	О	>1		
Not Used	1614	TPB	Business Professional Title	О	>1		
Not Used	1714	PWK	Paperwork	О	>1		
Not Used	1814	PAM	Period Amount	O	>1		
Not Used	1914	G86	Signature	O	1		
Not Used	2014	MTX	Text	0	>1		
			LOOP ID - LQ			>1	
Not Used	2114	LQ	Industry Code	0	1	<u>-</u>	
Not Used	2214	N9	Reference Identification	O	>1		
Not Used	2314	DTM	Date/Time Reference	O	>1		
Not Used	2414	PCT	Percent Amounts	O	>1		
Not Used	2514	QTY	Quantity	O	>1		
Not Used	2614	MTX	Text	O	>1		
			LOOP ID - AWD			>1	
303	2714	AWD	Amount with Description	0	1		
							1 1

305	2814	DTM	Date/Time Reference	О	>1	11
Not Used	2914	PCT	Percent Amounts	0	>1	
Not Used	3014	QTY	Quantity	0	>1	
306	3114	N9	Reference Identification	0	>1	
Not Used	3214	PDL		0	>1	
			Payment Details			
Not Used	3314	LQ N1	Industry Code	0	>1	
Not Used	3414	N1	Name	0	>1	
308	3514	MTX	Text	0	>1	
			LOOP ID - HL			>1
309	0115	HL	Hierarchical Level	O	1	
			LOOP ID - N9			>1
311	0215	N9	Reference Identification	0	1	
Not Used	0315	DTM	Date/Time Reference	O	>1	
Not Used	0415	NX2	Location ID Component	O	>1	
Not Used	0515	LQ	Industry Code	O	>1	
313	0615	MTX	Text	O	>1	
			LOOP ID - NM1			>1
314	0715	NM1	Individual or Organizational Name	0	1	
316	0815	N2	Additional Name Information	О	2	
317	0915	N3	Address Information	0	2	
318	1015	N4	Geographic Location	0	1	
Not Used	1115	PER	Administrative Communications Contact	0	>1	
Not Used	1215	N9	Reference Identification	0	>1	
Not Used	1315	DTM	Date/Time Reference	0	>1	
Not Used	1415	NX2	Location ID Component	0	>1	
Not Used	1515	EMS	Employment Position	0	>1	
Not Used	1615	TPB	Business Professional Title	0	>1	
Not Used	1715	PWK	Paperwork	0	>1	
Not Used	1815	PAM	Period Amount	0	>1	
Not Used	1915	G86	Signature	0	1	
Not Used	2015	MTX	Text	0	>1	
Trot Caca	2015	141121	LOOP ID - LQ		<i>,</i> 1	>1
Not Used	2115	LQ	Industry Code	0	1	>1
Not Used	2215	N9	Reference Identification	0	>1	
Not Used	2315	DTM	Date/Time Reference	0	>1	
Not Used	2415	PCT	Percent Amounts	0	>1	
Not Used	2515	QTY	Quantity	0	>1	
Not Used	2615	MTX	Text	0	>1	
Not Osca	2013	WIIX	<u></u>		<i>&gt;</i> 1	
			LOOP ID - AWD			>1
319	2715	AWD	Amount with Description	О	1	
321	2815	DTM	Date/Time Reference	О	>1	
Not Used	2915	PCT	Percent Amounts	О	>1	
Not Used	3015	QTY	Quantity	О	>1	
322	3115	N9	Reference Identification	О	>1	
Not Used	3215	PDL	Payment Details	О	>1	
Not Used	3315	LQ	Industry Code	О	>1	
Not Used	3415	N1	Name	О	>1	
324	3515	MTX	Text	0	>1	
			LOOP ID - HL			>1
325	0116	HL	Hierarchical Level	О	1	

			LOOP ID - N9			>1
327	0216	N9	Reference Identification	О	1	
329	0316	DTM	Date/Time Reference	O	>1	
Not Used	0416	NX2	Location ID Component	O	>1	
330	0516	LQ	Industry Code	O	>1	
331	0616	MTX	Text	O	>1	
			LOOP ID - NM1			>1
332	0716	NM1	Individual or Organizational Name	O	1	
334	0816	N2	Additional Name Information	O	2	
335	0916	N3	Address Information	O	2	
336	1016	N4	Geographic Location	O	1	
337	1116	PER	Administrative Communications Contact	O	>1	
338	1216	N9	Reference Identification	O	>1	
340	1316	DTM	Date/Time Reference	O	>1	
341	1416	NX2	Location ID Component	O	>1	
Not Used	1516	EMS	Employment Position	O	>1	
Not Used	1616	TPB	Business Professional Title	O	>1	
Not Used	1716	PWK	Paperwork	O	>1	
Not Used	1816	PAM	Period Amount	O	>1	
Not Used	1916	G86	Signature	O	1	
Not Used	2016	MTX	Text	О	>1	
			LOOP ID - LQ			>1
342	2116	LQ	Industry Code	О	1	
Not Used	2216	N9	Reference Identification	O	>1	
Not Used	2316	DTM	Date/Time Reference	O	>1	
Not Used	2416	PCT	Percent Amounts	O	>1	
Not Used	2516	QTY	Quantity	O	>1	
Not Used	2616	MTX	Text	O	>1	
			LOOP ID - AWD			>1
343	2716	AWD	Amount with Description	О	1	
Not Used	2816	DTM	Date/Time Reference	O	>1	
Not Used	2916	PCT	Percent Amounts	O	>1	
Not Used	3016	QTY	Quantity	O	>1	
Not Used	3116	N9	Reference Identification	O	>1	
Not Used	3216	PDL	Payment Details	O	>1	
Not Used	3316	LQ	Industry Code	O	>1	
Not Used	3416	N1	Name	O	>1	
344	3516	MTX	Text	O	>1	
			LOOP ID - HL			>1
345	0117	HL	Hierarchical Level	О	1	
			LOOP ID - N9			>1
347	0217	N9	Reference Identification	O	1	
349	0317	DTM	Date/Time Reference	O	>1	
Not Used	0417	NX2	Location ID Component	O	>1	
Not Used	0517	LQ	Industry Code	0	>1	
350	0617	MTX	Text	O	>1	
			LOOP ID - NM1			>1
351	0717	NM1	Individual or Organizational Name	О	1	<b>&gt;1</b>
355	0817	N2	Additional Name Information	0	2	
356	0917	N3	Address Information	0	2	
330	0/1/	113	AGGICSS IIIOIIIIAUOII	J	2	

357	1017	N4	Geographic Location	O	1	
358	1117	PER	Administrative Communications Contact	О	>1	
359	1217	N9	Reference Identification	O	>1	
361	1317	DTM	Date/Time Reference	O	>1	
362	1417	NX2	Location ID Component	O	>1	
Not Used	1517	EMS	Employment Position	O	>1	
Not Used	1617	TPB	Business Professional Title	О	>1	
Not Used	1717	PWK	Paperwork	O	>1	
Not Used	1817	PAM	Period Amount	O	>1	
Not Used	1917	G86	Signature	O	1	
Not Used	2017	MTX	Text	O	>1	
			LOOP ID - LQ			>1
363	2117	LQ	Industry Code	O	1	
365	2217	N9	Reference Identification	O	>1	
Not Used	2317	DTM	Date/Time Reference	O	>1	
Not Used	2417	PCT	Percent Amounts	O	>1	
Not Used	2517	QTY	Quantity	O	>1	
367	2617	MTX	Text	O	>1	
			LOOP ID - AWD			>1
368	2717	AWD	Amount with Description	0	1	
370	2817	DTM	Date/Time Reference	0	>1	
Not Used	2917	PCT	Percent Amounts	O	>1	
Not Used	3017	QTY	Quantity	0	>1	
371	3117	N9	Reference Identification	0	>1	
Not Used	3217	PDL	Payment Details	0	>1	
Not Used	3317	LQ	Industry Code	0	>1	
Not Used	3417	N1	Name	0	>1	
373	3517	MTX	Text	0	>1	
						. 1
274	0110	111	LOOP ID - HL Hierarchical Level		1	>1
374	0118	HL		0	1	. 1
27.6	0210	NO	LOOP ID - N9 Reference Identification	0	1	>1
376	0218	N9		0	1	
378	0318	DTM	Date/Time Reference	0	>1	
Not Used	0418	NX2	Location ID Component	0	>1	
Not Used	0518	LQ MTX	Industry Code	O O	>1	
379	0618	WIIA	Text		>1	
			LOOP ID - NM1			>1
380	0718	NM1	Individual or Organizational Name	О	1	
383	0010	N2	Additional Name Information	O	2	
	0818					
384	0918	N3	Address Information	O	2	
384 385	0918 1018	N3 N4	Address Information Geographic Location	0 0		
384	0918	N3 N4 PER	Address Information Geographic Location Administrative Communications Contact		2	
384 385 386 387	0918 1018 1118 1218	N3 N4 PER N9	Address Information Geographic Location Administrative Communications Contact Reference Identification	0 0 0	2 1 >1 >1	
384 385 386 387 Not Used	0918 1018 1118 1218 1318	N3 N4 PER N9 DTM	Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference	0 0	2 1 >1	
384 385 386 387 Not Used Not Used	0918 1018 1118 1218	N3 N4 PER N9	Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component	0 0 0	2 1 >1 >1	
384 385 386 387 Not Used Not Used 389	0918 1018 1118 1218 1318	N3 N4 PER N9 DTM NX2 EMS	Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference	0 0 0	2 1 >1 >1 >1	
384 385 386 387 Not Used Not Used 389 Not Used	0918 1018 1118 1218 1318 1418	N3 N4 PER N9 DTM NX2 EMS TPB	Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component	0 0 0 0	2 1 >1 >1 >1 >1 >1	
384 385 386 387 Not Used Not Used 389 Not Used Not Used	0918 1018 1118 1218 1318 1418 1518 1618 1718	N3 N4 PER N9 DTM NX2 EMS TPB PWK	Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title Paperwork	0 0 0 0 0	2 1 >1 >1 >1 >1 >1	
384 385 386 387 Not Used Not Used 389 Not Used	0918 1018 1118 1218 1318 1418 1518 1618	N3 N4 PER N9 DTM NX2 EMS TPB	Address Information Geographic Location Administrative Communications Contact Reference Identification Date/Time Reference Location ID Component Employment Position Business Professional Title	0 0 0 0 0 0	2 1 >1 >1 >1 >1 >1 >1 >1	

			LOOP ID - LQ			>1
390	2118	LQ	Industry Code	O	1	
391	2218	N9	Reference Identification	O	>1	
Not Used	2318	DTM	Date/Time Reference	O	>1	
Not Used	2418	PCT	Percent Amounts	O	>1	
Not Used	2518	QTY	Quantity	O	>1	
393	2618	MTX	Text	O	>1	
			LOOP ID - AWD			>1
394	2718	AWD	Amount with Description	O	1	
396	2818	DTM	Date/Time Reference	O	>1	
Not Used	2918	PCT	Percent Amounts	O	>1	
Not Used	3018	QTY	Quantity	O	>1	
397	3118	N9	Reference Identification	O	>1	
Not Used	3218	PDL	Payment Details	O	>1	
399	3318	LQ	Industry Code	O	>1	
400	3418	N1	Name	O	>1	
401	3518	MTX	Text	O	>1	
402	3600	SE	Transaction Set Trailer	M	1	<u> </u>

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#### **Transaction Set Notes**

Not Used 2018

MTX

Text

- 1. The LQ segment provides coded information related to a filing, such as, a notice of a late filing.
- 2. The NM1 segment provides name and address information regarding the sender and receiver of a filing.
- **3.** The AWD loop provides monetary amounts covering fees and related information associated with a late filing.
- **4.** The LQ segment provides coded information related to the monetary amounts carried in the AWD loop.
- 5. The HL loop divides a filing into sections such as basic report information, loans, contributions, receipts, expenditures, related parties and other information contained in a filing.
- **6.** The LQ segment provides coded information related to an individual report identified in the N9 segment.
- 7. The NM1 segment provides name, address and related information for reportable entities, such as filers, committees, committee officers, and contributors.
- **8.** The LQ segment provides coded information related to the monetary amount carried in the AWD segment as reported for the entity identified in the NM1 loop.
- **9.** The N1 segment provides the name of an entity who benefited from an expenditure made by a party identified in the NM1 segment.
- **10.** The AWD loop provides monetary amounts covering fees and related information associated with a late filing.

Segment: ST Transaction Set Header

**Position:** 0100

Loop:

Level: Heading Usage: Mandatory

Max Use: 1

**Purpose:** 

To indicate the start of a transaction set and to assign a control number

Syntax Notes: Semantic Notes:

- 1 The transaction set identifier (ST01) is used by the translation routines of the interchange partners to select the appropriate transaction set definition (e.g., 810 selects the Invoice Transaction Set).
- 2 The implementation convention reference (ST03) is used by the translation routines of the interchange partners to select the appropriate implementation convention to match the transaction set definition.

#### **Comments:**

	Ref. <u>Des.</u>	Data Element	Name	Attı	ributes		
M	ST01	143	Transaction Set Identifier Code	M	ID 3/3		
			Code uniquely identifying a Transaction Set				
			113 Election Campaign and Lobbyist Repor	ting			
M	ST02	329	Transaction Set Control Number	M	AN 4/9		
			Identifying control number that must be unique within the transaction functional group assigned by the originator for a transaction set				
			A unique number assigned by the submitter of the transaction set of application program. This same number is cited in SE02 of the 2/s segment.				
	ST03	1705	Implementation Convention Reference	O	AN 1/35		
			Reference assigned to identify Implementation Convention				
			Cite 113CA460				

BGN Beginning Segment **Segment: Position:** 0200 Loop: Level: Heading Usage: Mandatory Max Use: **Purpose:** To indicate the beginning of a transaction set If BGN05 is present, then BGN04 is required. **Syntax Notes: Semantic Notes:** BGN02 is the transaction set reference number. 2 BGN03 is the transaction set date. 3 BGN04 is the transaction set time. 4 BGN05 is the transaction set time qualifier. 5 BGN06 is the transaction set reference number of a previously sent transaction affected by the current transaction. **Comments: Notes:** This segment is used to provide basic information regarding the data contained within this transaction set. **Data Element Summary** Ref. Data Element Des. Name Attributes  $\mathbf{M}$ BGN01 353 **Transaction Set Purpose Code** M ID 2/2 Code identifying purpose of transaction set Use this data element to identify the purpose of the data contained within this transaction set. Only one of the listed codes may be used. 00 Original Use this code to indicate an original submission of the data contained within this transaction set. When this code is used, BGN07 is REQUIRED and MUST cite code EC. CO Corrected 1. Use this code to indicate that the data contained within this transaction set has been amended from a previous submission. The amended data is a total replacement of the data that was previously submitted (i.e., form 460 and all previously transmitted schedules, or form 495, or form 465, or form 497). 2. When this code is used, BGN06 is REQUIRED and MUST contain the unique number of the previously transmitted transaction set. 3. The unique number of the previously transmitted transaction set was carried in BGN02 of that transmission. M BGN02 127 **Reference Identification** M AN 1/50 Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier Use this data element to provide a unique number that can be used to identify this transmission in future communications between the submitter and receiver of this transaction set. This number is different than the

BGN04 337 Time X TM 4/8

Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-

Use this data element to identify the transmission date of this transaction set.

M DT 8/8

number that is contained in ST02.

Date expressed as CCYYMMDD

M

BGN03

373

Date

			59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)  This data element may be used to identify the time of the transmission.  Identify the time as local time in HHMM format.					
Not Used	BGN05	623	Time Code	O	ID 2/2			
	BGN06	127	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow  Reference Identification  O AN 1/50					
	201100	12,	Reference information as defined for a particular Transaction Set or as					
			specified by the Reference Identification Qualifier					
			Use this data element to identify the unique reference numeral transmitted 113 transaction set. The unique reference numeral set.					
			previously transmitted 113 transaction set was cited in BGN					
			transmission. This data element is REQUIRED when BGN					
	BGN07	640	Transaction Type Code	O	ID 2/2			
			Code specifying the type of transaction					
			Use this data element is REQUIRED when BGN01 is code	00 to	identify the			
			nature of the data contained within this transaction set.  EC Campaign Filing					
NI - 4 TI J	D.C.NIGO	206		0	ID 1/2			
Not Used	BGN08	306	Action Code	0	ID 1/2			
NT / TT   1	D CNIO	<b>=</b> 0.6	Code indicating type of action	0	TD 4/2			
Not Used	BGN09	<b>786</b>	Security Level Code	0	ID 2/2			
			Code indicating the level of confidentiality assigned by the s information following	ender	to the			

Segment: NM1 Individual or Organizational Name

**Position:** 0700

Loop: NM1 Optional

Level: Heading Usage: Optional ax Use: 1

Max Use: 1
Purpose: 7

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 1 NM102 qualifies NM103.

**Comments:** 1 NM110 and NM111 further define the type of entity in NM101.

Notes: Use this segment to identify the "from" and "to" entities of this submission.

	Dof	Doto	Data E	lement Summary		
M	Ref. <u>Des.</u> NM101	Data Element 98	<u>Name</u> Entity Identifie	er Code		ributes ID 2/3
			•	g an organizational entity, a physical loc	ation, pro	perty or an
			Use this segment elements.	nt to qualify the entity identified in the f	following	data
			FR	Message From		
				Use this code to indicate the entity	submittii	ng the data.
			TO	Message To		
				Use this code to indicate the entity data.	that is to	receive the
M	NM102	1065	Entity Type Q		M	ID 1/1
				g the type of entity		
				lement to identify the entity qualified in	NM101 a	s a person, a
				government entity.		• '
			1	Person		
				Use this code to indicate an indivi	dual.	
			6	Organization		
				Use this code to indicate a commit	ttee.	
			G	Government		
Must Use	NM103	1035		Organization Name	О	AN 1/60
				name or organizational name		
			1. Use this date	a element to provide the name of an ent	ity.	
			2 When NM11	02 is code 1 and the person has a monik	or (nickn	ame) use the
				nent to provide the moniker.	er (menn	ime), use inc
				02 is code 6 or G, and the name of the c		
				tity is greater than 60 characters, use th valance of the name.	e 1/N2/08	oo segment
	NM104	1036	Name First	aunce of the name.	0	AN 1/35
			Individual first	name		
	NM105	1037	Name Middle		O	AN 1/25
				lle name or initial		
	NM106	1038	Name Prefix		O	AN 1/10
			Prefix to individ	dual name		
	NM107	1039	Name Suffix		O	AN 1/10
			Suffix to individ	dual name		
Not Used	NM108	66	<b>Identification</b>	Code Qualifier	X	ID 1/2
			Code designation Code (67)	ng the system/method of code structure u	sed for Id	entification
113CA460 ((	004021)		• •	22	Sent	ember 6, 1999

Not Used	NM109	67	Identification Code	X	AN 2/80
			Code identifying a party or other code		
Not Used	NM110	706	Entity Relationship Code	X	ID 2/2
			Code describing entity relationship		
Not Used	NM111	98	Entity Identifier Code	O	ID 2/3
			Code identifying an organizational entity, a physical location individual	n, pro	perty or an

Segment: N2 Additional Name Information

Position: 0800

Loop: NM1 Optional

Level: Heading Usage: Optional

Max Use: 2

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

**Notes:** 

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 in the 1/NM1/0700 segment is code 6 or G, use this segment to provide the balance of a committee or government organization name that is greater than 60 characters.

	Ref.	Data	·		
	Des.	<b>Element</b>	<u>Name</u>	Attr	<u>ibutes</u>
M	N201	93	Name	M	AN 1/60
			Free-form name		
			1. When providing a moniker, insert a space after the monik numeric 1, 2, or 3.	ker a	nd cite the
			1 = moniker is before the first name		
			2 = moniker is between the first and middle name		
			3 = moniker is between the middle and last name		
	N202	93	Name	$\mathbf{o}$	AN 1/60
			Free-form name		

Segment: HL Hierarchical Level

**Position:** 0100

Loop: HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California Form 460, Recipient Committee Campaign Statement, Cover Page.

### **Data Element Summary**

			Data Ele	ment Summary				
	Ref.	Data						
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>		
M	HL01	628	Hierarchical ID	Number	M	AN 1/12		
			A unique number in a hierarchical s	assigned by the sender to identify a partructure	rticular da	ita segment		
			loop. It is recom	nent to provide a unique number that mended that the first HL loop be ident ent and that each successive HL loop b	ified by th	ie number 1		
Not Used	HL02	734	Hierarchical Par	ent ID Number	O	AN 1/12		
			Identification number of the next higher hierarchical data segment that the da segment being described is subordinate to					
M	HL03	735	Hierarchical Level Code		$\mathbf{M}$	ID 1/2		
			Code defining the	characteristic of a level in a hierarchic	al structu	re		
			1. This data elen	nent is used to identify the type of data	that is be	ring		
				n the current HL loop.				
			2. Each of the lis	sted codes MUST be used only one tim	e.			
			EB	Filer				
				1. Use this code to indicate that th	e data wh	ich		
				follows in this HL loop is applicab	le to the e	entity that		
				is submitting the data contained in	this form	1 460CP.		
				2. When this code is used, the 2/N	M1/0700	loop		
				MUST be used to identify the filer entities.		-		
			RP	Report				
			M	Report				

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1. Use this code to indicate that the data which follows in this HL loop is information applicable to the

form being submitted (e.g., form number, type of recipient committee, type of statement, period covered, and election date).

2. When this code is used, the 2/N9/0200 loop MUST be used to carry the associated information.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification

Position: 0200

**Loop:** N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Purpose: To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.
4 If either C04005 or C04006 is present, then the other is required.

Semantic Notes: 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: When HL03 in the 2/HL/0100 segment is code RP, use of this segment is REQUIRED

to identify the number of the form that is being submitted; the original or amended

filing identification number.

Data Element Summary							
	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>		_	<u>ributes</u>	
M	N901	128	Reference Identif	_	M	ID 2/3	
			Code qualifying the Reference Identification				
			FQ	Form Number			
				Use this code to indicate the number of	f the	form that	
				is being transmitted, i.e., F460CP.			
			O8	Original Filing			
				When BGN01 in the 1/BGN/0200 segn			
				00, use of this code is REQUIRED to i			
				original filing number. When this cod always cite 000 in N902. If the require			
				provided, the Secretary of State's office			
				filing of the amended form 460.		reject tite	
			OJ	Amendment Filing			
			When BGN01 in the 1/BGN/0200 segment is code CO,			is code CO,	
				use of this code is REQUIRED to indic			
			amendment number. When this code is used, the first				
				amended filing should cite 001. Subse			
				filings should increment the amended by 1, e.g., 002, 003, etc. If the required			
				provided, the Secretary of State's office			
				filing of the amended form 460.		reject tite	
	N902	127	Reference Identif		X	AN 1/50	
			Reference informa	ation as defined for a particular Transaction	ı Set	or as	
				eference Identification Qualifier			
Not Used	N903	369	Free-form Descri	iption	X	AN 1/45	
			Free-form descrip	tive text			
Not Used	N904	373	Date		O	<b>DT 8/8</b>	
			Date expressed as	CCYYMMDD			
Not Used	N905	337	Time		X	TM 4/8	
				24-hour clock time as follows: HHMM, o			
				HMMSSDD, where $H = hours (00-23)$ , $M$			
			, .	econds (00-59) and DD = decimal seconds;			
Not Used	N906	623	are expressed as for <b>Time Code</b>	ollows: $D = tenths (0-9)$ and $DD = hundred$	iths (( <b>O</b>	10-99) ID 2/2	
Mot Osed	11700	023		ha tima. In accordance with Intermeticanal S			
				the time. In accordance with International Stard 8601, time can be specified by a + or			
			0	n to Universal Time Coordinate (UTC) tim			
				(5 1 C) till	.,	••	

Not Used	N907 C040		restricted character, + and - are substituted by P and M in the <b>Reference Identifier</b>	code O	es that follow
			To identify one or more reference numbers or identification n specified by the Reference Qualifier	umb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as

Segment: **DTM** Date/Time Reference

Position: 0300

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

# **Semantic Notes:** Comments:

**Notes:** 

1. When N901 in the 2/N9/0200 segment is code FQ, use of this segment is REQUIRED to identify the reporting period for the data contained in form 460 and the date of the election (if applicable). If the required dates are not provided, the Secretary of State's office will reject the filing of this form 460.

2. When LQ02 in the 2/LQ/0500 segment cites code 01-SE, also use this segment to provide the date of the election.

Data Element Summary							
	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>	
M	DTM01	374	Date/Time Qualific	er	$\mathbf{M}$	ID 3/3	
			Code specifying typ	be of date or time, or both date and time			
			Use this data eleme	Use this data element to cite a code that qualifies the date id			
			<i>DTM02</i> .				
			193	Period Start			
				Use this code to indicate the ''from'' do reporting period.	ıte foi	r a	
			194	Period End			
				Use this code to indicate the "through" reporting period.	' date	e for a	
			840	Election			
				Use this code to indicate the date of an applicable.	elect	ion, if	
	DTM02	373	Date		X	DT 8/8	
			Date expressed as CCYYMMDD				
			Use this data eleme cited in DTM01.	nt to identify the date applicable to the q	ualifi	ier code	
Not Used	DTM03	337	Time		X	TM 4/8	
			HHMMSSD, or HH 59), S = integer seco are expressed as fol	24-hour clock time as follows: HHMM, or IMMSSDD, where H = hours (00-23), M onds (00-59) and DD = decimal seconds; lows: D = tenths (0-9) and DD = hundred	= mindecing ths (0	nutes (00- nal seconds 00-99)	
Not Used	DTM04	623	Time Code		O	ID 2/2	
NIA VIII J	DTMOS	1250	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow				
Not Used	DTM05	1250	Date Time Period	_	X	ID 2/3	
NI 4 TI 3	DEN 107	1051	•	date format, time format, or date and tim			
Not Used	DTM06	1251	Date Time Period		X	AN 1/35	
			Expression of a date, a time, or range of dates, times or dates and times				

Segment: LQ Industry Code

Position: 0500

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

Purpose: Code to transmit standard industry codes

Syntax Notes: 1 If LQ01 is present, then LQ02 is required.

**Semantic Notes:** Comments:

Notes: 1. When N901 in the 2/N9/0200 segment is code FQ, use of this segment is REQUIRED to identify the Type of Statement being filed by form 460. If a Type of Statement code is not provided, the Secretary of State's office will reject the filing of

this form 460.

2. The Type of Statement is identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.

3. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Ref.	Data					
Des.	Element	<u>Name</u>	Attı	<u>ributes</u>		
LQ01	1270	Code List Qualifier Code	0	ID 1/3		
		Code identifying a specific industry code list				
		Use this data element to identify the code list that contains in LQ02.	the co	ode specified		
		EAF California Campaign Disclosure Repo	rt Cod	es		
LQ02	1271	Industry Code	X	AN 1/30		
		Code indicating a code from a specific industry code list				
		Use this data element to specify a Type of Statement code. section 01 of the California Campaign Disclosure Code La		a code from		

Segment: MTX Text

Position: 0600

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code		ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	$\mathbf{X}$	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the receive	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

NM1 Individual or Organizational Name **Segment:** 

**Position:** 0700

> NM1 Loop: Optional (Must Use)

Level: Detail

Optional (Must Use) Usage:

Max Use:

**Purpose:** To supply the full name of an individual or organizational entity **Syntax Notes:** If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

**Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

NM110 and NM111 further define the type of entity in NM101.

1. When HL03 in the 2/HL/0100 segment is code EB, use this segment as many times as necessary to identify the entity filing form 460 and all entities associated with the filer.

2. Each entity identified in NM101 that is associated with another entity (e.g., a treasurer of a committee, the mailing address of the filer, or the candidate of a primarily formed committee, etc.), MUST be assigned a cross reference number in the 2/N9/1200 segment. The cross reference number will link entities therefore MUST be unique and the same for each group of related entities. Cite code 60 in N901 and provide the cross reference number in N902.

	D. Č	D-4-	Data Elem	ent Summary	
Ref.         Data           Des.         Element           M         NM101         98		Name Entity Identifier Code Code identifying an organizational entity, a physical location		Attributes M ID 2/3 , property or an	
			individual  Use this segment to elements.	qualify the entity identified in the follow	ving data
			31	Postal Mailing Address	
			EAA	<ol> <li>When NM101 is code FN, use this can mailing address, if applicable.</li> <li>When this code is used, NM102 sho and the 2/N9/1200 segment MUST be a cross reference number applicable to a cross reference number applicable to a cross reference number applicable.</li> </ol>	uld cite code 2 used to provide
			EAA	Assistant 1. When NM101 is code EBN, use this indicate an assistant treasurer, if applicate 2. When this code is used, NM102 sho the 2/N9/1200 MUST be used to provide reference number applicable to the entity following segments MUST be used, if the treasure is signing the form 460, to pronumber that represents the entity's signification statem 2/DTM/1300, and 2/LQ/2100.	cable.  uld cite code 1,  le a cross  ity, and the  he assistant  wide a PIN  nature, a date of
			EBH	Affiliated Committee	

1. When NM101 is code FN and LQ02 in the 2/LQ/2100 segment is code 02-C, use this code to

indicate other committees that are not included in this

statement.

2. When this code is used, NM102 should cite code 6, and the following MUST be used: NM108/109 to provide a state assigned committee identification number, the 2/N9/1200 segment to provide a cross

reference number applicable to the entity, and the 2/LQ/2100 segment to identify whether or not the committee is a controlled committee.

EBN Treasurer

- 1. When NM101 is code EBH or FN, use of this code is REQUIRED to indicate the treasure of a committee.
- 2. When this code is used, NM102 should cite code 1, and the 2/N9/1200 segment MUST be used to provide a cross reference number applicable to the entity. The following segments should be used if the treasurer is signing the form 460: 2/N9/1200 to provide a PIN number that represents the entity's signature, 2/DTM/1300 to provide a date of the signature, and 2/LQ/2100 to provide a verification statement. Filer Name
- 1. When HL03 in the 2/HL/0100 segment is code EB, use of this code is REQUIRED to indicate the entity submitting the data.
- 2. When this code is used, NM102 should cite code 6 and the following MUST be used: NM108/109 to provide the state assigned committee identification number, the 2/N9/1200 segment to provide a cross reference number applicable to the entity and to provide a Secretary of State assigned electronic filer identification number, and the 2/LQ/2100 segment to identify the type of committee.
- 3. When this code is used, additional iterations of this segment are necessary with NM101 citing one or more of the following codes: 31, EBH, EBN, VB, or ZZ.
- 4. When this code is used, another iteration of this segment may be required to provide the mailing address of the filer if different that the provided address.

UZ Signer

- 1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate the additional officeholders/candidates who control the committee and must sign the form 460.
- 2. When this code is used, NM102 should cite code 1, and the following segments MUST be used to provide a PIN number that represents the entity's signature, a date of the signature, and a verification statement: 2/N9/1200, 2/DTM/1300, and 2/LQ/2100.

Candidate

- 1. When NM101 is code FN and LQ02 in the 2/LQ/0500 segment cites code 02-C, use this code to indicate the officeholder or candidate.
- 2. When NM101 is code FN and LQ02 in the 2/LQ/2100 segment cites code 02-BMP, 02-BMC, or 02-BMS; use this code to indicate the officeholder, candidate or proponent of a state ballot measure.
- 3. When NM101 is code FN and LQ02 in the 2/LQ/2100 segment cites code 02-P, use this code to

FN

VB

indicate the candidate or officeholder for which the committee was formed.

2. When this code is used, NM102 should cite code 6 and the following MUST be used: the 2/N9/1200 segment to provide a cross reference number applicable to the entity and the 2/LQ/2100 segment should be used to identify the office sought/held and to identify the jurisdiction of the candidate. If applicable, whether the candidate/officeholder was supported or opposed also should be specified in the 2/LQ/2100 segment.

ZZ Mutually Defined

- 1. When NM101 is code FN and LQ02 in the 2/LQ/2100 segment cites code 02-BMP, 02-BMC, or 02-BMS; use this code to indicate the state ballot measure.
- 2. When this code is used, NM102 should cite code 2 and the following MUST be used: the 2/N9/1200 segment to provide a cross reference number applicable to the entity and to provide the ballot measure number or letter; the 2/LQ/2100 segment to identify the jurisdiction of the ballot measure and whether the ballot measure was supported or opposed

				wneiner ine vallot measure was supp	whether the ballot measure was supported or opposed.				
M	NM102	102 1065	Entity Type (	Qualifier	M	ID 1/1			
			Code qualifyir	ng the type of entity					
				element to identify the entity qualified in NA committee, or an entity other than a person Person					
			1	Use this code to indicate an individua	al.				
			2	Non-Person Entity					
				Use this code to indicate an entity of individual or committee.	er tha	n an			
			6	Organization					
				Use this code to indicate a committee	•				
Must Use	NM103	1035	Name Last or	· Organization Name	O	AN 1/60			
			Individual last name or organizational name						
			2/N2/0800 seg  3. When NM greater than 6 balance of the	102 is code 1 and the person has a moniker gment to provide the moniker.  102 is code 2 or 6 and the name of the entity to characters, use the 2/N2/0800 segment to a name.	or con provid	nmittee is le the			
	NM104	1036	2/N2/0800 seg  3. When NM greater than 6	gment to provide the moniker. 102 is code 2 or 6 and the name of the entity 60 characters, use the 2/N2/0800 segment to e name.	or coi	nmittee is			
	NM104 NM105	1036 1037	2/N2/0800 seg 3. When NM. greater than 6 balance of the Name First	gment to provide the moniker.  102 is code 2 or 6 and the name of the entity  50 characters, use the 2/N2/0800 segment to  c name.  t name	or con provid	nmittee is le the			
			3. When NM greater than 6 balance of the Name First Individual firs Name Middle	gment to provide the moniker.  102 is code 2 or 6 and the name of the entity  50 characters, use the 2/N2/0800 segment to  c name.  t name	or con provid	nmittee is le the AN 1/35			
			3. When NM greater than 6 balance of the Name First Individual firs Name Middle	gment to provide the moniker.  102 is code 2 or 6 and the name of the entity 60 characters, use the 2/N2/0800 segment to e name.  It name	or con provid	nmittee is le the AN 1/35			
	NM105	1037	3. When NM greater than 6 balance of the Name First Individual firs Name Middle Individual mid	gment to provide the moniker.  102 is code 2 or 6 and the name of the entity to characters, use the 2/N2/0800 segment to e name.  It name to define the characters of the entity to characters of the entity to characters.	or con provid O	nmittee is le the AN 1/35 AN 1/25			
	NM105	1037	3. When NM greater than 6 balance of the Name First Individual firs Name Middle Individual mid Name Prefix	gment to provide the moniker.  102 is code 2 or 6 and the name of the entity to characters, use the 2/N2/0800 segment to e name.  It name to define the characters of the entity to characters, use the 2/N2/0800 segment to characters.	or con provid O	nmittee is le the AN 1/35 AN 1/25			
	NM105 NM106	1037 1038	3. When NM greater than 6 balance of the Name First Individual firs Name Middle Individual mid Name Prefix Prefix to indiv	gment to provide the moniker.  102 is code 2 or 6 and the name of the entity to characters, use the 2/N2/0800 segment to e name.  It name the characters is a character or initial characters.	or con provid O O	nmittee is le the AN 1/35 AN 1/25 AN 1/10			
	NM105 NM106	1037 1038	3. When NM greater than 6 balance of the Name First Individual firs Name Middle Individual mid Name Prefix Prefix to indiv Name Suffix Suffix to indiv	gment to provide the moniker.  102 is code 2 or 6 and the name of the entity to characters, use the 2/N2/0800 segment to e name.  It name the characters is a character or initial characters.	or con provid O O	nmittee is le the AN 1/35 AN 1/25 AN 1/10			

Code (67)

- 1. When NM101 is code EBH or FN, use this data element to qualify the identification number in NM109.
- 2. When NM101 is code FN, use of this data element is REQUIRED to provide the state assigned committee identification number, if one has been assigned. Failure to provide the identification number will be cause for the Secretary of State's office to reject the filing of the form 460. If an identification number has not yet been received, use the 2/LQ/2100 segment to identify that condition.

75 State or Province Assigned Number

Use this code to indicate the state assigned committee identification number.

NM109 67 Identification Code X AN 2/80

Code identifying a party or other code

Use this data element to provide the state assigned committee identification number.

Not Used NM110 706 Entity Relationship Code X ID 2/2

Code describing entity relationship

Not Used NM111 98 Entity Identifier Code O ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

Segment: N2 Additional Name Information

**Position:** 0800

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional

Max Use: 2

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

Notes: 1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an

organization name that is greater than 60 characters.

M	Ref. <u>Des.</u> N201	Data Element 93	Name Name Free-form name	Attributes M AN 1/60
			<ul> <li>1. When providing a moniker, insert a space after the monumeric 1, 2, or 3.</li> <li>1 = moniker is before the first name</li> </ul>	niker and cite the
	N202	93	2 = moniker is between the first and middle name 3 = moniker is between the middle and last name Name	O AN 1/60
	11202	73	Free-form name	O AN 1/00

Segment: N3 Address Information

**Position:** 0900

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data Element 166	Name Address Information	Attributes M AN 1/55
			Address information	
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1000

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 

A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location.

N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	Data Element Summary	•	•		
	Des.	<b>Element</b>	<u>Name</u>		<u>Attributes</u>		
	N401	19	City Name	O	AN 2/30		
			Free-form text for city name				
	N402	156	State or Province Code	X	ID 2/2		
			Code (Standard State/Province) as defined by appropriate go	vernr	ment agency		
Must Use	N403	116	Postal Code	O	ID 3/15		
			Code defining international postal zone code excluding punctuation and blanks (zip code for United States)				
			This data element MUST be used to provide a ZIP code.				
Not Used	N404	26	Country Code	X	ID 2/3		
			Code identifying the country				
Not Used	N405	309	Location Qualifier	X	ID 1/2		
			Code identifying type of location				
Not Used	N406	310	Location Identifier	O	AN 1/30		
			Code which identifies a specific location				
Not Used	N407	1715	Country Subdivision Code	X	ID 1/3		
			Code identifying the country subdivision				

Segment: PER Administrative Communications Contact

Position: 1100

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To identify a person or office to whom administrative communications should be directed

**Syntax Notes:** 1 If either PER03 or PER04 is present, then the other is required.

2 If either PER05 or PER06 is present, then the other is required.
3 If either PER07 or PER08 is present, then the other is required.

**Semantic Notes:** 

**Comments:** 

Notes: Use this segment to provide up to 3 communication numbers applicable to the entity

identified in the 2/NM1/0700 segment.

	Ref.	Data	v				
	Des.	<b>Element</b>	<u>Name</u>		<u>ributes</u>		
M	PER01	366	Contact Function Code	M	ID 2/2		
			Code identifying the major duty or responsibility of the personal	n or	group named		
			Use this data element to qualify the party associated with th	e con	nmunication		
			numbers provided in the following data elements.				
			IC Information Contact				
Not Used	PER02	93	Name	O	AN 1/60		
			Free-form name				
	PER03	365	Communication Number Qualifier	X	ID 2/2		
			Code identifying the type of communication number				
			TE Telephone				
	PER04	364	Communication Number	X	AN 1/256		
			Complete communications number including country or area applicable	code	when		
			The telephone number shall be transmitted as a continuous	num	ber. Include		
			the area code and extension, if applicable. Concatenate the				
			extension at the end of the number, e.g., 7035551212X123.		-		
	PER05	365	Communication Number Qualifier	X	ID 2/2		
			Code identifying the type of communication number				
			EM Electronic Mail				
			Use to indicate an e-mail address.				
	PER06	364	Communication Number	X	AN 1/256		
			Complete communications number including country or area applicable	code	when		
	PER07	365	Communication Number Qualifier	$\mathbf{X}$	ID 2/2		
			Code identifying the type of communication number				
			FX Facsimile				
	PER08	364	Communication Number	$\mathbf{X}$	AN 1/256		
			Complete communications number including country or area applicable	code	when		
Not Used	PER09	443	Contact Inquiry Reference	O	AN 1/20		
			dditional reference number or description to clarify a contact number				

Segment: N9 Reference Identification

Position: 1200

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

Syntax Notes: 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

### **Comments:**

**Notes:** 

- 1. When NM101 in the 2/NM1/0700 segment is code 31, EAA, EBH, EBN, FN, VB, or ZZ, use of this segment is REQUIRED to provide a cross reference number that associates one entity to another. The cross reference number MUST be unique and the same for each group of related entities.
- 2. When NM101 is code FN, use this segment to provide a Secretary of State assigned electronic filer identification number.
- 3. When NM101 in the 2/NM1/0700 segment is code EBN (the treasurer of the reporting committee), UZ, or EAA (and the assistant treasure is signing the form 460), use this segment to provide a Personal Identification Number (PIN) that represents the entity's verification and signature of the form 460.
- 4. When NM101 is code ZZ, use this data element to identify the ballot measure number or letter.

	Ref.	Data	Duin 12	, and the summer of			
M	<u>Des.</u> N901	Element 128	Name Poforonce Iden	ntification Qualifier	Attı M	<u>ributes</u> ID 2/3	
IVI	11901	120		the Reference Identification	171	11) 2/3	
				ement to qualify the number cited in N902.			
			1W	Member Identification Number			
				Use this code to indicate the Secretary assigned electronic filer identification			
			4A	Personal Identification Number (PIN)			
			6O	Cross Reference Number			
				Use this code to indicate a cross refering used to associate entities identified a 2/NM1/0700 segment.		umber that	
			ECB	Ballot Identifier			
	N902	127	Reference Iden		X	AN 1/50	
				mation as defined for a particular Transaction Reference Identification Qualifier	n Set	or as	
Not Used	N903	369	Free-form Des		$\mathbf{X}$	AN 1/45	
			Free-form descr	riptive text			
Not Used	N904	373	Date		O	<b>DT 8/8</b>	
			Date expressed	as CCYYMMDD			
Not Used	N905	337	Time		X	TM 4/8	
			Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)				

Not Used	N906	623	Time Code	o	ID 2/2		
Not Used	N907	C040	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an in in hours in relation to Universal Time Coordinate (UTC) time; since + restricted character, + and - are substituted by P and M in the codes tha  Reference Identifier  O				
			To identify one or more reference numbers or identification	numb	ers as		
Not Used	C04001	128	specified by the Reference Qualifier  Reference Identification Qualifier	M	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04002	127	Reference Identification	M	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04004	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as		
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04006	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as		

Segment: DTM Date/Time Reference

**Position:** 1300

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: When N901 in the 2/N9/1200 segment is code 4A, use this segment to identify the date

the form 460 was signed.

	Ref.	Data		•			
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>	
M	DTM01	374	Date/Time Qualifi	ier	M	<b>ID</b> 3/3	
			Code specifying ty	pe of date or time, or both date and time			
			EDE	Executed			
				Use this code to indicate the date the for signed.	rm 4	160 was	
	DTM02	373	Date		X	DT 8/8	
			Date expressed as 0	CCYYMMDD			
Not Used	DTM03	337	Time		X	TM 4/8	
Not Used	DTM04	623	HHMMSSD, or HE 59), S = integer sec	24-hour clock time as follows: HHMM, or HMMSSDD, where H = hours (00-23), M conds (00-59) and DD = decimal seconds; llows: D = tenths (0-9) and DD = hundred	= min decin	nutes (00- nal seconds	
Not Used	DTM05	1250	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow  Date Time Period Format Qualifier  X ID 2/3				
1101 Oseu	D 1 1/103	1230		•			
NI-4 TII	DTMO	1051	•	e date format, time format, or date and tim			
Not Used	DTM06	1251	Date Time Period		X	AN 1/35	
			Expression of a dat	e, a time, or range of dates, times or dates	and t	times	

**Industry Code Segment:** 

**Position:** 2100

> Loop: LQ Optional

Level: Detail Optional Usage: Max Use:

**Purpose: Syntax Notes:**  Code to transmit standard industry codes 1 If LQ01 is present, then LQ02 is required.

**Semantic Notes: Comments:** 

**Notes:** 

- 1. When NM101 in the 2/NM1/0700 segment is code EBH, use this segment to identify whether or not the affiliated committee is a controlled committee by providing a type of committee code.
- 2. When NM101 is code FN, use this segment to identify the type of committee applicable to the filer, to identify that a state assigned committee identification number has not been received, if applicable, and/or to provide a verification statement.
- 3. When NM101 is code VB, use this segment to identify the office sought/held, to identify whether it is sought or held, and to identify the jurisdiction of the candidate. When applicable, this segment also is used to identify whether the candidate/officeholder is supported or opposed.
- 4. When NM101 is code ZZ, use this segment to identify the jurisdiction of the ballot measure and to identify whether the ballot measure is supported or opposed.
- 5. When NM101 is code EBN (and the treasurer is signing the form 460), or code UZ, use this segment to provide a verification statement.
- 6. The type of committee code, verification statement, support or oppose indicators, the office and sought/held indicators, and the jurisdiction are identified by a code contained in the California Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 7. All codes contained in the California Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

		2 404 210110110 8 41111141 5					
Ref.	Data						
Des.	<b>Element</b>	<u>Name</u>	<u>Attr</u>	<u>ributes</u>			
LQ01	1270	Code List Qualifier Code	O	ID 1/3			
		Code identifying a specific industry code list					
		Use this data element to identify the code list that contains t in LQ02.	he co	de specified			
		EAF California Campaign Disclosure Report	Code	es			
LQ02	1271	Industry Code	$\mathbf{X}$	AN 1/30			
		Code indicating a code from a specific industry code list					
		<ol> <li>When NM101 in the 2/NM1/0700 segment is code EBH enter a code from section 02 of the California Campaign Disclosure Code List.</li> <li>When NM101 is code FN enter a code from section 02, and if applicab from section 04 of the California Campaign Disclosure Code List.</li> </ol>					
		3. When NM101 is code VB, enter codes from sections 03, California Campaign Disclosure Code List.	04, ai	nd 08 of the			
		4. When NM101 is code ZZ, enter a code from section 04 of California Campaign Disclosure Code List.	ınd 0	8 of the			

- 5. When NM101 is code EBN or UZ, enter a code from section 04 of the California Campaign Disclosure Code List.
- 6. When code 03-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the office, in text.
- 7. When code 08-CIT, 08-CTY, 08-LOC, or 08-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the city. county, local or other jurisdiction, in text.
- 8. When code 08-SEN, 08-ASM, or 08-BOE is cited in this data element, use the 2/N9/2200 segment to identify the district.

Segment: N9 Reference Identification

Position: 2200

**Loop:** LQ Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: When LQ02 in the 2/LQ/2100 segment cites code 08-SEN, 08-ASM, or 08-BOE from

the California Campaign Disclosure Code List, use this segment to identify the district

within the jurisdiction.

## **Data Element Summary**

			Data Element Summary			
	Ref.	Data				
	Des.	Element	<u>Name</u>		<u>ributes</u>	
M	N901	128	Reference Identification Qualifier		ID 2/3	
			Code qualifying the Reference Identification			
			Use this data element to qualify the code cited in N902.			
			DIS District Number			
			Use this code to indicate the district of sought or the ballot measure.	the o	ffice	
	N902	127	Reference Identification	X	AN 1/50	
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier			
Not Used	N903	369	Free-form Description	X	AN 1/45	
			Free-form descriptive text			
Not Used	N904	373	Date	O	<b>DT 8/8</b>	
			Date expressed as CCYYMMDD			
Not Used	N905	337	Time	X	TM 4/8	
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)  Time Code  O ID 2/2  Code identifying the time. In accordance with International Standards  Organization standard 8601, time can be specified by a + or - and an indication			
Not Used	N907	C040	in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the Reference Identifier			
Hui Useu	11707	C040	To identify one or more reference numbers or identification specified by the Reference Qualifier	_	ers as	
Not Used	C04001	128	Reference Identification Qualifier	$\mathbf{M}$	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04002	127	Reference Identification	M	AN 1/50	
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier			
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04004	127	Reference Identification	X	AN 1/50	
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier			
113C A 460 ((	10/1021)		45	Sont	tombor 6 1000	

113CA460 (004021) 45 September 6, 1999

Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as

Segment: MTX Text

Position: 2600

**Loop:** LQ Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. When LQ02 in the 2/LQ/2100 segment cites code 03-OTH, use of this segment is REQUIRED to identify the office sought/position held.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>		
	MTX01	363	Note Reference Code	O	ID 3/3		
			Code identifying the functional area or purpose for which the	note	applies		
			Use this data element to qualify the text carried in MTX02.				
			EAO Description of Office				
	MTX02	1551	Message Text	$\mathbf{X}$	AN 1/4096		
			To transmit large volumes of message text	transmit large volumes of message text			
			This data element is limited to 40 characters. Exceeding the risk of the Secretary of State's office not receiving the data.		it runs the		
Not Used	MTX03	1551	Message Text	O	AN 1/4096		
			To transmit large volumes of message text				
Not Used	MTX04	934	Printer Carriage Control Code	$\mathbf{X}$	ID 2/2		
			A field to be used for the control of the line feed of the receive	ving p	orinter		
Not Used	MTX05	1470	Number	O	N0 1/9		
			A generic number				
Not Used	MTX06	819	Language Code	O	ID 2/3		
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISO				

**HL** Hierarchical Level **Segment:** 

0101 **Position:** 

> HLLoop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

**Syntax Notes: Semantic Notes: Comments:** 

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Summary Page.

			Data Eleme	ent Summary				
M	Ref. <u>Des.</u> HL01	Data <u>Element</u> 628	<u>Name</u> Hierarchical ID Nu	ımber	Attributes M AN 1/12			
			A unique number assigned by the sender to identify a particular data segment in a hierarchical structure					
			loop. It is recomme	nt to provide a unique number that can inded that the first HL loop be identified and that each successive HL loop be in	d by the number 1			
Not Used	HL02	734	Hierarchical Paren	t ID Number	O AN 1/12			
				er of the next higher hierarchical data segribed is subordinate to	gment that the data			
M	HL03	735	Hierarchical Level	Code	M ID 1/2			
			Code defining the cl	naracteristic of a level in a hierarchical st	ructure			
			1. This data element is used to identify the type of data that is being transmitted within the current HL loop.					
			EB	d codes MUST be used only one time.  Filer				
			LD		tton of the data			
				1. Use this code to indicate the submitton the form 460 Summary Page.	ier oj ine aaia			
				2. When this code is used, the 2/NM1/MUST be used to identify the filer and				
				2/AWD/2700 loop also MUST be used				
				summary amounts for form 460 Summ	ıary Page.			
			RP	Report				
				1. Use this code to indicate that the da	ta which			

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follows in this HL loop is information applicable to the

# form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

N9 Reference Identification **Segment: Position:** 0201 Loop: N9 Optional (Must Use) Level: Detail Optional (Must Use) Usage: Max Use:

**Purpose:** 

To transmit identifying information as specified by the Reference Identification Qualifier At least one of N902 or N903 is required. **Syntax Notes:** 

If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required. 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted.

	Ref.	Data	Data Element Summary				
	Des.	Element	<u>Name</u>	Att	<u>ributes</u>		
M	N901	128	Reference Identification Qualifier	M	ID 2/3		
			Code qualifying the Reference Identification				
			Use this data element to cite a code that qualifies the data	carrie	d in N902.		
			FQ Form Number				
			Use this code to indicate the number	of the	form being		
			transmitted.				
	N902	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as		
			Use this data element to cite the form number, e.g., F460S	UM.			
Not Used	N903	369	Free-form Description	X	AN 1/45		
			Free-form descriptive text				
Not Used	N904	373	Date	O	<b>DT 8/8</b>		
			Date expressed as CCYYMMDD				
Not Used	N905	337	Time	X	TM 4/8		
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where $H = hours (00-23)$ , $M = minutes (00-59)$ , $S = integer seconds (00-59)$ and $DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)  Time Code  O ID 2/2$				
Not Used	N907	C040	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow Reference Identifier  O				
1,00 0,00	1,507	0010	To identify one or more reference numbers or identification	_	ers as		
			specified by the Reference Qualifier				
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3		
	~~		Code qualifying the Reference Identification				
Not Used	C04002	127	Reference Identification	M	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3		
	22.000		Code qualifying the Reference Identification				
Not Used	C04004	127	Reference Identification	X	AN 1/50		
		- <b>-</b> ·	Reference information as defined for a particular Transaction		:		
			r				

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	or as

Segment: MTX Text

**Position:** 0601

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

Syntax Notes: 1 If MTX01 is present, then MTX02 is required.

2 If MTX03 is present, then MTX02 is required.

3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the receive	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

NM1 Individual or Organizational Name **Segment:** 

**Position:** 0701

> Loop: NM1 Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To supply the full name of an individual or organizational entity **Syntax Notes:** If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** NM102 qualifies NM103. 1

**Comments:** 

NM110 and NM111 further define the type of entity in NM101. **Notes:** 

1. When HL03 cites code EB, use this loop to identify the filer of the form 460SUM. There can only be 1 iteration of this segment when HL03 cites code EB.

2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.

			Data Elem	ent Summary		
	Ref.	Data				
	Des.	<b>Element</b>	Name			<u>ributes</u>
M	NM101	98	<b>Entity Identifier C</b>			ID 2/3
				n organizational entity, a physical location	ı, proj	perty or an
			individual FN	Filer Name		
			FIN		,	
				1. When HL03 is code EB, use of this REQUIRED to indicate the submitter		
				This code is used as the means to prov		
				amounts in the 2/AWD/2700 loop and		
				discarded by the receiving application.		
				2. When this code is used, only NM10 NM103 should be used. The filer info		
				provided in the form 460CP loop.	rman	on was
				provided in the form 10001 toop.		
				3. When this code is used, NM102 sho		
				the 2/AWD/2700 loop MUST be used to	o idei	ntify
M	NM102	1065	Entite Tema Ouali	applicable summary amounts.	M	ID 1/1
IVI	NW1102	1005	Entity Type Quali		IVI	ID 1/1
			Code qualifying the	* *	101 -	
			committee.	ent to identify the entity qualified in NM	101 a.	s a
			6	Organization		
				Use this code to indicate a committee.		
Must Use	NM103	1035	Name Last or Org	anization Name	O	AN 1/60
				e or organizational name		
				ement is REQUIRED to provide the nam	e of a	n entity.
Not Used	NM104	1036	Name First		O	AN 1/35
			Individual first nan	ne		
Not Used	NM105	1037	Name Middle		O	AN 1/25
			Individual middle r	name or initial		
Not Used	NM106	1038	Name Prefix		O	AN 1/10
			Prefix to individual	name		
Not Used	NM107	1039	Name Suffix		O	AN 1/10
			Suffix to individual			
Not Used	NM108	66	<b>Identification Cod</b>	le Qualifier	X	ID 1/2

			Code designating the system/method of code structure used	for Id	entification
			Code (67)		
Not Used	NM109	67	Identification Code	X	AN 2/80
			Code identifying a party or other code		
Not Used	NM110	706	Entity Relationship Code	X	ID 2/2
			Code describing entity relationship		
Not Used	NM111	98	Entity Identifier Code	O	ID 2/3
			Code identifying an organizational entity, a physical location individual	n, pro	perty or an

Segment: AWD Amount with Description

**Position:** 2701

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

Syntax Notes: 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

Semantic Notes: Comments:

Notes:

1 AWD03 is used when information source provides non-numeric values.

1. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to provide summary amounts for the California form 460 Summary Page.

2. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 - 05) to qualify the amounts for the identified lines and columns of form 460 Summary Page:

460 Summary Line 1, Column A: 460 Summary Line 1, Column B:

 AWD01-01 code 93
 AWD01-01 code 93

 AWD01-02 code 4L
 AWD01-02 code 4L

 AWD01-05 code ED
 AWD01-05 code ZZ

460 Summary Line 1, Column C: 460 Summary Line 2, Column A:

 AWD01-01 code 93
 AWD01-01 code N0

 AWD01-02 code 4L
 AWD01-05 code ED

AWD01-05 code EE

460 Summary Line 2, Column B: 460 Summary Line 2, Column C:

 AWD01-01 code N0
 AWD01-01 code N0

 AWD01-05 code ZZ
 AWD01-05 code EE

460 Summary Line 3, Column A: 460 Summary Line 3, Column B:

 AWD01-01 code 93
 AWD01-01 code 93

 AWD01-02 code 4L
 AWD01-02 code 4L

 AWD01-03 code Z
 AWD01-03 code Z

 AWD01-05 code ED
 AWD01-05 code ZZ

460 Summary Line 3, Column C: 460 Summary Line 4, Column A:

 AWD01-01 code 93
 AWD01-01 code EBG

 AWD01-02 code 4L
 AWD01-05 code ED

AWD01-03 code Z AWD01-05 code EE

460 Summary Line 4, Column B: 460 Summary Line 4, Column C:

AWD01-01 code EBG AWD01-01 code EBG AWD01-05 code ZZ AWD01-05 code EE

460 Summary Line 5, Column A: 460 Summary Line 5, Column B:

 AWD01-01 code 93
 AWD01-01 code 93

 AWD01-03 code 8
 AWD01-03 code 8

 AWD01-05 code ED
 AWD01-05 code ZZ

460 Summary Line 5, Column C: 460 Summary Line 6, Column A:

 AWD01-01 code 93
 AWD01-01 code EBZ

 AWD01-03 code 8
 AWD01-05 code ED

 AWD01-05 code EE

460 Summary Line 6, Column B: 460 Summary Line 6, Column C: AWD01-01 code EBZ AWD01-01 code EBZ AWD01-05 code ZZ AWD01-05 code EE 460 Summary Line 7, Column A: 460 Summary Line 7, Column B: AWD01-01 code R0 AWD01-01 code R0 AWD01-05 code ED AWD01-05 code ZZ 460 Summary Line 7, Column C: 460 Summary Line 8, Column A: AWD01-01 code R0 AWD01-01 code EBZ AWD01-05 code EE AWD01-03 code Z AWD01-05 code ED 460 Summary Line 8, Column B: 460 Summary Line 8, Column C: AWD01-01 code EBZ AWD01-01 code EBZ AWD01-03 code Z AWD01-03 code Z AWD01-05 code ZZ AWD01-05 code EE 460 Summary Line 9, Column A: 460 Summary Line 9, Column B: AWD01-01 code 69 AWD01-01 code 69 AWD01-05 code ED AWD01-05 code ZZ 460 Summary Line 9, Column C: 460 Summary Line 10, Column A: AWD01-01 code 69 AWD01-01 code BM AWD01-05 code EE AWD01-05 code ED 460 Summary Line 10, Column B: 460 Summary Line 10, Column C: AWD01-01 code BM AWD01-01 code BM AWD01-05 code ZZ AWD01-05 code EE 460 Summary Line 11, Column A: 460 Summary Line 11, Column B: AWD01-01 code EBB AWD01-01 code EBB AWD01-03 code 8 AWD01-03 code 8 AWD01-05 code ED AWD01-05 code ZZ 460 Summary Line 11, Column C: 460 Summary Line 12, Column A: AWD01-01 code EBB AWD01-01 code J9 AWD01-03 code 8 AWD01-05 code EE 460 Summary Line 13, Column A: 460 Summary Line 14, Column A: AWD01-01 code BAT AWD01-01 code ZR 460 Summary Line 15, Column A: 460 Summary Line 16, Column A: AWD01-01 code EBZ AWD01-01 code J8 460 Summary Line 17, Column A: 460 Summary Line 18, Column A: AWD01-01 code EAD AWD01-01 code VB 460 Summary Line 19, Column A: 460 Summary Line 20: *AWD01-01 code 5V* AWD01-01 code 93 AWD01-03 code O AWD01-02 code EAI 2/DTM/2800, DTM01, codes 193/194 460 Summary Line 21: AWD01-01 code EBB

	Ref.	Data	NI		A 44-		
M	<u>Des.</u> AWD01	Element C007	Name Amount Qualifying	Description	Attr M	<u>ributes</u>	
171	1111201	2007	To qualify an amount	-	111		
M	C00701	522	Amount Qualifier		M	ID 1/3	
	000.01		Code to qualify amount			12 1,0	
				nt to provide the primary qualifier for th	ie am	ount cited in	
			AWD02.				
			5V	Financial Debt			
			69	Accrued Expenditures			
				Use this code to indicate accrued expen	nses.		
			93	Contribution			
				Use this code in conjunction with AWD01-02 code to indicate a cash contribution or in conjunction we AWD01-03 code 8 to indicate total contributions.			
			BAT	Gross Receipts			
				Use this code to indicate cash receipts.			
			BM	Adjustments			
				Use this code to indicate non-monetary	y adju	stments.	
			EAD	Amount Guaranteed			
			EDD	Use this code to indicate loan guarante	ees re	ceived.	
			EBB	Expenditure Amount			
			EBG	In-Kind Contribution		• • • • • • • • • • • • • • • • • • • •	
			ED/Z	Use this code to indicate nonmonetary	contr	ributions.	
			EBZ	Payment			
			IO	Use this code to indicate cash payment	S.		
			Ј8	Ending Balance	1		
			10	Use this code to indicate ending cash b	paianc	<i>e.</i>	
			J9	Beginning Balance	.1. 11		
			N0	Use this code to indicate beginning case Loans or Financial Borrowings	sn bai	ance.	
			INU	Use this code to indicate "loans received	ه طالا		
			R0	Loans to Participants	eu .		
			NU	Use this code to indicate ''loans made'	,		
			VB	Total Non-liquid Assets	•		
			VБ	Use this code to indicate cash equivale	ntc		
			ZR	Increase	ius.		
			211	Use this code to indicate miscellaneous	sincr	eases to	
				cash.	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	cuses to	
	C00702	522	Amount Qualifier	Code	O	ID 1/3	
			Code to qualify amo	punt			
				nt to provide a secondary qualified code	for th	he amount	
			cited in AWD02.	Cash			
			EAI	Amount Received			
	C00703	1638	Value Detail Code	Amount Received	0	ID 1/1	
	C00703	1030		tent of an amount or quantity	U	10 1/1	
				nt to provide a code that specifies the va	lue de	etail of the	
			amount cited in AW	7D02.	iue U	adii oj ine	
			8	Total Outstanding			
			0	Outstanding Mutually Defined			
			Z	Mutually Defined			
				Use this code to indicate a subtotal.			

Not Used	C00704	935	Measurement Sig	gnificance Code	O	ID 2/2
			Code used to benc	hmark, qualify or further define a measur	ement	value
	C00705	344	<b>Unit of Time Per</b>	iod or Interval	O	ID 2/2
			Code indicating th	e time period or interval		
				nent to provide a code that identifies the tamount cited in AWD02.	ime p	eriod
			ED	This Period		
			EE	To Date		
			ZZ	Mutually Defined		
				Use this code to indicate a previous pe	eriod.	
Not Used	C00706	1637	Net/Gross Code		0	ID 1/1
			Code indicating w	hether monetary values are net or gross		
Not Used	C00707	935	Measurement Sig	gnificance Code	O	ID 2/2
			Code used to benc	hmark, qualify or further define a measur	ement	value
Not Used	C00708	352	Description		O	AN 1/80
			A free-form descri	iption to clarify the related data elements	and th	eir content
Not Used	C00709	1271	<b>Industry Code</b>		O	AN 1/30
			Code indicating a	code from a specific industry code list		
	AWD02	782	Monetary Amoun	nt	X	R 1/18
			Monetary amount			
			0	to identify the monetary amount associated in the AWD01 composite data elemen		th the
Not Used	AWD03	61	Free-Form Messa		X	AN 1/30
			Free-form informa	ation		
Not Used	AWD04	100	<b>Currency Code</b>		O	ID 3/3
			Code (Standard IS	(SO) for country in whose currency the cha	raac a	:c:.1

Segment: DTM Date/Time Reference

Position: 2801

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the time period for contributions received and

expenditures made (460 Summary - lines 20 and 21).

M	Ref. <u>Des.</u> DTM01	Data Element 374	Name Date/Time Qualifie	er	Attr M	ributes ID 3/3
			Code specifying typ	e of date or time, or both date and time		
			193	Period Start		
				Use this code to indicate the start date contributions received and expenditure		- "
			194	Period End		
				1. Use this code to indicate the through period for contributions received and emade.		
				2. When the through period is 'to date actual date.	e'' cite	e the
	DTM02	373	Date		X	<b>DT 8/8</b>
			Date expressed as C	CCYYMMDD		
Not Used	DTM03	337	Time		X	TM 4/8
No4 Ugod	DTM04	(22	HHMMSSD, or HH 59), S = integer seco	24-hour clock time as follows: HHMM, of MMSSDD, where H = hours (00-23), M onds (00-59) and DD = decimal seconds; lows: D = tenths (0-9) and DD = hundred	= mindecing ths (0	nutes (00- nal seconds
Not Used	DTM04	623		time. In accordance with Intermetional C	O tanda	
			Organization standa in hours in relation to	e time. In accordance with International S rd 8601, time can be specified by a + or - to Universal Time Coordinate (UTC) time + and - are substituted by P and M in the	and e; sin	an indication ce + is a
Not Used	DTM05	1250	Date Time Period	_		ID 2/3
			•	date format, time format, or date and time		
Not Used	DTM06	1251	Date Time Period		X	AN 1/35
			Expression of a date	e, a time, or range of dates, times or dates	and t	imes

Segment: MTX Text

Position: 3501

**Notes:** 

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

Syntax Notes: 1 If MTX01 is present, then MTX02 is required.

2 If MTX03 is present, then MTX02 is required.

3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** 1 MTX05 is the number of lines to advance before printing.

Comments: 1 If MTX04 is "AA - Advance the specific number of lines"

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	·		
	Des.	<b>Element</b>	<u>Name</u>	<u>Att</u>	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			ADD Additional Information		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

Segment: HL Hierarchical Level

Position: 0102

Loop: HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule A, Monetary Contributions Received.

## **Data Element Summary**

			Data Eleme	ent Summary		
M	Ref. <u>Des.</u> HL01	Data Element 628	<u>Name</u> Hierarchical ID Nu	ımber	Attr M	ributes AN 1/12
			A unique number as in a hierarchical stru	signed by the sender to identify a particulature	lar da	nta segment
			loop. It is recomme	nt to provide a unique number that can inded that the first HL loop be identified and that each successive HL loop be in	by th	ne number 1
Not Used	HL02	734	Hierarchical Paren	<del>-</del>	O	AN 1/12
				er of the next higher hierarchical data segribed is subordinate to	ment	that the data
M	HL03	735	<b>Hierarchical Level</b>	Code	M	ID 1/2
			Code defining the ch	naracteristic of a level in a hierarchical str	ructui	re
			transmitted within t	nt is used to identify the type of data that he current HL loop. d codes MUST be used only one time.	is be	ing
			EB	Filer		
				1. Use this code to indicate the submitted on form 460, Schedule A.	ter of	the data
				2. When this code is used, the 2/NM1/MUST be used to identify the filer and	the	
				2/AWD/2700 loop also MUST be used		
			EC	summary amounts for form 460, Schea	iuie A	1.
				1. Use this code to indicate contribution	ns re	ceived.

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2. When this code is used, the 2/NM1/0700 loop MUST be used to identify all of the contributors and the 2/AWD/2700 loop MUST also be used to identify applicable amounts.

RP Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

### Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment: Position:** 0202 N9 Loop: Optional (Must Use) Level: Detail Optional (Must Use) Usage: Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier At least one of N902 or N903 is required. **Syntax Notes:** 

If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required. 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted.

		_	Data Element Summary		
	Ref.	Data	N		••
M	<u>Des.</u> N901	Element 128	Name  Paferone Identification Qualifier		<u>ributes</u> ID 2/3
IVI	11901	120	Reference Identification Qualifier	IVI	ID 2/3
			Code qualifying the Reference Identification	• .	1 NO02
			Use this data element to cite a code that qualifies the data	carrie	a in N902.
			FQ Form Number		
			Use this code to indicate the number of transmitted.	of the	form being
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier		or as
			Use this data element to cite the form number, e.g., F460A		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred Time Code	I = mi ; decir	nutes (00- mal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) tin restricted character, + and - are substituted by P and M in the Reference Identifier	Standa - and ne; sin	ards an indication ace + is a
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
1100 0000	201002	120	Code qualifying the Reference Identification	4.	20 210
Not Used	C04004	127	Reference Identification	X	AN 1/50
THUL USEU	CU-100-1	141	Reference information as defined for a particular Transactio		
			Reference information as defined for a particular Transaction	II DCL	or as

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	or as

Segment: MTX Text

Position: 0602

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes: Comments: 1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the receive	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

NM1 Individual or Organizational Name **Segment:** 

**Position:** 0702

> NM1 Loop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To supply the full name of an individual or organizational entity **Syntax Notes:** If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

NM110 and NM111 further define the type of entity in NM101.

Notes: 1. When HL03 cites code EB, use this loop to identify the filer of the form 460A. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.
- 3. When HL03 is code EC, use this loop as many times as necessary to identify all contributors and associated entities of monetary contributions.
- 4. Each identified contributor, (NM101 is code EAH) and associated entities (NM101 is code 36 - employer of a contributor, BUS - business name of a self employed contributor, code GG - an intermediary, or EBN - treasurer of a committee) MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the cross reference number in N902. The cross reference number of the contributor and associated entity MUST be unique and the same for each group of related entities.

Data Element Summary							
	Ref.	Data	Nome		A 44		
M	<u>Des.</u> NM101	Element 98	Name Entity Identifier C	ada	Attributes M ID 2/3		
141	MINITUI	70	•				
			individual	organizational entity, a physical location	, property or an		
			36	36 Employer			
				1. When NM101 is code EAH and NM use this code to indicate the employer of contributor.			
				2. When this code is used, NM102 sho and the 2/N9/1200 segment MUST be a cross reference number.			
			BUS	Business			
				1. When NM101 is code EAH and NM use this code to indicate the business neemployed contributor.			
				2. When this code is used, NM102 sho the 2/N9/1200 segment MUST be used cross reference number.			
			EAH	Contributor			
				1. When HL03 is code EC, use of this REQUIRED to indicate an entity who is			
				2. When this code is used, NM102 may	v cite code 1, 2,		

or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number, the 2/EMS/1500 segment may be used to identify the contributor's occupation,

and the 2/AWD/2700 loop MUST be used to identify applicable amounts.

3. When this code is used and NM102 is code 6, NM108/109 should be used to provide the state assigned committee identification number, if one has been received. If an identification number has not been received, use another iteration of this segment with NM101 citing code EBN.

**EBN** 

Treasurer

- 1. When NM101 is code EAH and NM102 is code 6, use this code, if no committee identification number has been assigned, to indicate the treasurer of the committee.
- 2. When this code is used, NM102 should cite code 1 and the 2/N9/1200 segment MUST be used to provide a cross reference number.

FN

Filer Name

- 1. When HL03 is code EB, use of this code is REOUIRED to indicate the submitter of the data. This code is used as the means to provide the summary amounts in the 2/AWD/2700 loop and may be discarded by the receiving application.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable summary amounts.

GG

Intermediary

- 1. When HL03 is code EC, use this code to indicate the intermediary through whom a monetary contribution was received.
- 2. When this code is used, the same information applicable to the contribution MUST be provided for both the intermediary and the actual contributor.
- 3. When this code is used, NM102 may cite code 1 or 2, the 2/N9/1200 segment MUST be used to provide a cross reference number, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.

1065

**Entity Type Qualifier** 

M ID 1/1

Code qualifying the type of entity

- 1. Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.
- 2. When NM101 is code EAH, the listed codes are specifically used to identify the type of contributor.

1 Person

Use this code to indicate an individual.

2 Non-Person Entity

> Use this code to indicate an entity other than an individual or committee.

6 Organization

Use this code to indicate a committee.

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M

NM102

Must Use	NM103	1035	Name Last or Org	ganization Name	O	AN 1/60	
			Individual last nam	ne or organizational name			
			<ol> <li>Use of this data element is REQUIRED to provide the name of an entity.</li> <li>When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker.</li> </ol>				
			3. When NM102 is code 2 or 6 and the name of the entity or committee is greater than 60 characters, use the 2/N2/0800 segment to provide the				
	NM104	1036	balance of the nam Name First	ne.	0	AN 1/35	
	11112201	2000	Individual first nar	ne	Ü	121 ( 2,00	
	NM105	1037	Name Middle		O	AN 1/25	
			Individual middle name or initial				
	NM106	1038	Name Prefix		O	AN 1/10	
			Prefix to individua	l name			
	NM107	1039	Name Suffix		O	AN 1/10	
			Suffix to individual name				
	NM108	66	Identification Code Qualifier X		X	ID 1/2	
			Code designating the system/method of code structure used for Identification Code (67)				
			When NM101 is code EAH and NM102 is code 6, use this data element to qualify the identification number in NM109.				
			75	State or Province Assigned Number			
				1. When NM101 is code EAH and NN use this code to indicate the state assig identification number, if one has been	ned c	committee	
				2. If no identification number has bee there MUST be another iteration of th with NM101 citing code EBN.			
	NM109	67	<b>Identification Cod</b>	le	X	AN 2/80	
			Code identifying a party or other code				
			Use this data elem number, if one has	ent to provide the state assigned committ s been assigned.	ee ide	entification	
Not Used	NM110	706	Entity Relationsh		X	ID 2/2	
			Code describing er	ntity relationship			
Not Used	NM111	98	<b>Entity Identifier (</b>	Code	O	ID 2/3	
			Code identifying a individual	n organizational entity, a physical location	n, pro	perty or an	

Segment: N2 Additional Name Information

Position: 0802

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 2 Purpose: 7

ose: To specify additional names

Syntax Notes: Semantic Notes: Comments:

Notes:

1. When NM102 in the 2/NM1/0700 segment is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

M	Ref. <u>Des.</u> N201	Data Element 93	Name Name Free-form name	Attributes M AN 1/60		
			1. When providing a moniker, insert a space after the monnumeric 1, 2, or 3.	iiker ai	nd cite the	
			1 = moniker is before the first name 2 = moniker is between the first and middle name			
			3 = moniker is between the middle and last name			
	N202	93	Name Free-form name	О	AN 1/60	

Segment: N3 Address Information

Position: 0902

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

N	Ref. <u>Des.</u>	Data Element	Name	Attributes
M	N301	166	Address Information Address information	M AN 1/55
	N302	166	Address Information	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1002

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	Duta Exement Summary		
	Des.	Element	Name	Attributes	
	N401	19	City Name	O	AN 2/30
			Free-form text for city name		
	N402	156	State or Province Code	$\mathbf{X}$	ID 2/2
			Code (Standard State/Province) as defined by appropriate go	vernr	nent agency
Must Use	N403	116	Postal Code	O	ID 3/15
			Code defining international postal zone code excluding punc (zip code for United States)	tuatio	on and blanks
			This data element MUST be used to provide a ZIP code.		
Not Used	N404	26	Country Code	X	ID 2/3
			Code identifying the country		
Not Used	N405	309	Location Qualifier	$\mathbf{X}$	ID 1/2
			Code identifying type of location		
Not Used	N406	310	Location Identifier	O	AN 1/30
			Code which identifies a specific location		
Not Used	N407	1715	Country Subdivision Code	X	ID 1/3
			Code identifying the country subdivision		

Segment: N9 Reference Identification

Position: 1202

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When NM101 in the 2/NM1/0700 segment is code 36, BUS, EAH, EBN, or GG, use of this segment is REQUIRED to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.

	Ref.	Data	Data Element Summary			
	Des.	Element	Name	Δtt	ributes	
M	N901	128	Reference Identification Qualifier	M	ID 2/3	
			Code qualifying the Reference Identification			
			6O Cross Reference Number			
			Use this code to indicate a cross refere	nce n	umber that	
			is used to associate entities identified i 2/NM1/0700 segment.	n the		
	N902	127	Reference Identification	X	AN 1/50	
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	1 Set	or as	
Not Used	N903	369	Free-form Description	X	AN 1/45	
			Free-form descriptive text			
Not Used	N904	373	Date	O	<b>DT 8/8</b>	
			Date expressed as CCYYMMDD			
Not Used	N905	337	Time	X	TM 4/8	
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	= mi decir	nutes (00- nal seconds	
Not Used	N907	C040	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow Reference Identifier  O			
			To identify one or more reference numbers or identification	numb	ers as	
Not Used	C04001	128	specified by the Reference Qualifier  Reference Identification Qualifier	M	ID 2/3	
110t Oscu	C04001	120	Code qualifying the Reference Identification	141	10 2/3	
Not Used	C04002	127	Reference Identification	M	AN 1/50	
Trot escu	001002	127	Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier			
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04004	127	Reference Identification	X	AN 1/50	
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Not Used	C04005	128	Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier  Reference Identification Qualifier	on Set	or as ID 2/3
1,00 0,000	001000	120	Code qualifying the Reference Identification		22 2,0
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		or as

EMS Employment Position **Segment: Position:** 1502 Loop: NM1 Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 **Purpose:** To describe employment position **Syntax Notes:** If either EMS05 or EMS06 is present, then the other is required. If either EMS07 or EMS08 is present, then the other is required. **Semantic Notes:** 1 EMS01 describes the employee's title or position in text. 2 EMS02 defines the employment position relationship. 3 EMS03 defines the occupation category. 4 EMS04 defines the status of employment. 5 EMS05 identifies EMS06 as pay grade. EMS06 defines pay grade for employee, if available. **Comments:** When NM101 in the 2/NM1/0700 segment is code EAH and NM102 is code 1, use of **Notes:** this segment is REQUIRED to identify the occupation of the contributor. **Data Element Summary** 

	Ref.	Data	·		
	Des.	<u>Element</u>	Name	_	ributes
	EMS01	352	Description	O	AN 1/80
			A free-form description to clarify the related data elements a	ınd th	eir content
			1. Use this data element to identify the occupation of the c		ŕ
			2. This data element is limited to 60 characters. Exceeding the risk of the Secretary of State's office not receiving all of the Secretary of State's office not receiving all of the Secretary of State's office not received.	_	
Not Used	EMS02	1176	<b>Employment Class Code</b>	O	ID 2/3
			Code indicating category of employee		
Not Used	EMS03	1149	Occupation Code	O	<b>ID</b> 4/6
			Code identifying the occupation of an individual		
Not Used	EMS04	584	<b>Employment Status Code</b>	O	ID 2/2
			Code showing the general employment status of an employee	e/clai	mant
Not Used	EMS05	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	EMS06	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	or as
Not Used	<b>EMS07</b>	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	or as
Not Used	EMS08	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3

Segment: AWD Amount with Description

Position: 2702

Loop: AWD Optional

Level: Detail
Usage: Optional
ax Use: 1

1

Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

Semantic Notes: Comments:

Notes:

1. When NM101 in the 2/NM1/0700 segment is code EAH or GG, use this segment to provide amounts applicable to a contribution. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.

AWD03 is used when information source provides non-numeric values.

- 2. When NM101 is code FN, use this segment to provide summary amounts for the form 460, Schedule A.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 09) to qualify the following amounts:

Amount Received this Period:

AWD01-01 code 93

Cumulative Calendar Year to Date:

AWD01-01 code 93. AWD01-03 code 2. AWD01-05 code EA.

Cumulative to Date Other:

AWD01-01 code 93. AWD01-03 code 2. AWD01-05 code EE.

Monetary Contributions Summary - Line 1:

AWD01-01 code 93 AWD01-09 code 05-A1

Monetary Contributions Summary - Line 2:

AWD01-01 code 93 AWD01-09 code 05-A2

Monetary Contributions Summary - Line 3:

AWD01-01 code 93 AWD01-03 code 8

## **Data Element Summary**

Ref. Data

Des. Element Name

M AWD01 C007 Amount Qualifying Description

Attributes

M

To qualify an amount

			Use this composite data element to provide multiple qualifies that identify the amount cited in AWD02.				
M	C00701	522	Amount Qualifier Code	M	ID 1/3		
			Code to qualify amount				
			93 Contribution				
			Use this code to indicate a contribution	on amo	ount.		
Not Used	C00702	522	Amount Qualifier Code	O	ID 1/3		
			Code to qualify amount				
	C00703	1638	Value Detail Code	O	ID 1/1		
			Code identifying extent of an amount or quantity				
			2 Cumulative				
			8 Total				
Not Used	C00704	935	Measurement Significance Code	O	ID 2/2		
			Code used to benchmark, qualify or further define a measur	rement	value		
	C00705	344	Unit of Time Period or Interval	O	ID 2/2		
			Code indicating the time period or interval				
			EA Calendar Year-to-Date				
			EE To Date				
Not Used	C00706	1637	Net/Gross Code	O	ID 1/1		
			Code indicating whether monetary values are net or gross				
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2		
			Code used to benchmark, qualify or further define a measure	rement	value		
Not Used	C00708	352	Description	O	AN 1/80		
			A free-form description to clarify the related data elements	and the			
	C00709	1271	Industry Code	O	AN 1/30		
			Code indicating a code from a specific industry code list				
			1. Use this data element to cite a code from the California Disclosure Code List Section 05.	г Сатр	paign		
			2. Cite either code 05-A1 or 05-A2 only.				
	AWD02	782	Monetary Amount	X	R 1/18		
			Monetary amount				
			Use this segment to identify the monetary amount associa qualifier codes cited in the AWD01 composite data element				
Not Used	AWD03	61	Free-Form Message	X	AN 1/30		
			Free-form information				
Not Used	AWD04	100	Currency Code	О	ID 3/3		
			Code (Standard ISO) for country in whose currency the cha	arges ar	re specified		

Segment: DTM Date/Time Reference

**Position:** 2802

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the receipt date of the contribution.

	Ref.	Data		•			
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>	
M	DTM01	374	Date/Time Qualifi	er	M	ID 3/3	
			Code specifying typ	be of date or time, or both date and time			
			050	Received			
				Use this code to indicate the receipt date contribution.	e of a	а	
	DTM02	373	Date		X	<b>DT 8/8</b>	
			Date expressed as C	CCYYMMDD			
			Use this data eleme	ent to identify the date of the contribution	i <b>.</b>		
Not Used	DTM03	337	Time		X	TM 4/8	
Not Used	DTM04	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (0 59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)				
Not Oseu	D11104	023	<b>Time Code</b> Code identifying th	e time. In accordance with International S	<b>O</b> tanda	<b>ID 2/2</b> ords	
			Organization standard 8601, time can be specified by a + or - and an indicat in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that foll				
Not Used	DTM05	1250	<b>Date Time Period</b>	Format Qualifier	X	ID 2/3	
			Code indicating the	date format, time format, or date and tim	e forr	nat	
Not Used	<b>DTM06</b>	1251	<b>Date Time Period</b>		X	AN 1/35	
			Expression of a date, a time, or range of dates, times or dates and times				

Segment: N9 Reference Identification

Position: 3102

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

### **Comments:**

**Notes:** 

1. When NM101 is code EAH or GG, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a contributor or an intermediary. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with a contributor or an intermediary. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

	Ref.	Data	•				
	Des.	<b>Element</b>	Name		<u>ributes</u>		
M	N901	128	Reference Identification Qualifier	M	ID 2/3		
			Code qualifying the Reference Identification				
			TN Transaction Reference Number				
			Use this code to indicate a monetary to identifier applicable to an amount. The transaction identifier MUST be the same amounts within the same monetary transaction identifier MUST be used it where the monetary transaction is proamendments.	is moi me foi insact noneta n all s vided	netary r all rion ary chedules and in		
	N902	127	Reference Identification	X	AN 1/50		
Not Used	N903	369	Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier <b>Free-form Description</b>	n Set o	or as AN 1/45		
			Free-form descriptive text				
Not Used	N904	373	Date	0	DT 8/8		
	- 1,7 4 -		Date expressed as CCYYMMDD				
Not Used	N905	337	Time	X	TM 4/8		
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)  Time Code  O ID 2/2				
Not Used	N907	C040	Code identifying the time. In accordance with International Stream Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the Reference Identifier	- and e; sin	an indication ce + is a		
			To identify one or more reference numbers or identification numbers as				

Not Used	C04001	128	specified by the Reference Qualifier  Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transact specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04003	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transact specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50

Segment: MTX Text

Position: 3502

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	•		
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which	the note	applies
			ADD Additional Information		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	$\mathbf{X}$	ID 2/2
			A field to be used for the control of the line feed of the rec	eiving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: HL Hierarchical Level

Position: 0103

Loop: HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 490, Schedule A-1, Contributions Transferred to a Special Election Committee. Although the form 490 is obsolete, Schedule A-1 is to be filed with new form CA460, if applicable.

			Duta Licin	ent Summary			
M	Ref. <u>Des.</u> HL01	Data Element 628	<u>Name</u> Hierarchical ID No	umber	Attributes M AN 1/12		
			-	A unique number assigned by the sender to identify a particular data segment in a hierarchical structure			
			loop. It is recomme	nt to provide a unique number that can ended that the first HL loop be identified t and that each successive HL loop be in	l by the number 1		
Not Used	HL02	734	Hierarchical Paren	nt ID Number	O AN 1/12		
			Identification number of the next higher hierarchical data segment that the d segment being described is subordinate to				
M	HL03	735	Hierarchical Level	Code	M ID 1/2		
			Code defining the c	haracteristic of a level in a hierarchical st	ructure		
			1. This data element is used to identify the type of data that is being transmitted within the current HL loop.				
			2. Each of the liste	d codes MUST be used only one time.			
			EC	Receipts			
				1. Use this code to indicate transferred	d contributions.		
				2. When this code is used, the 2/NM1/	0700 loop		
				MUST be used to identify all of the con	ntributors and		
				the 2/AWD/2700 loop MUST also be u	sed to identify		
				applicable amounts.			
			RP	Report			
				1. Use this code to indicate that the da	ta which		

follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

 $\begin{array}{cccc} \textbf{Segment:} & \textbf{N9} & \textbf{Reference Identification} \\ \textbf{Position:} & 0203 & \\ \textbf{Loop:} & N9 & Optional (Must Use) \end{array}$ 

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To transmit identifying information as specified by the Reference Identification Qualifier
Syntax Notes: 1 At least one of N902 or N903 is required.

At least one of N902 or N903 is required.
 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.
4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted.

	Ref.	Data	Data Element Summary			
	Des.	<b>Element</b>	<u>Name</u> <u>Attributes</u>			
M	N901	128	Reference Identification Qualifier	M	ID 2/3	
			Code qualifying the Reference Identification			
			Use this data element to cite a code that qualifies the data	carrie	d in N902.	
			FQ Form Number			
			Use this code to indicate the number	of the	form being	
	N902	127	transmitted.  Reference Identification	X	AN 1/50	
	11902	127	Reference information as defined for a particular Transaction			
			specified by the Reference Identification Qualifier	ni set	or as	
			Use this data element to cite the form number, e.g. ,F490A	<i>-1</i> .		
Not Used	N903	369	Free-form Description	X	AN 1/45	
			Free-form descriptive text			
Not Used	N904	373	Date	0	<b>DT 8/8</b>	
			Date expressed as CCYYMMDD			
Not Used	N905	337	Time		TM 4/8	
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, HHMMSSD, or HHMMSSDD, where H = hours (00-23), N 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundre <b>Time Code</b>	M = mis; decir	nutes (00- mal seconds	
Not Used	N907	C040	Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication ace + is a	
			To identify one or more reference numbers or identification	numb	ers as	
Not Used	C04001	128	specified by the Reference Qualifier  Reference Identification Qualifier	M	ID 2/3	
Not Osea	C04001	120	Code qualifying the Reference Identification	IVI	ID 2/3	
Not Used	C04002	127	Reference Identification	M	AN 1/50	
			Reference information as defined for a particular Transaction	on Set		
			specified by the Reference Identification Qualifier			
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04004	127	Reference Identification	X	AN 1/50	
			Reference information as defined for a particular Transaction Set or as			

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio	n Set	or as
			specified by the Reference Identification Qualifier		

Segment: MTX Text

Position: 0603

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	·		
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	$\mathbf{X}$	ID 2/2
			A field to be used for the control of the line feed of the received	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

NM1 Individual or Organizational Name **Segment:** 

**Position:** 0703

> NM1 Loop: Optional (Must Use)

Level: Detail

Optional (Must Use) Usage:

Max Use:

**Purpose:** To supply the full name of an individual or organizational entity **Syntax Notes:** If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 1

**Notes:** 

NM102 qualifies NM103.

**Comments:** 

NM110 and NM111 further define the type of entity in NM101.

1. When HL03 is code EC, use this loop as many times as necessary to identify all contributors and associated entities of transferred contributions.

4. Each identified contributor, (NM101 is code EAH) and associated entities (NM101 is code 36 - employer of a contributor, BUS - business name of a self employed contributor, or EBN - treasurer of a committee) MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the cross reference number in N902. The cross reference number of the contributor and associated entity MUST be the same.

	Dof	Data	Data Elem	ent Summary	
M	Ref. <u>Des.</u> NM101	Data <u>Element</u> 98	Name Entity Identifier Code Code identifying an organizational entity, a physical location individual		Attributes M ID 2/3 , property or an
			36	Employer  1. When NM101 is code EAH and NM use this code to indicate the employer of contributor.	
			DUG	2. When this code is used, NM102 sho and the 2/N9/1200 segment MUST be a cross reference number.	
			BUS	Business 1. When NM101 is code EAH and NM use this code to indicate the business neemployed contributor.	
			EAH	2. When this code is used, NM102 sho the 2/N9/1200 segment MUST be used cross reference number.	
			ЕАН	Contributor  1. When HL03 is code EC, use of this REQUIRED to indicate an entity who is	is a contributor.
				2. When this code is used, NM102 may	

or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number, the 2/EMS/1500 segment may be used to identify the contributor's occupation, and the 2/AWD/2700 loop MUST be used to identify

applicable amounts.

**EBN** Treasurer

> 1. When NM101 is code EAH and NM102 is code 6, use this code, if no committee identification number has been assigned, to indicate the treasurer of the committee.

2. When this code is used, NM102 should cite code 1 and the 2/N9/1200 segment MUST be used to provide a cross reference number.

Entity Type Qualifier

M ID 1/1

				a cross reference number.				
M	NM102	1065	<b>Entity Type Qual</b>	ifier	M	ID 1/1		
			Code qualifying the type of entity					
			Use this data element to identify the entity qualified in NM101 as an					
			individual, a committee, or an entity other than a person or committee.					
			1	Person				
				Use this code to indicate an individual.				
			2	Non-Person Entity				
				Use this code to indicate an entity othe	r tha	n an		
				individual or committee.				
			6	Organization				
				Use this code to indicate a committee.				
Must Use	NM103	1035	Name Last or Org	ganization Name	O	AN 1/60		
			Individual last nan	ne or organizational name				
			1. Use of this data	element is REQUIRED to provide the la	st na	me of a		
			person, or the nan	ne of an organization.				
				is code 1 and the person has a moniker (n	ickn	ime), use the		
			2/1N2/0800 segmen	t to provide the moniker.				
			3 When NM102 i	is code 2 or 6 and the name of the organiz	zation	is areater		
				s, use the 2/N2/0800 segment to provide the				
			name organization	,		<b>,</b>		
	NM104	1036	Name First		O	AN 1/35		
			Individual first nar	ne				
	NM105	1037	Name Middle		O	AN 1/25		
			Individual middle	name or initial				
	NM106	1038	Name Prefix		O	AN 1/10		
			Prefix to individua	l name				
	NM107	1039	Name Suffix		O	AN 1/10		
			Suffix to individua	l name				
	NM108	66	Identification Cod		X	ID 1/2		
	1111100	00		he system/method of code structure used f		· ·		
			Code (67)	ne system/method of code structure used i	or ra	mineation		
			. ,	ode EAH, NM102 is code 6, use this data	elem	ent to		
				ication number in NM109.				
			75	State or Province Assigned Number				
				1. When NM101 is code EAH and NM				
				use this code to indicate the state assig				
				identification number, if one has been	assig	ned.		
				2. If no identification number has bee	n ass	ianed		
				there MUST be another iteration of the				
				with NM101 citing code EBN.	U 1 V17 <u>1</u>	1 segment		
	NM109	67	<b>Identification Cod</b>		X	AN 2/80		
			Code identifying a	party or other code				
				ent to provide the state assigned committee	ee ide	ntification		
			number, if one ha			•		
Not Used	NM110	706	<b>Entity Relationsh</b>	ip Code	X	ID 2/2		
			Code describing er	ntity relationship				
Not Used	NM111	98	<b>Entity Identifier</b>	Code	O	ID 2/3		
			Code identifying a	n organizational entity, a physical location	, pro	perty or an		
			individual	<del>-</del>				

Segment: N2 Additional Name Information

**Position:** 0803

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 2

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

Notes: 1. When NM102 in the 2/NM1/0700 segment is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<b>Attributes</b>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the monumeric 1, 2, or 3.	oniker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0903

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data Element 166	Name Address Information	Attributes M AN 1/55
			Address information	
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1003

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

Only one of N402 or N407 may be present.
 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

Comments: 1 A combin

1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	Duta Biomone Summary				
	Des.	<b>Element</b>	<u>Name</u>	<u>Attr</u>	<u>ttributes</u>		
	N401	19	City Name	O	AN 2/30		
			Free-form text for city name				
	N402	156	State or Province Code	X	ID 2/2		
			Code (Standard State/Province) as defined by appropriate government	vernn	nent agency		
Must Use	N403	116	Postal Code	O	ID 3/15		
			Code defining international postal zone code excluding punctuation and blanks (zip code for United States)				
			This data element MUST be used to provide a ZIP code.				
Not Used	N404	26	Country Code	X	ID 2/3		
			Code identifying the country				
Not Used	N405	309	Location Qualifier	X	ID 1/2		
			Code identifying type of location				
Not Used	N406	310	Location Identifier	O	AN 1/30		
			Code which identifies a specific location				
Not Used	N407	1715	Country Subdivision Code	$\mathbf{X}$	ID 1/3		
			Code identifying the country subdivision				

Segment: N9 Reference Identification

Position: 1203

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When NM101 in the 2/NM1/0700 segment is code 36, BUS, EAH, or EBN, use of this segment is REQUIRED to provide cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all

forms and schedules and when submitting an amendment.

Data Element Summary							
	Ref.	Data	N		<b>A</b> 44	•1 4	
M	<u>Des.</u> N901	<u>Element</u> 128	Name Reference Identification Qualifier		Attr M	<u>ibutes</u> ID 2/3	
IVI	11901	120	Code qualifying the Reference Identifica	ution	IVI	ID 2/3	
			60 Cross Reference N				
						wash on the at	
				dicate a cross referen e entities identified in		umber inai	
			2/NM1/0700 segme		ııı		
	N902	127	Reference Identification		X	AN 1/50	
			Reference information as defined for a p	articular Transaction	Set o	or as	
			specified by the Reference Identification	Qualifier			
Not Used	N903	369	Free-form Description		X	AN 1/45	
			Free-form descriptive text				
Not Used	N904	373	Date		0	<b>DT 8/8</b>	
			Date expressed as CCYYMMDD				
Not Used	N905	337	Time		X	TM 4/8	
			Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or				
			HHMMSSD, or HHMMSSDD, where H				
			59), $S = integer seconds (00-59) and DD are expressed as follows: D = tenths (0-9)$				
Not Used	N906	623	Time Code		0) en	ID 2/2	
110t Obcu	11,700	020	Code identifying the time. In accordance	with International St	_		
			Organization standard 8601, time can be				
			in hours in relation to Universal Time Co				
			restricted character, + and - are substitut	ed by P and M in the		s that follow	
Not Used	N907	C040	Reference Identifier		0		
			To identify one or more reference number	ers or identification n	umbe	ers as	
Not Used	C04001	128	specified by the Reference Qualifier  Reference Identification Qualifier		M	ID 2/3	
Not Oscu	C04001	120	Code qualifying the Reference Identifica	ation	171	10 2/3	
Not Used	C04002	127	Reference Identification	ition	M	AN 1/50	
Not Oscu	C04002	14/	Reference information as defined for a p	articular Transaction			
			specified by the Reference Identification		SCI C	n as	
Not Used	C04003	128	Reference Identification Qualifier	Quantitor	X	ID 2/3	
			Code qualifying the Reference Identifica	ition			
Not Used	C04004	127	Reference Identification		X	AN 1/50	
113CA460 (004021)			91		Sept	ember 6, 1999	

			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	
Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID $2/3$
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

EMS Employment Position **Segment: Position:** 1503 Loop: NM1 Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 **Purpose:** To describe employment position **Syntax Notes:** If either EMS05 or EMS06 is present, then the other is required. If either EMS07 or EMS08 is present, then the other is required. **Semantic Notes:** 1 EMS01 describes the employee's title or position in text. 2 EMS02 defines the employment position relationship. 3 EMS03 defines the occupation category. 4 EMS04 defines the status of employment. 5 EMS05 identifies EMS06 as pay grade. EMS06 defines pay grade for employee, if available. **Comments:** When NM101 in the 2/NM1/0700 segment is code EAH and NM102 is code 1, use of **Notes:** this segment is REQUIRED to identify the occupation of the contributor. **Data Element Summary** 

	Ref.	Data	·		
	Des.	<u>Element</u>	Name	_	ributes
	EMS01	352	Description	O	AN 1/80
			A free-form description to clarify the related data elements a	ınd th	eir content
			1. Use this data element to identify the occupation of the c		ŕ
			2. This data element is limited to 60 characters. Exceeding the risk of the Secretary of State's office not receiving all of the Secretary of State's office not receiving all of the Secretary of State's office not received.	_	
Not Used	EMS02	1176	<b>Employment Class Code</b>	O	ID 2/3
			Code indicating category of employee		
Not Used	EMS03	1149	Occupation Code	O	<b>ID</b> 4/6
			Code identifying the occupation of an individual		
Not Used	EMS04	584	<b>Employment Status Code</b>	O	ID 2/2
			Code showing the general employment status of an employee	e/clai	mant
Not Used	EMS05	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	EMS06	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	or as
Not Used	<b>EMS07</b>	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	or as
Not Used	EMS08	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3

Segment: AWD Amount with Description

Position: 2703

Loop: AWD Optional

Level: Detail
Usage: Optional
ax Use: 1

Max Use:

**Notes:** 

Purpose: To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

1 AWD03 is used when information source provides non-numeric values.

When NM101 is code EAH, use this segment to provide amounts applicable to a transferred contribution.

2. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 - 03) to qualify the following amounts:

Amount transferred from Contributor:

AWD01-01 code 93 AWD01-02 code AGH

Cumulative Amount of Contributions Received - Special Election:

AWD01-01 code 93 AWD01-02 code EAI AWD01-03 code 2

2/LQ/3300, LQ02 code 06-SE

Cumulative Amount of Contributions Received - Special Runoff Election:

AWD01-01 code 93 AWD01-02 code EAI AWD01-03 code 2

2/LQ/3300, LQ01 code 06-SRE

	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>		Att	<u>ributes</u>	
$\mathbf{M}$	AWD01	C007	Amount Quali	Amount Qualifying Description			
			To qualify an a	mount			
			Use this compo	osite data element to provide multiple quali n AWD02.	fies tha	it identify the	
$\mathbf{M}$	C00701	522	<b>Amount Quali</b>	fier Code	M	ID 1/3	
			Code to qualify	amount			
			93				
				Use this code to indicate a contributi	on amo	ount.	
	C00702	522	Amount Quali	fier Code	0	ID 1/3	
			Code to qualify	amount			
			AGH	Transferred Amount			
			EAI	Amount Received			
	C00703	1638	Value Detail C	Code	O	<b>ID</b> 1/1	
			Code identifyir	ng extent of an amount or quantity			
			2	Cumulative			
Not Used	C00704	935	Measurement	Significance Code	O	<b>ID</b> 2/2	
			Code used to be	enchmark, qualify or further define a measu	rement	value	
Not Used	C00705	344	Unit of Time I	Period or Interval	O	ID 2/2	
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			Code indicating the time period or interval				
Not Used	C00706	1637	Net/Gross Code	O	<b>ID 1/1</b>		
			Code indicating whether monetary values are net or gross				
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2		
			Code used to benchmark, qualify or further define a measurer	ment	value		
Not Used	C00708	352	Description	O	AN 1/80		
			A free-form description to clarify the related data elements ar	nd the	eir content		
Not Used	C00709	1271	Industry Code	O	AN 1/30		
			Code indicating a code from a specific industry code list				
	AWD02	782	Monetary Amount	$\mathbf{X}$	R 1/18		
			Monetary amount				
			Use this segment to identify the monetary amount associated with the qualifier codes cited in the AWD01 composite data element.				
Not Used	AWD03	61	Free-Form Message	X	AN 1/30		
			Free-form information				
Not Used	AWD04	100	Currency Code	O	ID 3/3		
			Code (Standard ISO) for country in whose currency the charges are specified				

Segment: DTM Date/Time Reference

**Position:** 2803

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes:

Use this segment to identify the original receipt date of the contribution.

	Ref.	Data		•		
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ibutes</u>
M	DTM01	374	Date/Time Qualific	er	M	ID 3/3
			Code specifying typ	be of date or time, or both date and time		
			922	Original Receipt		
				Use this code to indicate the original recontribution.	ceipt	date of a
	DTM02	373	Date		X	<b>DT 8/8</b>
			Date expressed as C	CCYYMMDD		
			Use this data eleme	nt to identify the date of the contribution	·.	
Not Used	DTM03	337	Time		X	TM 4/8
			HHMMSSD, or HH 59), S = integer second	24-hour clock time as follows: HHMM, or IMMSSDD, where H = hours (00-23), M onds (00-59) and DD = decimal seconds; lows: D = tenths (0-9) and DD = hundred	= mii decin	nutes (00- nal seconds
Not Used	DTM04	623	Time Code		O	ID 2/2
			Organization standa in hours in relation	e time. In accordance with International S ard 8601, time can be specified by a + or - to Universal Time Coordinate (UTC) time + and - are substituted by P and M in the	and a	an indication ce + is a
Not Used	<b>DTM05</b>	1250	Date Time Period	· · · · · · · · · · · · · · · · · · ·	X	ID 2/3
			Code indicating the	date format, time format, or date and tim	e forr	nat
Not Used	DTM06	1251	<b>Date Time Period</b>		X	AN 1/35
			Expression of a date	e, a time, or range of dates, times or dates	and t	imes

Segment: N9 Reference Identification

**Position:** 3103

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
  3 If either C04003 or C04004 is present, then the other is
- If either C04003 or C04004 is present, then the other is required.
   If either C04005 or C04006 is present, then the other is required.
- 4 If either C04003 of C04000 is present, then the other is

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

### **Comments:**

**Notes:** 

1. When NM101 is code EAH, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a contributor. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with a contributor. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

	Ref.	Data	·				
	Des.	<b>Element</b>	<u>Name</u>		<u>ributes</u>		
M	N901	128	Reference Identification Qualifier	M	ID 2/3		
			Code qualifying the Reference Identification				
			TN Transaction Reference Number				
			Use this code to indicate a monetary to identifier applicable to an amount. The transaction identifier MUST be the seamounts within the same monetary transaction identifier MUST be used where the monetary transaction is preamentments.	his mod ume for ansact moneto in all s	netary r all tion ary schedules		
	N902	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier				
Not Used	N903	369	Free-form Description	X	AN 1/45		
			Free-form descriptive text				
Not Used	N904	373	Date	O	<b>DT 8/8</b>		
			Date expressed as CCYYMMDD				
Not Used	N905	337	Time	X	TM 4/8		
			Time expressed in 24-hour clock time as follows: HHMM, HHMMSSD, or HHMMSSDD, where H = hours (00-23), N 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred	M = mis; decir	nutes (00- nal seconds		
Not Used	N906	623	Time Code	O	ID 2/2		
Not Used	N907	C040	Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) tir restricted character, + and - are substituted by P and M in the Reference Identifier	· - and ne; sin	an indication ce + is a		
1,30 0504	21207	20.0	To identify one or more reference numbers or identification specified by the Reference Qualifier	_	ers as		

Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

Segment: LQ Industry Code

**Position:** 3303

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

Purpose: Code to transmit standard industry codes

Syntax Notes: 1 If LQ01 is present, then LQ02 is required.

**Semantic Notes:** Comments:

**Notes:** 

- 1. When AWD01-03 in the 2/AWD/2700 segment is code 2, use this segment to identify the type of election.
- 2. The type of election is identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 3. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Ref.	Data								
Des.	<b>Element</b>	<u>Name</u>	<b>Attributes</b>						
LQ01	1270	Code List Qualifier Code	O ID 1/3						
		Code identifying a specific industry code list							
		Use this data element to identify the code list that contains the c in LQ02.							
		EAF California Campaign Disclosure Repo	ort Codes						
LQ02	1271	Industry Code	X AN 1/30						
		Code indicating a code from a specific industry code list  Enter a code from section 06 of the California Campaign Disclosure Code							
		List.							

Segment: MTX Text

Position: 3503

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which	the note	applies
			ADD Additional Information		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the red	eiving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

**HL** Hierarchical Level **Segment:** 

0104 **Position:** 

> HLLoop: Optional (Must Use)

Level: Detail

Optional (Must Use) Usage:

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

**Syntax Notes: Semantic Notes: Comments:** 

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule B, Part 1, Loans Received.

Data Element Summary							
	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>			<u>ributes</u>	
M	HL01	628	Hierarchical ID N	umber	M	AN 1/12	
			A unique number as	ssigned by the sender to identify a particu	lar da	ata segment	
			in a hierarchical stru				
				nt to provide a unique number that can			
			_	ended that the first HL loop be identified	-		
	^-			t and that each successive HL loop be in		-	
Not Used	HL02	734	Hierarchical Parei	19//9 7-	O	AN 1/12	
				er of the next higher hierarchical data seg	ment	that the data	
3.6	111.02	505	-	ribed is subordinate to	3.6	ID 1/2	
M	HL03	735	Hierarchical Level Code M ID 1/2				
			Code defining the characteristic of a level in a hierarchical structure				
			1. This data element is used to identify the type of data that is being				
			transmitted within the current HL loop.				
				I I MITTER I I I			
			EB	d codes MUST be used only one time.  Filer			
			ED			C. T. T.	
				1. Use this code to indicate the submitted	ter of	the data	
				on the form 460, Schedule B, Part 1.			
				2 When this code is used the 2/NM1/	0700	soamont	
			2. When this code is used, the 2/NM1/0700 segment MUST be used to identify the filer and the				
			2/AWD/2700 loop also MUST be used to identify				
				summary amounts for form 460, School		~ ~	
			LN	Loan Data		,	
				1. Use this code to indicate data applicate	able	to a	

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received loan.

2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all the lenders and guarantors, and all associated entities; and the 2/AWD/2700 loop also MUST be used to identify applicable amounts.

RP

Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment: Position:** 0204 Loop: N9 Optional (Must Use) Level: Detail Optional (Must Use) Usage: Max Use: **Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier At least one of N902 or N903 is required. **Syntax Notes:** If N906 is present, then N905 is required. 3 If either C04003 or C04004 is present, then the other is required. 4 If either C04005 or C04006 is present, then the other is required. **Semantic Notes:** 1 N906 reflects the time zone which the time reflects. N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted.

	Ref.	Data						
	Des.	<b>Element</b>	<u>Name</u>		Att	<u>ributes</u>		
M	N901	128	Reference Id	Reference Identification Qualifier		ID 2/3		
			Code qualifyi	Code qualifying the Reference Identification				
			Use this data	element to cite a code that qualifies the data	carrie	rried in N902.		
			FQ	Form Number				
				Use this code to indicate the number of transmitted.	of the	form being		
	N902	127	Reference Id	entification	X	AN 1/50		
			specified by the	ormation as defined for a particular Transactio he Reference Identification Qualifier		1 Set or as		
			Use this data	element to cite the form number, e.g., F460B	<i>-1</i> .			
Not Used	N903	369	Free-form De	escription	X	AN 1/45		
			Free-form des	scriptive text				
Not Used	N904	373	Date		O	<b>DT 8/8</b>		
			Date expresse	ed as CCYYMMDD				
Not Used	N905	337	Time		$\mathbf{X}$	TM 4/8		
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where $H = hours (00-23)$ , $M = minutes (00-59)$ , $S = integer seconds (00-59)$ and $DD = decimal seconds$ ; decimal seconds are expressed as follows: $D = tenths (0-9)$ and $DD = hundredths (00-99)$ Time Code  O ID 2/2					
Not Used	N907	C040	Organization in hours in rel	ing the time. In accordance with International standard 8601, time can be specified by a + or lation to Universal Time Coordinate (UTC) timracter, + and - are substituted by P and M in the entifier	- and ne; sin	an indication ace + is a		
				ne or more reference numbers or identification	numb	ers as		
Not Used	C04001	128		he Reference Qualifier entification Qualifier	M	ID 2/3		
110t Oscu	C04001	120		ng the Reference Identification	171	ID 2/3		
Not Used	C04002	127	Reference Id	entification	M	AN 1/50		
				ormation as defined for a particular Transactio he Reference Identification Qualifier	n Set	or as		
Not Used	C04003	128	Reference Id	entification Qualifier	X	ID 2/3		
			Code qualifyi	ng the Reference Identification				
Not Used	C04004	127	Reference Id	entification	X	AN 1/50		
			Reference infe	ormation as defined for a particular Transactio	n Set	or as		

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	n Set	or as
			specified by the Reference Identification Qualifier		

MTX Text **Segment:** 

**Position:** 0604

> Loop: N9 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

To specify textual data

If MTX01 is present, then MTX02 is required. **Syntax Notes:** 

- If MTX03 is present, then MTX02 is required.
- If MTX05 is present, then MTX04 is required. 3

**Semantic Notes: Comments:**  1 MTX05 is the number of lines to advance before printing.

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	$\mathbf{X}$	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the receive	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: NM1 Individual or Organizational Name

Position: 0704

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Purpose: To supply the full name of an individual or organizational entity

Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

1 NM110 and NM111 further define the type of entity in NM101.

Notes:

1. When HL03 cites code EB, use this loop to identify the filer of the form 460B-1. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.
- 3. When HL03 is code LN, use this loop as many times as necessary to identify all lenders and guarantors of a loan.
- 4. Each identified lender (NM101 is code LN) or guarantor (NM101 is code EAM) and associated entities (NM101 is code 36 employer of a lender/guarantor, BUS business name of a self employed lender/guarantor, GG intermediary, or EBN treasurer of a committee) MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the cross reference number in N902. The cross reference number of the lender/guarantor and associated entity MUST be unique and the same for each group of related entities.

# **Data Element Summary**

			Data Elem	ent Summary	
	Ref.	Data			
	Des.	<b>Element</b>	Name		<b>Attributes</b>
M	NM101	98	Entity Identifier C	ode	M ID 2/3
				organizational entity, a physical location	, property or an
			individual		
			36	Employer	
				1. When NM101 is code EAM or LN a code 1, use this code to indicate the emplender or guarantor.	
				2. When this code is used, NM102 sho and the 2/N9/1200 segment MUST be a cross reference number.	
			BUS	Business	
				1. When NM101 is code EAM or LN a code 1, use this code to indicate the bus a self employed lender or guarantor.	
				2. When this code is used, NM102 sho the 2/N9/1200 segment MUST be used cross reference number.	
			EAM	Guarantor	
				1. When HL03 in the 1/HL/0100 segm use this code to indicate a guarantor of	
				2. When this code is used, NM102 may	y cite code 1, 2,

or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number, the 2/EMS/1500 segment may be used to identify the guarantor's occupation,

and the 2/AWD/2700 loop MUST be used to identify applicable amounts.

3. When this code is used and NM102 is code 6, NM108/109 should be used to provide the state assigned committee identification number, if one has been received. If an identification number has not been received, use another iteration of this segment with NM101 citing code EBN.

**EBN** 

Treasurer

- 1. When NM101 is code EAM or LN and NM102 is code 6, use this code, if no committee identification number has been assigned, to indicate the treasurer of the committee.
- 2. When this code is used, NM102 should cite code 1 and the 2/N9/1200 segment MUST be used to provide a cross reference number.

FN

Filer Name

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide the summary amounts in the 2/AWD/2700 loop and may be discarded by the receiving application.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 2. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify summary amounts.

GG

Intermediary

- 1. Use this code to indicate the intermediary through whom a loan was received.
- 2. When this code is used, the same information applicable to the loan MUST be provided for both the intermediary and the lender.
- 3. When this code is used, NM102 may cite code 1 or 2, the 2/N9/1200 segment MUST be used to provide a cross reference number, and 2/AWD/2700 loop MUST be used to identify applicable amounts.

LN

Lender

- 1. When HL03 in the 1/HL/0100 segment is code LN, use this code to indicate the lender of a loan.
- 2. When this code is used, NM102 may cite code 1, 2 or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number, the 2/EMS/1500 segment may be used to identify the lender's occupation, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. When this code is used and NM102 is code 6, NM108/109 should be used to provide the state assigned committee identification number, if one has been received. If an identification number has not been received, use another iteration of this segment

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				with NM101 citing code EBN.			
M	NM102	1065	Entity Type Qua		M	ID 1/1	
			Code qualifying the				
				element to identify the entity qualified in	NM10	1 as an	
				mittee, or an entity other than a person o			
				is code EAM or LN, the listed codes are of guarantor or lender.	specif	ically used to	
			1	Person			
				Use this code to indicate an individua	ıl.		
			2	Non-Person Entity			
				Use this code to indicate an entity oth individual or committee.	er tha	n an	
			6	Organization			
				Use this code to indicate a committee	•		
Must Use	NM103	1035		ganization Name	O	AN 1/60	
				me or organizational name			
			1. Use of this dat	a element is REQUIRED to provide the	name (	of an entity.	
				is code 1 and the person has a moniker not to provide the moniker.	(nickn	ame), use the	
			than 60 character	is code 2 or 6 and the name of the organ rs, use the 2/N2/0800 segment to provide			
	NM104	1036	<i>name organizatio</i> Name First	n s name.	О	AN 1/35	
			Individual first na	me	•	2.22	
	NM105	1037	Name Middle		O	AN 1/25	
			Individual middle	name or initial			
	NM106	1038	Name Prefix		o	AN 1/10	
			Prefix to individua	al name			
	NM107	1039	Name Suffix		O	AN 1/10	
			Suffix to individu	al name			
	NM108	66	Identification Co	ode Qualifier	X	ID 1/2	
			Code designating Code (67)	the system/method of code structure used	for Id	entification	
			element to qualify	code EAM or LN, and NM102 is code 6, the identification number in NM109.	use th	is data	
			75	State or Province Assigned Number	1 1		
				1. When NM101 is code EAM or LN code 6, use this code to indicate the state.			
				committee identification number, if o assigned.		_	
				2. If no identification number has be there MUST be another iteration of twith NM101 citing code EBN.			
	NM109	67	<b>Identification</b> Co		X	AN 2/80	
			Code identifying	a party or other code			
				nent to provide the state assigned commi	ttee id	entification	
NI_ATI T	NTR#440	<b>5</b> 0 <	number, if one ha		¥7	ID 2/2	
Not Used	NM110	706	Entity Relationsl	_	X	ID 2/2	
NI-AFI F	NIN#444	00	Code describing e	•	•	ID 2/2	
Not Used	NM111	98	Entity Identifier		0	ID 2/3	
			Code identifying an organizational entity, a physical location, property or an individual				

Segment: N2 Additional Name Information

**Position:** 0804

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 2 Purpose: 7

ose: To specify additional names

Syntax Notes: Semantic Notes: Comments:

Notes:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

	Ref.	Data		A 44 * 73 - 4
	Des.	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the monnumeric 1, 2, or 3.	niker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

Position: 0904

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref. <u>Des.</u> N301	Data Element 166	Name Address Information	Attributes M AN 1/55
			Address information	
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1004

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	Duta Exement Summary		
	Des.	Element	Name	Attı	ributes
	N401	19	City Name	O	AN 2/30
			Free-form text for city name		
	N402	156	State or Province Code	$\mathbf{X}$	ID 2/2
			Code (Standard State/Province) as defined by appropriate go	vernr	nent agency
Must Use	N403	116	Postal Code	O	ID 3/15
			Code defining international postal zone code excluding punc (zip code for United States)	tuatio	on and blanks
			This data element MUST be used to provide a ZIP code.		
Not Used	N404	26	Country Code	X	ID 2/3
			Code identifying the country		
Not Used	N405	309	Location Qualifier	$\mathbf{X}$	ID 1/2
			Code identifying type of location		
Not Used	N406	310	Location Identifier	O	AN 1/30
			Code which identifies a specific location		
Not Used	N407	1715	<b>Country Subdivision Code</b>	X	ID 1/3
			Code identifying the country subdivision		

Segment: N9 Reference Identification

Position: 1204

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When NM101 in the 2/NM1/0700 segment is code 36, BUS, EAM, EBN, GG, or LN, use of this segment is REQUIRED to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.

			<b>Data Element Summary</b>		
M	Ref. <u>Des.</u> N901	Data <u>Element</u> 128	Name Reference Identification Qualifier	_	ributes ID 2/3
	11,502	120	Code qualifying the Reference Identification		22 2/0
			60 Cross Reference Number		
			Use this code to indicate a cross refere is used to associate entities identified in 2/NM1/0700 segment.	n the	
	N902	127	Reference Identification	X	AN 1/50
	77004		Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	N903	369	Free-form Description	X	AN 1/45
	27004	2=2	Free-form descriptive text		TO 150 0 100
Not Used	N904	373	Date	O	DT 8/8
NI	N100 F	225	Date expressed as CCYYMMDD	<b>T</b> 7	FD # 4/0
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, o HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	= min decin	nutes (00- nal seconds
		G0.10	Code identifying the time. In accordance with International S Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the	e; sine code	an indication ce + is a
Not Used	N907	C040	Reference Identifier	0	
			To identify one or more reference numbers or identification a specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
** . **	G0.400.1		Code qualifying the Reference Identification		1374/50
Not Used	C04004	127	Reference Identification	X	AN 1/50
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			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	
Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

EMS Employment Position **Segment: Position:** 1504 Loop: NM1 Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 **Purpose:** To describe employment position If either EMS05 or EMS06 is present, then the other is required. **Syntax Notes:** If either EMS07 or EMS08 is present, then the other is required. **Semantic Notes:** 1 EMS01 describes the employee's title or position in text. 2 EMS02 defines the employment position relationship. 3 EMS03 defines the occupation category. 4 EMS04 defines the status of employment. 5 EMS05 identifies EMS06 as pay grade. EMS06 defines pay grade for employee, if available. **Comments:** When NM101 in the 2/NM1/0700 segment is code EAM or LN, use of this segment is **Notes:** REQUIRED to identify the occupation of the guarantor or lender.

			Data Element Summary		
	Ref.	Data			
	Des.	Element	Name		ributes
	EMS01	352	Description	O	AN 1/80
			A free-form description to clarify the related data elements a	nd the	eir content
			1. Use this data element to identify the occupation of the le guarantor, in text.		
			2. This data element is limited to 60 characters. Exceeding the risk of the Secretary of State's office not receiving all of		
Not Used	EMS02	1176	Employment Class Code	O	ID 2/3
1100 0000	21.1002	11.0	Code indicating category of employee	Ü	12 2,0
Not Used	EMS03	1149	Occupation Code	O	ID 4/6
1100 0500	21,1500	11.7	Code identifying the occupation of an individual	Ü	12 1,0
Not Used	EMS04	584	Employment Status Code	O	ID 2/2
- 100 0000			Code showing the general employment status of an employee	_	mant
Not Used	EMS05	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	EMS06	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set o	or as
Not Used	<b>EMS07</b>	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set o	or as
Not Used	EMS08	128	Reference Identification Qualifier	X	ID 2/3

Code qualifying the Reference Identification

Segment: AWD Amount with Description

Position: 2704

Loop: AWD Optional

Level: Detail
Usage: Optional
ax Use: 1

1

Max Use:

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

Semantic Notes: Comments:

Notes:

1. When NM101 in the 2/NM1/0700 segment is code EAM, GG, or LN, use this loop to provide loan information applicable to the guarantor, intermediary, or lender. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.

AWD03 is used when information source provides non-numeric values.

- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to provide summary amounts applicable to form 460, Schedule B, Part 1 and Part 2.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 09) to qualify the following amounts:

Amount of Loan: AWD01-01 code NO

Lender Information Cumulative Calendar Year to Date:

AWD01-01 code N0 AWD01-03 code 2 AWD01-05 code EA

Lender Information Cumulative to Date - Other:

AWD01-01 code N0 AWD01-03 code 2 AWD01-05 code EE

Amount Guaranteed: AWD01-01 code EAD

Guarantor Information Cumulative Calendar Year to Date:

AWD01-01 code EAD AWD01-03 code 2 AWD01-05 code EA

Guarantor Information Cumulative to Date - Other:

AWD01-01 code EAD AWD01-03 code 2 AWD01-05 code EE

Schedule B - Part 1, Summary, line 1:

AWD01-01 code N0 AWD01-09 code 05-A1

Schedule B - Part 1 Summary, line 2: AWD01-01 code N0

AWD01-09 code 05-A2	
Schedule B - Part 1 Summary, line 3:	
AWD01-01 code N0	
AWD01-03 code 8	
Schedule B - Part 2 Summary, line 4:	
AWD01-01 code 97	
AWD01-09 code 05-A1	
Schedule B - Part 2 Summary, line 5:	
AWD01-01 code 97	
AWD01-09 code 05-A2	
Schedule B - Part 2 Summary, line 6:	
AWD01-01 code 97	
AWD01-03 code 8	
Schedule B - Part 2 Summary, line 7:	
AWD01-01 code N0	
AWD01-02 code CH	

	Ref.	Data		,		
	Des.	<b>Element</b>	<u>Name</u>			<u>ributes</u>
M	AWD01	C007	_	ying Description	M	
			To qualify an an			
M	C00701	522	Amount Qualif		M	ID 1/3
			Code to qualify	amount		
			97	Loan Repayment		
				Use this code to indicate loans repaid, by a third party.	forgi	ven or paid
			EAD	Amount Guaranteed		
			N0	Loans or Financial Borrowings		
				Use this code to indicate a loan receive	ed.	
	C00702	522	<b>Amount Qualif</b>	ier Code	O	ID 1/3
			Code to qualify	amount		
			CH	Change Amount		
	C00703	1638	Value Detail Co	ode	O	ID 1/1
			Code identifying	g extent of an amount or quantity		
			2	Cumulative		
			8	Total		
Not Used	C00704	935	Measurement S	Significance Code	O	ID 2/2
			Code used to be	nchmark, qualify or further define a measure	ement	value
	C00705	344	Unit of Time Po	eriod or Interval	O	ID 2/2
			Code indicating	the time period or interval		
			EA	Calendar Year-to-Date		
			EE	To Date		
Not Used	C00706	1637	Net/Gross Code	e	O	ID 1/1
			Code indicating	whether monetary values are net or gross		
Not Used	C00707	935	Measurement S	Significance Code	O	ID 2/2
			Code used to be	nchmark, qualify or further define a measure	ement	value
Not Used	C00708	352	Description		O	AN 1/80
			A free-form des	cription to clarify the related data elements a	and the	eir content

	C00709	1271	Industry Code	O	AN 1/30		
			Code indicating a code from a specific industry code list				
			<ol> <li>Use this data element to cite a code from the California Disclosure Code List Section 05.</li> <li>Cite either code 05-A1 or 05-A2 only.</li> </ol>	Camp	oaign		
	AWD02	782	Monetary Amount	X	R 1/18		
			Monetary amount				
			Use this segment to identify the monetary amount associated with the qualifier codes cited in the AWD01 composite data element.				
Not Used	AWD03	61	Free-Form Message	X	AN 1/30		
			Free-form information				
Not Used	AWD04	100	Currency Code	O	ID 3/3		
			Code (Standard ISO) for country in whose currency the char	ges aı	e specified		

Segment: DTM Date/Time Reference

**Position:** 2804

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the date the loan was received and the due date of the loan.

	Ref.	Data		•				
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>		
M	DTM01	374	Date/Time Qualifi	ier	M	ID 3/3		
			Code specifying typ	pe of date or time, or both date and time				
			050	Received				
				Use this code to indicate the date the loreceived.	an w	as		
			AAG	Due Date				
				Use this code to indicate the due date of	f the	loan.		
	DTM02	373	Date		X	DT 8/8		
			Date expressed as 0	CCYYMMDD				
Not Used	DTM03	337	Time		X	TM 4/8		
			HHMMSSD, or HF 59), S = integer sec	Firme expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSDD, where H = hours (00-23), M = minutes (00-9), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds re expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)				
Not Used	DTM04	623	Time Code		O	ID 2/2		
			Organization standa in hours in relation restricted character	the time. In accordance with International Stard 8601, time can be specified by a + or to Universal Time Coordinate (UTC) times, + and - are substituted by P and M in the	and e; sin code	an indication ce + is a es that follow		
Not Used	DTM05	1250	Date Time Period	_	X	ID 2/3		
			Code indicating the	e date format, time format, or date and tim	e fori	nat		
Not Used	DTM06	1251	<b>Date Time Period</b>		X	AN 1/35		
			Expression of a dat	e, a time, or range of dates, times or dates	and t	imes		

Segment: PCT Percent Amounts

Position: 2904

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To qualify percent amounts and supply percent amounts

Syntax Notes: Semantic Notes:

**Comments:** 

Notes: Use this segment to identify the interest rate applicable to the loan.

**Data Element Summary** 

Ref. Data Des. **Element** Name **Attributes** M 1004 **Percent Qualifier** M ID 1/2 PCT01 Code to qualify percent X1 Use this code to indicate the interest rate applicable to the loan. M PCT02 954 **Percent** M R 1/10

Percentage expressed as a decimal

Segment: N9 Reference Identification

Position: 3104

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

- 1. When NM101 is code EAM, GG, or LN, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a guarantor, intermediary, or lender. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with a guarantor, intermediary, or lender. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.
- 2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

	Ref.	Data	·		
	Des.	<b>Element</b>	Name		<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			TN Transaction Reference Number		
			Use this code to indicate a monetary tr identifier applicable to an amount. The transaction identifier MUST be the samounts within the same monetary transaction identifier MUST be used in where the monetary transaction is promained.	is moi me foi insact noneta n all s vided	netary r all ion ary chedules and in
	N902	127	Reference Identification	X	AN 1/50
Not Used	N903	369	Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier <b>Free-form Description</b>	n Set o	or as AN 1/45
	- 1,7 - 2 - 2		Free-form descriptive text		
Not Used	N904	373	Date	0	DT 8/8
1,00 0,00	11201	0.0	Date expressed as CCYYMMDD	Ü	210,0
Not Used	N905	337	Time	X	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred	= min	nutes (00- nal seconds
Not Used	N906	623	Time Code	O	ID 2/2
Not Used	N907	C040	Code identifying the time. In accordance with International Standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the Reference Identifier	- and e; sin	an indication ce + is a
			To identify one or more reference numbers or identification	numb	ers as

Not Used	C04001	128	specified by the Reference Qualifier  Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transact specified by the Reference Identification Qualifier	ion Set o	or as
Not Used	C04003	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transact	ion Set o	or as
			specified by the Reference Identification Qualifier	ion bet	
Not Used	C04005	128	*	X	ID 2/3
Not Used	C04005	128	specified by the Reference Identification Qualifier		ID 2/3
Not Used	C04005 C04006	128 127	specified by the Reference Identification Qualifier Reference Identification Qualifier		ID 2/3 AN 1/50

Segment: MTX Text

Position: 3504

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

**Notes:** 

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which	the note	applies
			ADD Additional Information		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the red	eiving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standa maintained by the International Standards Organization (I		

Segment: HL Hierarchical Level

Position: 0105

Loop: HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule B, Part 2, Repayments made on Loans Received. Loans Forgiven, and Loans Repaid by a Third Party.

#### **Data Element Summary**

			Data Elem	ent Summary			
	Ref.	Data					
	Des.	Element	Name		Attributes		
M	$\overline{\text{HL0}}$ 1	628	Hierarchical ID Nu	ımber	M AN 1/12		
			A unique number as	signed by the sender to identify a particul	ar data segment		
			in a hierarchical stru	in a hierarchical structure			
			Use this data eleme	nt to provide a unique number that can i	dentify each HL		
			loop. It is recomme	ended that the first HL loop be identified	by the number 1		
			in this data element	and that each successive HL loop be inc	cremented by 1.		
Not Used	HL02	734	Hierarchical Parer	nt ID Number	O AN 1/12		
				er of the next higher hierarchical data segnibed is subordinate to	ment that the data		
M	HL03	735	Hierarchical Level		M ID 1/2		
			Code defining the characteristic of a level in a hierarchical structure				
			transmitted within t	nt is used to identify the type of data that the current HL loop. d codes MUST be used only one time.	is being		
			EB	Filer			
			LD		£ 41 J 4		
				1. Use this code to indicate the submitted on the form 160. Schodule P. Part 2	er oj ine aaia		
				on the form 460, Schedule B, Part 2.			
				2. When this code is used, the 2/NM1/0	)700 segment		
				MUST be used to identify the filer and	_		
				2/AWD/2700 loop also MUST be used to			
				amounts for form 460, Schedule B, Par	0.2		
			LN	Loan Data			
				1. Use this code to indicate data applica-	able to a		

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received loan.

2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all the lenders and the 2/AWD/2700 loop also MUST be used to identify applicable amounts.

RP Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

N9 Reference Identification **Segment: Position:** 0205 N9 Loop: Optional (Must Use) Level: Detail Optional (Must Use) Usage: Max Use: **Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier At least one of N902 or N903 is required. **Syntax Notes:** If N906 is present, then N905 is required. 3 If either C04003 or C04004 is present, then the other is required. 4 If either C04005 or C04006 is present, then the other is required. **Semantic Notes:** 1 N906 reflects the time zone which the time reflects. N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted.

	Ref.	Data	Data Element Summary		
	Des.	Element	Name	A tt	<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
	11,502	120	Code qualifying the Reference Identification		12 2/0
			Use this data element to cite a code that qualifies the data of	carrie	d in N902.
			FQ Form Number		
			Use this code to indicate the number of transmitted.	f the	form being
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
			Use this data element to cite the form number, e.g., F460B	-2.	
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	l = mi decir	nutes (00- mal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International 3 Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication ace + is a
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	$\mathbf{M}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	n Set	or as

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	n Set	or as
			specified by the Reference Identification Qualifier		

Segment: MTX Text

Position: 0605

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>	
	MTX01	363	Note Reference Code	O	ID 3/3	
			Code identifying the functional area or purpose for which the	e note	applies	
			REP Report			
	MTX02	1551	Message Text	X	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX03	1551	Message Text	O	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2	
			A field to be used for the control of the line feed of the receive	ving p	orinter	
Not Used	MTX05	1470	Number	O	N0 1/9	
			A generic number			
Not Used	MTX06	819	Language Code	O	ID 2/3	
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)			

NM1 Individual or Organizational Name **Segment:** 

**Position:** 0705

> NM1 Loop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To supply the full name of an individual or organizational entity **Syntax Notes:** If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

Notes:

NM110 and NM111 further define the type of entity in NM101.

1. When HL03 cites code EB, use this loop to identify the filer of the form 460B-2. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.
- 3. When HL03 is code LN, use this loop as many times as necessary to identify all lenders of a loan.

#### **Data Element Summary**

Ref. Data Des. **Element Name Attributes** M NM101 98 **Entity Identifier Code** ID 2/3

> Code identifying an organizational entity, a physical location, property or an individual

FN

Filer Name

- 1. When HL03 is code EB, use of this code is REOUIRED to indicate the submitter of the data. This code is used as the means to provide total amounts in the 2/AWD/2700 loop and may be discarded by the receiving application.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify total amounts.

LN Lender

- 1. When HL03 is code LN, use of this code is REQUIRED to indicate the lender of a loan.
- 2. When this code is used, NM102 may cite code 1, 2 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. When this code is used and NM102 is code 6, NM108/109 may be used to provide the state assigned committee identification number, if one has been received.

NM102 1065 **Entity Type Qualifier** M

M ID 1/1

Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee. 1

Person

Use this code to indicate an individual.

			2	Non-Person Entity		
			_	Use this code to indicate an entity other	r thai	n an
				individual or committee.		
			6	Organization		
				Use this code to indicate a committee.		
Must Use	NM103	1035	Name Last or Or		O	AN 1/60
				ne or organizational name		
			1. Use of this date	a element is REQUIRED to provide the na	ıme o	f an entity.
			2 When NM102	is code 1 and the person has a moniker (n	ickni	umo) uso the
				nt to provide the moniker.	CKIL	ime), use inc
			J	•		
				is code 2 or 6 and the name of the entity o		
			greater than 60 cl balance of the na	haracters, use the 2/N2/0800 segment to pr	ovid	e the
	NM104	1036	Name First	nc.	О	AN 1/35
			Individual first na	me		
	NM105	1037	Name Middle		O	AN 1/25
			Individual middle	name or initial		
	NM106	1038	Name Prefix		O	AN 1/10
			Prefix to individua	al name		
	NM107	1039	Name Suffix		O	AN 1/10
			Suffix to individua	al name		
	NM108	66	<b>Identification Co</b>	_	X	ID 1/2
			Code designating Code (67)	the system/method of code structure used for	or Ide	entification
			When NM101 is o	ode LN and NM102 is code 6, use this dat	a ele	ment to
				ication number in NM109.		
			75	State or Province Assigned Number	<b>:</b> a aa.	10 6 was
				When NM101 is code LN and NM102 this code to indicate the state assigned		
				identification number, if one has been		
	NM109	67	<b>Identification Co</b>	de	X	AN 2/80
				a party or other code		
				nent to provide the state assigned committe	e ide	ntification
Not Used	NM110	706	number, if one ha Entity Relationsh		X	ID 2/2
1101 OSCU	14141110	700	Code describing e	_	∡ <b>1</b>	11/4/4
Not Used	NM111	98	Entity Identifier	_	0	ID 2/3
-100 0000		70	-	an organizational entity, a physical location	_	
			individual	,, ar ,	· 1 - I	. 🗸

Segment: N2 Additional Name Information

**Position:** 0805

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 2 Purpose: 7

**Dose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

Notes:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

M	Ref. <u>Des.</u> N201	Data Element 93	Name Name Free-form name	Attributes M AN 1/60
			<ul> <li>1. When providing a moniker, insert a space after the monumeric 1, 2, or 3.</li> <li>1 = moniker is before the first name</li> </ul>	niker and cite the
	N202	02	2 = moniker is between the first and middle name 3 = moniker is between the middle and last name	O AN 1/60
	N2U2	93	Name Free-form name	O AN 1/00

Segment: AWD Amount with Description

Position: 2705

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

Syntax Notes: 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

Semantic Notes: Comments:

**Notes:** 1 AWD03 is used when information source provides non-numeric values.

**Notes:** 

- 1. When NM101 in the 2/NM1/0700 segment is code LN, use this loop to provide loan information applicable to the lender. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this loop to provide total amounts for the form 460, Schedule B, Part 2.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 03) to qualify the following amounts:

Amount Repaid or Forgiven on Principal:

AWD01-01 code 97 or EAC (depending on purpose of amount)

Outstanding Principal: AWD01-01 code OA

Interest Paid: AWD01-01 code I

Total Interest Paid This Period:

AWD01-01 code I AWD01-03 code 8

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>
M	AWD01	C007	<b>Amount Qualifyin</b>	g Description	$\mathbf{M}$	
			To qualify an amou	nt		
M	C00701	522	<b>Amount Qualifier</b>	Code	M	ID 1/3
			Code to qualify amo	ount		
			97	Loan Repayment		
				Use this code to indicate a loan amoun	it repo	aid.
			EAC	Amount Forgiven		
			I	Interest		
				Use this code to indicate interest paid.		
			OA	Principal Balance Amount		
Not Used	C00702	522	<b>Amount Qualifier</b>	Code	O	ID 1/3
			Code to qualify amo	ount		
	C00703	1638	Value Detail Code		O	ID 1/1

Code identifying extent of an amount or quantity						
			8 Total			
Not Used	C00704	935	Measurement Significance Code	O	ID 2/2	
			Code used to benchmark, qualify or further define a measure	ment	value	
Not Used	C00705	344	Unit of Time Period or Interval	O	ID 2/2	
			Code indicating the time period or interval			
Not Used	C00706	1637	Net/Gross Code	O	<b>ID</b> 1/1	
			Code indicating whether monetary values are net or gross			
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2	
			Code used to benchmark, qualify or further define a measure	ment	value	
Not Used	C00708	352	Description	O	AN 1/80	
			A free-form description to clarify the related data elements a	and the	eir content	
Not Used	C00709	1271	Industry Code	O	AN 1/30	
			Code indicating a code from a specific industry code list			
	AWD02	782	Monetary Amount	X	R 1/18	
			Monetary amount			
			Use this segment to identify the monetary amount associate		h the	
			qualifier codes cited in the AWD01 composite data element			
Not Used	AWD03	61	Free-Form Message	X	AN 1/30	
			Free-form information			
Not Used	AWD04	100	Currency Code	O	ID 3/3	
			Code (Standard ISO) for country in whose currency the char	ges ar	re specified	

Segment: DTM Date/Time Reference

**Position:** 2805

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the date of the loan repayment or forgiveness and the

original date of the loan.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ibutes</u>
M	DTM01	374	Date/Time Qualifie	er	M	ID 3/3
			Code specifying typ	e of date or time, or both date and time		
			666	Date Paid		
				Use this code to indicate the date paid.		
			915	Loan		
				Use this code to indicate the original de	ite of	the loan.
			EDF	Forgiven		
				Use this code to indicate the date of for	given	ess.
	DTM02	373	Date		X	<b>DT 8/8</b>
			Date expressed as C	CYYMMDD		
Not Used	DTM03	337	Time		X	TM 4/8
Not Used	DTM04	623	HHMMSSD, or HH 59), S = integer seco	4-hour clock time as follows: HHMM, or MMSSDD, where H = hours (00-23), M and (00-59) and DD = decimal seconds; lows: D = tenths (0-9) and DD = hundred	= mii decin	nutes (00- nal seconds
Not Used	DTM05	1250	Organization standa in hours in relation t	e time. In accordance with International S rd 8601, time can be specified by a + or to Universal Time Coordinate (UTC) time + and - are substituted by P and M in the Format Qualifier	and a	an indication ce + is a
			Code indicating the	date format, time format, or date and tim	e forr	nat
Not Used	<b>DTM06</b>	1251	<b>Date Time Period</b>		X	AN 1/35
			Expression of a date	e, a time, or range of dates, times or dates	and t	imes

Segment: PCT Percent Amounts

Position: 2905

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To qualify percent amounts and supply percent amounts

Syntax Notes: Semantic Notes:

**Comments:** 

**Notes:** 

Use this segment to identify the interest rate applicable to the loan if it has changed

since the time of the original loan.

**Data Element Summary** 

Ref. Data Des. Element Name **Attributes** M PCT01 1004 M ID 1/2 **Percent Qualifier** Code to qualify percent X1 Interest Use this code to indicate the current interest rate applicable to the loan.  $\mathbf{M}$ PCT02 954 **Percent** M R 1/10

Percentage expressed as a decimal

Segment: N9 Reference Identification

Position: 3105

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

Purpose: Syntax Notes:

To transmit identifying information as specified by the Reference Identification Qualifier

1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

1. When NM101 is code LN, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to ALL amounts associated with a lender. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the lender. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

	Ref.	Data	·		
	Des.	<b>Element</b>	<u>Name</u>		<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			TN Transaction Reference Number		
			Use this code to indicate a monetary to identifier applicable to an amount. The transaction identifier MUST be the seamounts within the same monetary transaction identifier MUST be used where the monetary transaction is preamentments.	his mod ume for ansact moneto in all s	netary r all tion ary schedules
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, HHMMSSD, or HHMMSSDD, where H = hours (00-23), N 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred	M = mis; decir	nutes (00- nal seconds
Not Used	N906	623	Time Code	O	ID 2/2
Not Used	N907	C040	Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) tir restricted character, + and - are substituted by P and M in the Reference Identifier	· - and ne; sin	an indication ce + is a
1,30 0504	21207	20.0	To identify one or more reference numbers or identification specified by the Reference Qualifier	_	ers as

Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transact specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transact specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transact specified by the Reference Identification Qualifier	ion Set	or as

Segment: MTX Text

Position: 3505

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	·			
	Des.	<b>Element</b>	<u>Name</u>	<b>Attributes</b>		
	MTX01	363	Note Reference Code	O	ID 3/3	
			Code identifying the functional area or purpose for which the	e note	applies	
			ADD Additional Information			
	MTX02	1551	Message Text	X	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX03	1551	Message Text	O	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2	
			A field to be used for the control of the line feed of the recei	ving p	orinter	
Not Used	MTX05	1470	Number	O	N0 1/9	
			A generic number			
Not Used	MTX06	819	Language Code	O	ID 2/3	
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)			

**HL** Hierarchical Level **Segment:** 

0106 **Position:** 

> HLLoop: Optional (Must Use)

Level: Detail

Optional (Must Use) Usage:

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

**Syntax Notes: Semantic Notes: Comments:** 

The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule B, Part 3, Annual Report of Outstanding Loans Received.

			Data Elem	ent Summary			
	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>	
M	HL01	628	Hierarchical ID N	umber	M	AN 1/12	
			A unique number a	ssigned by the sender to identify a particul	ar da	ita segment	
			in a hierarchical str			-	
				ent to provide a unique number that can i			
			_	ended that the first HL loop be identified	_		
				t and that each successive HL loop be ind		-	
Not Used	HL02	734	Hierarchical Pare		O	AN 1/12	
				per of the next higher hierarchical data seguribed is subordinate to	ment	that the data	
M	HL03	735	Hierarchical Leve		M	ID 1/2	
			Code defining the c	characteristic of a level in a hierarchical str	uctui	re	
			1. This data eleme	nt is used to identify the type of data that	is be	ing	
				the current HL loop.		3	
			2. Each of the listed codes MUST be used only one time.				
			EB	Filer			
				1. Use this code to indicate the submitt	er of	the data	
				on the form 460, Schedule B, Part 3.			
				2 111 4.5 1 .5 1 .4 . 2/8/1/4/1/	200		
				2. When this code is used, the 2/NM1/0		segment	
				MUST be used to identify the filer and 2/AWD/2700 loop also MUST be used t		entify total	
				amounts for form 460, Schedule B, Par		mijy ioidi	
			LN	Loan Data			
				1. Use this code to indicate data applicate	able	to	

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outstanding loans.

2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all the lenders and the 2/AWD/2700 loop also MUST be used to identify applicable amounts for form 460, schedule B, Part 3. Report

RP

- 1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.
- 2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

## Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment: Position:** 0206 Loop: N9 Optional (Must Use) Level: Detail Optional (Must Use) Usage: Max Use: **Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier At least one of N902 or N903 is required. **Syntax Notes:** If N906 is present, then N905 is required. 3 If either C04003 or C04004 is present, then the other is required. 4 If either C04005 or C04006 is present, then the other is required. **Semantic Notes:** 1 N906 reflects the time zone which the time reflects. N907 contains data relating to the value cited in N902. **Comments: Notes:** 

When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted.

	Ref.	Data	Data Element Summary				
	Des.	Element	<u>Name</u>	Att	<u>ributes</u>		
M	N901	128	Reference Identification Qualifier	M	ID 2/3		
			Code qualifying the Reference Identification				
			Use this data element to cite a code that qualifies the data	carrie	d in N902.		
			FQ Form Number				
			Use this code to indicate the number	of the	form being		
			transmitted.		, g		
	N902	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as		
			Use this data element to cite the form number, e.g., F4601	3 <i>-3</i> .			
Not Used	N903	369	Free-form Description	X	AN 1/45		
			Free-form descriptive text				
Not Used	N904	373	Date	O	<b>DT 8/8</b>		
			Date expressed as CCYYMMDD				
Not Used	N905	337	Time	X	TM 4/8		
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, HHMMSSD, or HHMMSSDD, where H = hours (00-23), I 59), S = integer seconds (00-59) and DD = decimal second are expressed as follows: D = tenths (0-9) and DD = hundre <b>Time Code</b>	M = mi s; decii	inutes (00- mal seconds		
Not Used	N907	C040	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow Reference Identifier  O				
-,,,,	- 1,7 0.1		To identify one or more reference numbers or identification	ı numb	ers as		
			specified by the Reference Qualifier				
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04002	127	Reference Identification	M	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3		
-100 Obeu	201000		Code qualifying the Reference Identification				
Not Used	C04004	127	Reference Identification	X	AN 1/50		
1100 0000	201001		Reference information as defined for a particular Transaction		,		
			Reference information as defined for a particular Transaction Set of as				

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio	n Set	or as
			specified by the Reference Identification Qualifier		

Segment: MTX Text

Position: 0606

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		<u>Attributes</u>	
	MTX01	363	Note Reference Code	O	ID 3/3	
			Code identifying the functional area or purpose for which the	e note	applies	
			REP Report			
	MTX02	1551	Message Text	X	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX03	1551	Message Text	O	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2	
			A field to be used for the control of the line feed of the receive	ving p	orinter	
Not Used	MTX05	1470	Number	O	N0 1/9	
			A generic number			
Not Used	MTX06	819	Language Code	O	ID 2/3	
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)			

NM1 Individual or Organizational Name **Segment:** 

**Position:** 0706

> NM1 Loop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

Notes:

**Purpose:** To supply the full name of an individual or organizational entity **Syntax Notes:** If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 1 NM102 qualifies NM103.

**Comments:** NM110 and NM111 further define the type of entity in NM101. 1

> 1. When HL03 cites code EB, use this loop to identify the filer of the form 460B-3. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.
- 3. When HL03 is code LN, use this loop as many times as necessary to identify all lenders of a loan.

#### **Data Element Summary**

Ref. Data Des. **Element Name Attributes** M NM101 98 **Entity Identifier Code** ID 2/3

> Code identifying an organizational entity, a physical location, property or an individual

FN Filer Name

- 1. When HL03 is code EB, use of this code is REOUIRED to indicate the submitter of the data. This code is used as the means to provide total amounts in the 2/AWD/2700 loop and may be discarded by the receiving application.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify total amounts.

LN Lender

- 1. When HL03 is code LN, use of this code is REQUIRED to indicate the lender of a loan.
- 2. When this code is used, NM102 may cite code 1, 2 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. When this code is used and NM102 is code 6, NM108/109 should be used to provide the state assigned committee identification number, if one has been received.

NM102 1065 **Entity Type Qualifier** M

M ID 1/1

Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee. 1

Person

Use this code to indicate an individual.

			2	Non-Person Entity			
				Use this code to indicate an entity othe individual or committee.	r tha	n an	
			6	Organization			
				Use this code to indicate a committee.			
Must Use	NM103	1035	Name Last or O	rganization Name	O	AN 1/60	
			Individual last na	ame or organizational name			
			1. Use of this da	ta element is REQUIRED to provide the n	e the name of an entity.		
			2. When NM102 is code 1 and the person has a moniker (nickname), use the 2/N2/0800 segment to provide the moniker.				
			3. When NM102 is code 2 or 6 and the name of the entity or committee is greater than 60 characters, use the 2/N2/0800 segment to provide the balance of the name.				
	NM104	1036	Name First		O	AN 1/35	
			Individual first na	ame			
	NM105	1037	Name Middle		O	AN 1/25	
			Individual middle	e name or initial			
	NM106	1038	Name Prefix		O	AN 1/10	
			Prefix to individu	ual name			
	NM107	1039	Name Suffix		O	AN 1/10	
			Suffix to individu	ual name			
	NM108	66	<b>Identification C</b>	ode Qualifier	X	ID 1/2	
			Code designating Code (67)	g the system/method of code structure used to	sed for Identification		
			When NM101 is code LN and NM102 is code 6, use this data element to				
			qualify the identi 75	ification number in NM109. State or Province Assigned Number			
			15	When NM101 is code LN and NM102	is co.	106 450	
				this code to indicate the state assigned identification number, if one has been	comn	nittee	
	NM109	67	<b>Identification C</b>			AN 2/80	
			Code identifying	a party or other code			
				ment to provide the state assigned committ as been assigned.	ee ide	entification	
Not Used	NM110	706	Entity Relations		X	ID 2/2	
			Code describing	entity relationship			
Not Used	NM111	98	<b>Entity Identifier</b>	· Code	O	ID 2/3	
			Code identifying individual	an organizational entity, a physical location	ı, proj	perty or an	

Segment: N2 Additional Name Information

**Position:** 0806

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 2 Purpose: 7

To specify additional names

Syntax Notes: Semantic Notes: Comments:

ments:
Notes: 1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an

organization name that is greater than 60 characters.

			Data Element Summary	
	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
$\mathbf{M}$	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the numeric 1, 2, or 3.	moniker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: AWD Amount with Description

**Position:** 2706

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

Syntax Notes: 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

Semantic Notes: Comments: 1 AWD03 is used when information source provides non-numeric values.

Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code LN, use this loop to provide loan information applicable to the lender. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this loop to identify total amounts for the form 460, Schedule B, Part 3.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 03) to qualify the following amounts:

Amount of Original Loan: AWD01-01 code EBX

Unpaid Principal: AWD01-01 code UB

Unpaid Interest: AWD01-01 code 04

Total Unpaid Principal: AWD01-01 code UB AWD01-03 code 8

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ibutes</u>
M	AWD01	C007	Amount Qu	nalifying Description	M	
			To qualify a	n amount		
M	C00701	522	Amount Qu	ialifier Code	M	ID 1/3
			Code to qual	lify amount		
			EBX	Original Loan Amount		
			O4	Interest Payable		
			UB	Unpaid Principal Balance		
Not Used	C00702	522	Amount Qu	ialifier Code	O	ID 1/3
			Code to qual	lify amount		
	C00703	1638	Value Detai	il Code	O	ID 1/1
			Code identif	Tying extent of an amount or quantity		
			8	Total		
Not Used	C00704	935	Measureme	ent Significance Code	O	ID 2/2

			Code used to benchmark, quality or further define a measure	ment	value
Not Used	C00705	344	Unit of Time Period or Interval	O	ID 2/2
			Code indicating the time period or interval		
Not Used	C00706	1637	Net/Gross Code	O	ID 1/1
			Code indicating whether monetary values are net or gross		
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2
			Code used to benchmark, qualify or further define a measure	ment	value
Not Used	C00708	352	Description	O	AN 1/80
			A free-form description to clarify the related data elements as	nd the	eir content
Not Used	C00709	1271	Industry Code	O	AN 1/30
			Code indicating a code from a specific industry code list		
	AWD02	782	Monetary Amount	X	R 1/18
			Monetary amount		
			Use this segment to identify the monetary amount associate		h the
			qualifier codes cited in the AWD01 composite data element		
Not Used	AWD03	61	Free-Form Message	X	AN 1/30
			Free-form information		
Not Used	AWD04	100	Currency Code	O	ID 3/3
			Code (Standard ISO) for country in whose currency the charge	ges ar	re specified

Segment: DTM Date/Time Reference

**Position:** 2806

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes: Comments:

Notes: Use this segment to identify the original date of the loan.

	Ref.	Data		·		
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ibutes</u>
M	DTM01	374	Date/Time Qualif	ïer	M	ID 3/3
			Code specifying ty	pe of date or time, or both date and time		
			915	Loan		
				Use this code to indicate the original de	ate of	the loan.
	DTM02	373	Date		X	<b>DT 8/8</b>
			Date expressed as	CCYYMMDD		
Not Used	DTM03	337	Time		X	TM 4/8
Not Used	DTM04	623	HHMMSSD, or H 59), S = integer se	24-hour clock time as follows: HHMM, or HMMSSDD, where H = hours (00-23), M conds (00-59) and DD = decimal seconds; bllows: D = tenths (0-9) and DD = hundred	= mii decin	nutes (00- nal seconds
			Code identifying the Organization stand in hours in relation restricted characters	he time. In accordance with International S lard 8601, time can be specified by a + or - n to Universal Time Coordinate (UTC) time r, + and - are substituted by P and M in the	tanda and a	ards an indication ce + is a s that follow
Not Used	DTM05	1250	Date Time Period	Format Qualifier	X	ID 2/3
			Code indicating th	e date format, time format, or date and time	e forn	nat
Not Used	DTM06	1251	Date Time Period	l	X	AN 1/35
			Expression of a da	te, a time, or range of dates, times or dates	and t	imes

Segment: N9 Reference Identification

Position: 3106

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
  3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

1. When NM101 is code LN, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a lender. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the lender. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		_	<u>ributes</u>
M	N901	128	Reference Identifica	•	M	ID 2/3
			Code qualifying the	Reference Identification		
			TN	Transaction Reference Number		
				Use this code to indicate a monetary traidentifier applicable to an amount. This transaction identifier MUST be the sam amounts within the same monetary transacciated with an entity. This same m transaction identifier MUST be used in where the monetary transaction is provamendments.	s moi ne foi nsact oneto all s	netary r all ion ary chedules
	N902	127	Reference Identifica	ation	$\mathbf{X}$	AN 1/50
			specified by the Refe	on as defined for a particular Transaction crence Identification Qualifier	Set	or as
Not Used	N903	369	Free-form Descript	ion	$\mathbf{X}$	AN 1/45
			Free-form descriptiv	e text		
Not Used	N904	373	Date		O	<b>DT 8/8</b>
			Date expressed as Co	CYYMMDD		
Not Used	N905	337	Time		$\mathbf{X}$	TM 4/8
			HHMMSSD, or HHI 59), S = integer seco are expressed as follows:	4-hour clock time as follows: HHMM, or MMSSDD, where H = hours (00-23), M nds (00-59) and DD = decimal seconds; ows: D = tenths (0-9) and DD = hundred	= mii decin	nutes (00- nal seconds 00-99)
Not Used	N906	623	Time Code		O	ID 2/2
Not Used	N907	C040	Organization standar in hours in relation to	time. In accordance with International S d 8601, time can be specified by a + or - D Universal Time Coordinate (UTC) time + and - are substituted by P and M in the C	and e; sin	an indication ce + is a
			To identify one or m specified by the Refe	ore reference numbers or identification nerence Qualifier	umb	ers as

Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

Segment: MTX Text

**Position:** 3506

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

**Notes:** 

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	•		
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which	the note	applies
			ADD Additional Information		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	$\mathbf{X}$	ID 2/2
			A field to be used for the control of the line feed of the rec	eiving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standar maintained by the International Standards Organization (I		

Segment: HL Hierarchical Level

**Position:** 0107

Loop: HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule C, Non-Monetary Contributions Received.

## **Data Element Summary**

			Data Eleme	ant Summary		
	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>
M	HL01	628	Hierarchical ID Nu	ımber	M	AN 1/12
			A unique number as	signed by the sender to identify a particul	lar da	ıta segment
			in a hierarchical stru			
				nt to provide a unique number that can i		
			_	nded that the first HL loop be identified	-	
	^-			and that each successive HL loop be inc		•
Not Used	HL02	734	Hierarchical Paren	10	O	AN 1/12
				er of the next higher hierarchical data seg	ment	that the data
				ibed is subordinate to		
M	HL03	735	Hierarchical Level		M	ID 1/2
			Code defining the ch	naracteristic of a level in a hierarchical str	ructui	re
			1. This data elemen	nt is used to identify the type of data that	is be	ing
			transmitted within t	he current HL loop.		
			-	d codes MUST be used only one time.		
			EB	Filer		
				1. Use this code to indicate the submitt	er of	the data
				on form 460, Schedule C.		
					0700	
				2. When this code is used, the 2/NM1/0		segment
				MUST be used to identify the filer and 2/AWD/2700 loop also MUST be used to		natify the
				subtotal and summary amounts for for		
				Schedule C.	70	0,
			EC	Receipts		
			_~	1. Use this code to indicate contributio	nc ra	eceived
				1. Ose mis coue to mateure community	113 16	correa.

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2. When this code is used, the 2/NM1/0700 loop MUST be used to identify all the contributors and the 2/AWD/2700 loop MUST also be used to identify applicable amounts.

RP Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

#### Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

N9 Reference Identification **Segment: Position:** 0207 Loop: N9 Optional (Must Use) Level: Detail Usage: Optional (Must Use) Max Use: **Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier At least one of N902 or N903 is required. **Syntax Notes:** If N906 is present, then N905 is required. 3 If either C04003 or C04004 is present, then the other is required. 4 If either C04005 or C04006 is present, then the other is required. **Semantic Notes:** 1 N906 reflects the time zone which the time reflects. N907 contains data relating to the value cited in N902. **Comments: Notes:** When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted. **Data Element Summary** 

			Data Elem	ent Summary		
	Ref.	Data				
	Des.	<u>Element</u>	Name			ributes
M	N901	128	Reference Identification Qualifier		M	ID 2/3
				Reference Identification		
			Use this data eleme	ent to cite a code that qualifies the data c	arrie	d in N902.
			FQ	Form Number		
				Use this code to indicate the number of transmitted.	f the j	form being
	N902	127	Reference Identifi	cation	X	AN 1/50
			specified by the Re	ion as defined for a particular Transaction ference Identification Qualifier		or as
		• • •		ent to cite the form number, e.g., F460C.		
Not Used	N903	369	Free-form Descrip		X	AN 1/45
			Free-form descripti	ve text		
Not Used	N904	373	Date		O	DT 8/8
			Date expressed as (	CCYYMMDD		
Not Used	N905	337	Time		X	TM 4/8
Not Used	N906	623	HHMMSSD, or HI 59), S = integer sec	24-hour clock time as follows: HHMM, of HMMSSDD, where H = hours (00-23), M conds (00-59) and DD = decimal seconds; llows: D = tenths (0-9) and DD = hundred	= mi decir	nutes (00- nal seconds
Not Used	N907	C040	Organization stand in hours in relation	the time. In accordance with International Stard 8601, time can be specified by a + or to Universal Time Coordinate (UTC) time, + and - are substituted by P and M in the er	- and e; sin	an indication ce + is a
1100 050	1,507	0010		more reference numbers or identification	_	ers as
Not Used	C04001	128	Reference Identifi		M	ID 2/3
not escu	C04001	120		e Reference Identification	171	10 2/3
Not Used	C04002	127	Reference Identifi		M	AN 1/50
				ion as defined for a particular Transaction ference Identification Qualifier	Set o	or as
Not Used	C04003	128	Reference Identifi	_	X	ID 2/3
			Code qualifying the	e Reference Identification		
Not Used	C04004	127	Reference Identifi	cation	X	AN 1/50
			Reference informat	ion as defined for a particular Transaction	Set o	or as

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio	n Set	or as
			specified by the Reference Identification Qualifier		

Segment: MTX Text

Position: 0607

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Us

1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the receive	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

NM1 Individual or Organizational Name **Segment:** 

**Position:** 0707

> NM1 Loop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To supply the full name of an individual or organizational entity **Syntax Notes:** If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

NM110 and NM111 further define the type of entity in NM101.

Notes: 1. When HL03 cites code EB, use this loop to identify the filer of the form 460C. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.
- 3. When HL03 is code EC, use this loop as many times as necessary to identify all contributors and associated entities of monetary contributions.
- 4. Each identified contributor, (NM101 is code EAH) and associated entities (NM101 is code 36 - employer of a contributor, BUS - business name of a self employed contributor, code GG - an intermediary, or EBN - treasurer of a committee) MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the cross reference number in N902. The cross reference number of the contributor and associated entity MUST be unique and the same for each group of related entities.

			Data Elem	ent Summary	
	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>		<u>Attributes</u>
M	NM101	98	Entity Identifier C	Code	M ID 2/3
			Code identifying ar individual 36	n organizational entity, a physical location	n, property or an
			30	Employer	
				1. When NM101 is code EAH and NM use this code to indicate the employer of contributor.	
			200	2. When this code is used, NM102 sho and the 2/N9/1200 segment MUST be a cross reference number.	
			BUS	Business	
				<ol> <li>When NM101 is code EAH and NM use this code to indicate the business n employed contributor.</li> </ol>	
				2. When this code is used, NM102 sho the 2/N9/1200 segment MUST be used cross reference number.	
			EAH	Contributor	
				1. When HL03 is code EC, use of this REQUIRED to indicate an entity who	
				2. When this code is used, NM102 mag	y cite code 1, 2,

or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number, the 2/EMS/1500 segment may be used to identify the contributor's occupation,

and the 2/AWD/2700 loop MUST be used to identify applicable amounts.

3. When this code is used and NM102 is code 6, NM108/109 should be used to provide the state assigned committee identification number, if one has been received. If an identification number has not been received, use another iteration of this segment with NM101 citing code EBN.

**EBN** 

Treasurer

- 1. When NM101 is code EAH and NM102 is code 6, use this code, if no committee identification number has been assigned, to indicate the treasurer of the committee.
- 2. When this code is used, NM102 should cite code 1 and the 2/N9/1200 segment MUST be used to provide a cross reference number.

FN

Filer Name

- 1. When HL03 is code EB, use of this code is REOUIRED to indicate the submitter of the data. This code is used as the means to provide the summary amounts in the 2/AWD/2700 loop and may be discarded by the receiving application.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable summary amounts.

GG

Intermediary

- 1. When HL03 is code EC, use this code to indicate the intermediary through whom a nonmonetary contribution was received.
- 2. When this code is used, the same information applicable to the contribution MUST be provided for both the intermediary and the actual contributor.
- 3. When this code is used, NM102 may cite code 1 or 2, the 2/N9/1200 segment MUST be used to provide a cross reference number, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.

M

NM102 1065

**Entity Type Qualifier** 

M ID 1/1

Code qualifying the type of entity

- 1. Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.
- 2. When NM101 is code EAH, the listed codes are specifically used to identify the type of contributor.

1 Person

Use this code to indicate an individual.

2 Non-Person Entity

> Use this code to indicate an entity other than an individual or committee.

6 Organization

Use this code to indicate a committee.

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Must Use	NM103	1035	Name Last or Organization Name Individual last name or organizational name			AN 1/60			
			1. Use of this data element is	те с	of an entity.				
				2. When NM102 is code 1 and the person has a moniker (nickn 2/N2/0800 segment to provide the moniker.					
			3. When NM102 is code 2 or 6 and the name of the entity or committee is greater than 60 characters, use the 2/N2/0800 segment to provide the balance of the name.						
	NM104	1036	Name First		0	AN 1/35			
			Individual first name						
	NM105	1037	Name Middle		0	AN 1/25			
			Individual middle name or ini	tial					
	NM106	1038	Name Prefix		0	AN 1/10			
			Prefix to individual name						
	NM107	1039	Name Suffix		0	AN 1/10			
			Suffix to individual name						
	NM108	66	Identification Code Qualifie		X	ID 1/2			
				method of code structure used for	ed for Identification				
			Code (67) When NM101 is code FAH a	and NM102 is code 6, use this d	lata d	olomont to			
			qualify the identification num		uiu c	iemeni io			
				Province Assigned Number					
			use this	n NM101 is code EAH and NM code to indicate the state assign ation number, if one has been t	igned committee				
						,			
			there M	identification number has beer UST be another iteration of the 1101 citing code EBN.					
	NM109	67	<b>Identification Code</b>	g	X	AN 2/80			
			Code identifying a party or of	her code					
			Use this data element to prov number, if one has been assig	ide the state assigned committe gned.	e ide	entification			
Not Used	NM110	706	<b>Entity Relationship Code</b>		X	ID 2/2			
			Code describing entity relation	nship					
Not Used	NM111	98	<b>Entity Identifier Code</b>		0	ID 2/3			
			Code identifying an organizat individual	ional entity, a physical location	, pro	perty or an			

Segment: N2 Additional Name Information

**Position:** 0807

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 2 Purpose: 7

: To specify additional names

Syntax Notes: Semantic Notes:

Comments: Notes:

1. When NM102 in the 2/NM1/0700 segment is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

	Ref.	Data Element	Name	Attributes
	Des.			
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the monnumeric 1, 2, or 3.	niker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0907

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	$\overline{\text{N301}}$	166	Address Information	M AN 1/55
			Address information	
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

**Position:** 1007

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.
2 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref. Des.	Data Element	Name		ributes
	N401	19	City Name	O	AN 2/30
			Free-form text for city name		
	N402	156	State or Province Code	X	ID 2/2
			Code (Standard State/Province) as defined by appropriate government	vernn	nent agency
Must Use	N403	116	Postal Code	O	ID 3/15
			Code defining international postal zone code excluding punct (zip code for United States)	uatio	n and blanks
			This data element MUST be used to provide a ZIP code.		
Not Used	N404	26	Country Code	X	ID 2/3
			Code identifying the country		
Not Used	N405	309	Location Qualifier	X	ID 1/2
			Code identifying type of location		
Not Used	N406	310	Location Identifier	O	AN 1/30
			Code which identifies a specific location		
Not Used	N407	1715	Country Subdivision Code	$\mathbf{X}$	ID 1/3
			Code identifying the country subdivision		

Segment: N9 Reference Identification

Position: 1207

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When NM101 in the 2/NM1/0700 segment is code 36, BUS, EAH, EBN, or GG, use of this segment is REQUIRED to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.

	Ref.	Data	Data Element Summary		
	Des.	Element	Name	Attı	ributes
M	N901	128	Reference Identification Qualifier		ID 2/3
			Code qualifying the Reference Identification		
			6O Cross Reference Number		
			Use this code to indicate a cross refere	nce n	umber that
			is used to associate entities identified i 2/NM1/0700 segment.	n the	
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set o	or as
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	= mi decir	nutes (00- nal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International 3 Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the Reference Identifier	- and e; sin	an indication ce + is a
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set o	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
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			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

EMS Employment Position **Segment: Position:** 1507 Loop: NM1 Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 **Purpose:** To describe employment position If either EMS05 or EMS06 is present, then the other is required. **Syntax Notes:** If either EMS07 or EMS08 is present, then the other is required. **Semantic Notes:** 1 EMS01 describes the employee's title or position in text. 2 EMS02 defines the employment position relationship. 3 EMS03 defines the occupation category. 4 EMS04 defines the status of employment. 5 EMS05 identifies EMS06 as pay grade. EMS06 defines pay grade for employee, if available. **Comments:** When NM101 in the 2/NM1/0700 segment is code EAH and NM102 is code 1, use of **Notes:** this segment is REQUIRED to identify the occupation of the contributor. **Data Element Summary** 

Ref.	Data	Data Element Summary		
Des.	<b>Element</b>	<u>Name</u>	_	<u>ributes</u>
EMS01	352	Description	O	AN 1/80
		A free-form description to clarify the related data elements a	nd th	eir content
		1. Use this data element to identify the occupation of the c	ontril	butor, in text.
EMS02	1176	<b>Employment Class Code</b>	O	<b>ID</b> 2/3
		Code indicating category of employee		
EMS03	1149	Occupation Code	O	<b>ID 4/6</b>
		Code identifying the occupation of an individual		
EMS04	584	<b>Employment Status Code</b>	O	ID 2/2
		Code showing the general employment status of an employe	e/clai	mant
EMS05	128	Reference Identification Qualifier	X	ID 2/3
		Code qualifying the Reference Identification		
EMS06	127	Reference Identification	$\mathbf{X}$	AN 1/50
		•	n Set	or as
<b>EMS07</b>	127	Reference Identification	X	AN 1/50
		Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
EMS08	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
		Code qualifying the Reference Identification		
	EMS02 EMS03 EMS04 EMS05 EMS06	Des. Element           EMS01         352           EMS02         1176           EMS03         1149           EMS04         584           EMS05         128           EMS06         127           EMS07         127	Ref. Des. Element Des. Element EMS01 352 Description  A free-form description to clarify the related data elements at a l. Use this data element to identify the occupation of the control of the risk of the Secretary of State's office not receiving all of the risk of the Secretary of State's office not receiving all of the risk of the Secretary of State's office not receiving all of the risk of the Secretary of State's office not receiving all of the risk of the Secretary of State's office not receiving all of the risk of the Secretary of State's office not receiving all of the risk of the Secretary of State's office not receiving all of the risk of the Secretary of State's office not receiving all of the risk of the Secretary of State's office not receiving all of the risk of the Secretary of State's office not receiving all of the risk of the Secretary of State's office not receiving all of the risk of the Secretary of State's office not receiving all of the risk of the Secretary of State's office not receiving all of the risk of the Secretary of State's office not receiving all of the risk of the Secretary of State's office not receiving all of the risk of the Secretary of State's office not receiving all of the risk of the Secretary of State's office not receiving all of the risk of the risk of the Secretary of State's office not receiving all of the risk of the risk of the Secretary of State's office not receiving all of the Secretary of State's office not receiving all of the Secretary of State's office not receiving all of the Secretary of State's office not receiving all of the Secretary of State's office not receiving all of the Secretary of State's office not receiving all of the Secretary of State's office not receiving all of the Secretary of State's office not receiving all of the Secretary of State's office not receiving all of the Secretary of State's office not receiving all of the Secretary of State's office not receiving all of the Secretary of State's office not receiving all of the Secretary	Ref. Des. Des. Description       Element EMS01       Name Attributed       Attributed         EMS01       352       Description       O         A free-form description to clarify the related data elements and the I. Use this data element to identify the occupation of the contributed       2. This data element is limited to 60 characters. Exceeding this the risk of the Secretary of State's office not receiving all of the Emsol Indicating category of employee         EMS02       1176       Employment Class Code Occide indicating category of employee       O         EMS03       1149       Occupation Code Occide identifying the occupation of an individual       O         EMS04       584       Employment Status Code Occide showing the general employment status of an employee/clair Code qualifying the Reference Identification Qualifier       X         EMS05       128       Reference Identification Qualifier       X         EMS06       127       Reference Identification X       X         Reference Identification Qualifier       X       X         EMS07       127       Reference Identification Qualifier       X         EMS08       128       Reference Identification Qualifier       X         EMS08       128       Reference Identification Qualifier       X

AWD Amount with Description **Segment:** 

**Position:** 2707

> **AWD** Loop: Optional

Level: Detail Usage: Optional Max Use: 1

1

transaction.

**Purpose:** To specify a code and/or description with an associated amount

Only one of AWD02 or AWD03 may be present. **Syntax Notes:** 

At least one of AWD02 or AWD03 is required.

**Semantic Notes: Comments:** 

Notes:

1. When NM101 in the 2/NM1/0700 segment is code EAH or GG, use this segment to provide amounts applicable to a contribution. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary

AWD03 is used when information source provides non-numeric values.

- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to provide summary amounts for the form 460, Schedule C.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 - 09) to qualify the following amounts:

Amount/Fair Market Value: AWD01-01 Code EBG

Cumulative Calendar Year to Date:

AWD01-01 code EBG AWD01-03 code 2 AWD01-05 code EA

Cumulative to Date Other AWD01-01 code EBG AWD01-03 code 2 AWD01-05 code EE

Schedule C Summary - line 1: AWD01-01 code EBG AWD01-09 code 05-A1

Schedule C Summary - line 2: AWD01-01 code EBG AWD01-09 code 05-A2

Schedule C Summary - line 3: AWD01-01 code EBG

AWD01-03 code 8

Administrative overhead and start-up expenses paid by a sponsoring organization for its sponsored committee should also be carried in this segment as follow:

AWD01-01 code 7A

**Data Element Summary** 

Ref. Data

M	<u>Des.</u> AWD01	Element C007	Name Amount Qualifying Description		Att M	<u>ributes</u>
			To qualify an a			
				osite data element to provide multiple qualif	ies the	at identify the
M	C00701	522	amount cited in Amount Quali		M	ID 1/3
141	C00701	344	Code to qualify		IVI	ID 1/3
			7A	Organizational Expenses		
			/A	Use this code to indicate administrati	v <i>e ove</i>	rhead and
				start-up expenses.	ve ove	тисии ини
			EBG	In-Kind Contribution		
				Use this code to indicate a nonmoneto	ary co	ntribution,
NT / TT   1	C00 <b>E</b> 03	500		i.e., the fair market value of the good		
Not Used	C00702	522	Amount Quali		O	ID 1/3
	C00503	1720	Code to qualify		0	ID 1/1
	C00703	1638	Value Detail C		O	ID 1/1
			-	ng extent of an amount or quantity		
			2	Cumulative		
NI-4 TI J	C00704	025	8 Management	Total	•	ID 2/2
Not Used	C00704	935		Significance Code	0	ID 2/2
	C00505	244		enchmark, qualify or further define a measur		
	C00705	344		Period or Interval	О	ID 2/2
			_	g the time period or interval		
			EA	Calendar Year-to-Date To Date		
			EE	Use this code to indicate "To date oth	. 074!!	
Not Hand	C00706	1637	Net/Gross Cod			ID 1/1
Not Used	C00700	1037		g whether monetary values are net or gross	О	ID 1/1
Not Used	C00707	935		Significance Code	O	ID 2/2
Not Oseu	C00707	733		enchmark, qualify or further define a measur	_	
Not Used	C00708	352	<b>Description</b>	chemiark, quarry of further define a measur	O	AN 1/80
Not Oscu	C00700	332	-	scription to clarify the related data elements		
	C00709	1271	Industry Code		and th	AN 1/30
	C00707	12/1	-	g a code from a specific industry code list	U	AN 1/30
				a element to cite a code from the California	ı Cam	naian
				de List Section 05.	i Cum	puign
			2. Cite either c	code 05-A1 or 05-A2 only.		
	AWD02	782	Monetary Am		X	R 1/18
			Monetary amou			
			Use this segme	nt to identify the monetary amount associa	ted wit	th the
			- "	cited in the AWD01 composite data elemen		
Not Used	AWD03	61	Free-Form Me	_	X	AN 1/30
			Free-form infor		_	
Not Used	AWD04	100	Currency Cod		O	ID 3/3
			Code (Standard	I ISO) for country in whose currency the cha	irges a	re specified

Segment: DTM Date/Time Reference

**Position:** 2807

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the date the non-monetary contribution was received.

	Ref.	Data		•					
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>			
M	DTM01	374	Date/Time Qualific	er	M	ID 3/3			
			Code specifying typ	be of date or time, or both date and time					
			050	Received					
				Use this code to indicate the receipt dat monetary contribution.	e of a	a non-			
	DTM02	373	Date		X	<b>DT 8/8</b>			
			Date expressed as C	CCYYMMDD					
			Use this data eleme	nt to identify the date of the contribution	i <b>.</b>				
Not Used	DTM03	337	Time		X	TM 4/8			
			Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (0.59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)						
Not Used	DTM04	623	Time Code		O	ID 2/2			
			Organization standa in hours in relation	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follows:					
Not Used	DTM05	1250	Date Time Period	Format Qualifier	X	ID 2/3			
			Code indicating the	date format, time format, or date and time	e forr	nat			
Not Used	DTM06	1251	<b>Date Time Period</b>		X	AN 1/35			
			Expression of a date, a time, or range of dates, times or dates and times						

**Reference Identification Segment:** 

**Position:** 3107

> **AWD** Optional Loop:

Level: Detail Usage: Optional Max Use: >1

To transmit identifying information as specified by the Reference Identification Qualifier **Purpose:** 

At least one of N902 or N903 is required. **Syntax Notes:** 

- If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

1. When NM101 is code EAH or GG, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a contributor or an intermediary. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the contributor or intermediary. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>		<u>ributes</u>		
M	N901	128	Reference Identification Qualifier	M	ID 2/3		
			Code qualifying the Reference Identification	ode qualifying the Reference Identification			
			TN Transaction Reference Number				
			Use this code to indicate a monetary to identifier applicable to an amount. The transaction identifier MUST be the sate amounts within the same monetary transaction identifier MUST be used in where the monetary transaction is proamendments.	is moi me foi insact noneta n all s	netary r all ion ary chedules		
	N902	127	Reference Identification	X	AN 1/50		
Not Used	N903	369	Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier <b>Free-form Description</b>	n Set o	or as AN 1/45		
Not escu	11703	307	Free-form description  Free-form description	21	1111 1/43		
Not Used	N904	373	Date	O	DT 8/8		
110t escu	11/04	010	Date expressed as CCYYMMDD	O	<b>D1</b> 0/0		
Not Used	N905	337	Time	X	TM 4/8		
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal second are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)  Time Code  O ID 2/2				
Not Used	N907	C040	Code identifying the time. In accordance with International 3 Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication ce + is a		
			To identify one or more reference numbers or identification	numb	ers as		

Not Used	C04001	128	specified by the Reference Qualifier  Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transact specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04003	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transact specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50

Segment: MTX Text

Position: 3507

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. Use this segment to provide a description of the in-kind contribution or additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time with each of the listed codes in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>		Att	<u>ributes</u>	
	MTX01	363	Note Reference C	code	O	ID 3/3	
			Code identifying t	he functional area or purpose for which the	note	applies	
			ADD	Additional Information			
			EBC	Description of Goods and Services			
	MTX02	1551	Message Text		X	AN 1/4096	
			To transmit large v	volumes of message text			
			data element. 2. When MTX01	is code ADD, the full 4096 characters ma is code EBC, this data element is limited t nit runs the risk of the Secretary of State's	to 90	characters.	
Not Used	MTX03	1551	Message Text		O	AN 1/4096	
			To transmit large v	volumes of message text			
Not Used	MTX04	934	<b>Printer Carriage</b>	Control Code	$\mathbf{X}$	ID 2/2	
			A field to be used	for the control of the line feed of the receiv	ving p	orinter	
Not Used	MTX05	1470	Number		O	N0 1/9	
			A generic number				
Not Used	MTX06	819	Language Code		O	ID 2/3	
				Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)			

Segment: HL Hierarchical Level

Position: 0108

Loop: HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule D, Summary of Expenditures Supporting/Opposing Other Candidates, Measures, and Committees.

#### **Data Element Summary**

	Ref.	Data		·		
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ibutes</u>
M	HL01	628	Hierarchical ID Nu	ımber	$\mathbf{M}$	AN 1/12
			A unique number as	signed by the sender to identify a particular	lar da	ta segment
			in a hierarchical stru	cture		
				nt to provide a unique number that can i		
			loop. It is recomme	nded that the first HL loop be identified	by th	e number 1
				and that each successive HL loop be in	creme	ented by 1.
Not Used	HL02	734	Hierarchical Paren	t ID Number	O	AN 1/12
				er of the next higher hierarchical data seg ribed is subordinate to	ment	that the data
M	HL03	735	Hierarchical Level		M	ID 1/2
			Code defining the cl	naracteristic of a level in a hierarchical str	ructur	e
			1. This data elemen	nt is used to identify the type of data that	is bei	ing
			transmitted within t	he current HL loop.		
			2 Fach of the lister	d codes MUST be used only one time.		
			EB Filer			
				1. Use this code to indicate the submitt	ter of	the data
				on form 460, Schedule D.	<b>J</b>	
				2. When this code is used, the 2/NM1/		segment
			MUST be used to identify the filer and the 2/AWD/2700 loop also MUST be used to identify the summary amounts for form 460, Schedule D.			
						).
			EF	Expenditures		
				Use this code to indicate expenditure de	ata is	being

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RP provided.

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment: Position:** 0208 N9 Loop: Optional (Must Use) Level: Detail Optional (Must Use) Usage: Max Use: **Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier At least one of N902 or N903 is required. **Syntax Notes:** If N906 is present, then N905 is required. 3 If either C04003 or C04004 is present, then the other is required. 4 If either C04005 or C04006 is present, then the other is required. **Semantic Notes:** 1 N906 reflects the time zone which the time reflects. N907 contains data relating to the value cited in N902. **Comments: Notes:** When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted.

	Ref.	Data	,		
	Des.	Element	<u>Name</u>		<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			Use this data element to cite a code that qualifies the data of	arrie	d in N902.
			FQ Form Number		
			Use this code to indicate the number of transmitted.	f the	form being
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		or as
			Use this data element to cite the form number, e.g., F460D		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	[ = mi ; decii	inutes (00- mal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International 3 Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication nce + is a
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction Set or as		or as

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction S specified by the Reference Identification Qualifier		or as

MTX Text **Segment:** 

**Position:** 0608

> Loop: N9 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

**Purpose:** To specify textual data

**Notes:** 

**Syntax Notes:** 

If MTX01 is present, then MTX02 is required. If MTX03 is present, then MTX02 is required.

If MTX05 is present, then MTX04 is required.

3

**Semantic Notes:** 1 MTX05 is the number of lines to advance before printing. **Comments:** 

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the rece	iving p	printer
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: NM1 Individual or Organizational Name

Position: 0708

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Notes:

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 1 NM102 qualifies NM103.

Comments: 1 NM110 and NM111 further define the type of entity in NM101.

1. When HL03 cites code EB, use this loop to identify the filer of the form 460D. There can only be 1 iteration of this segment when HL03 cites code EB.

2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.

3. When HL03 is code EF, use this loop as many times as necessary to identify all entities on whose behalf an expenditure was made, i.e., the entity that was supported or opposed by an expenditure.

#### **Data Element Summary**

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	NM101	98	<b>Entity Identifier Code</b>	M ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

**EAW** 

Party to Benefit

- 1. When HL03 is code EF, use of this code is REQUIRED to indicate the entity, i.e., candidate, ballot measure, or committee, for whom an expenditure was intended to support or oppose.
- 2. When this code is used, NM102 may cite code 1, 2, or 6, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. If the entity who was supported or opposed by an expenditure is a candidate, use the 2/LQ/2100 segment to identify the office sought or held, the jurisdiction, and whether the expenditure was made to support or oppose the candidate.
- 4. If the entity who was supported or opposed by an expenditure is a ballot measure, use the 2/N9/1200 segment to identify the ballot measure number or letter and the 2/LQ/2100 segment to identify the jurisdiction and whether the expenditure was made to support or oppose the ballot measure.
- 5. When this code is used and NM102 cites code 6, NM108/09 should be used to provide the state assigned committee identification number.

FN Filer Name

1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide the summary amounts in the 2/AWD/2700 loop.

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2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.

3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable summary amounts.

				applicable summary amounts.			
M	NM102	1065	<b>Entity Type Qualifie</b>	r	M	ID 1/1	
			Code qualifying the ty	pe of entity			
			Use this data element to identify the entity qualified in NM16 individual, a committee, or an entity other than a person or committee.				
						nittee.	
				Person			
			ì	Use this code to indicate an individual.			
			2	Non-Person Entity			
			ì	Use this code to indicate an entity other	ty other than an		
			_	ndividual or a committee.			
				Organization			
			l	Use this code to indicate a committee.			
Must Use	NM103	1035	Name Last or Organ	ization Name	O	AN 1/60	
			Individual last name of	or organizational name			
			1. Use of this data ele	ement is REQUIRED to provide the na	ıme o	f an entity.	
			2. When NM102 is code 1 and the person has a moniker (nickname), use the				
			2/N2/0800 segment to provide the moniker.				
			3 When NM102 is a	ode 2 or 6 and the name of the entity o	r con	amittaa is	
				ucters, use the 2/N2/0800 segment to pr			
			balance of the name.	iciers, use the 2/1/2/0000 segment to pr	07100		
	NM104	1036	Name First		О	AN 1/35	
			Individual first name				
	NM105	1037	Name Middle		O	AN 1/25	
			Individual middle nan	ne or initial			
	NM106	1038	Name Prefix		0	AN 1/10	
	1111100	1000	Prefix to individual na	ame	Ü	7111 1/10	
	NM107	1039	Name Suffix	ane	0	AN 1/10	
	1411107	1037	Suffix to individual na	ema.	U	AN 1/10	
	NIN#100	"			v	ID 1/2	
	NM108	66	Identification Code (		X on Ide	ID 1/2	
			Code designating the Code (67)	system/method of code structure used for	or 1ae	entification	
				EAW and NM102 is code 6, use this a	lata 4	lement to	
				tion number in NM109.	ara c	icincin to	
				State or Province Assigned Number			
			1	When NM101 is code EAW and NM10.	2 is	code 6, use	
				his code to indicate the state assigned			
				dentification number, if one has been	assig		
	NM109	67	<b>Identification Code</b>		X	AN 2/80	
			Code identifying a par	rty or other code			
			Use this data element	to provide the state assigned committe	e ide	ntification	
			number, if one has be				
Not Used	NM110	706	Entity Relationship		X	ID 2/2	
			Code describing entity	•			
Not Used	NM111	98	<b>Entity Identifier Cod</b>	le	O	ID 2/3	
			Code identifying an o	rganizational entity, a physical location	, prop	erty or an	
			individual				

individual

Segment: N2 Additional Name Information

**Position:** 0808

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional

Max Use: 2 Purpose: To

**Notes:** 

To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<b>Attributes</b>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the monumeric 1, 2, or 3.	oniker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0908

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

	Ref. <u>Des.</u>	Data <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	N301	166	Address Information	M AN 1/55
			Address information	
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1008

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

			Data Element Summary		
	Ref.	Data	N	<b>A</b> 44	
	Des.	<u>Element</u>	Name		<u>ributes</u>
	N401	19	City Name	O	AN 2/30
			Free-form text for city name		
	N402	156	State or Province Code	X	ID 2/2
			Code (Standard State/Province) as defined by appropriate go	overni	nent agency
Must Use	N403	116	Postal Code	O	ID 3/15
			Code defining international postal zone code excluding pund (zip code for United States)	ctuatio	on and blanks
			This data element MUST be used to provide a ZIP code.		
Not Used	N404	26	Country Code	X	ID 2/3
			Code identifying the country		
Not Used	N405	309	Location Qualifier	X	ID 1/2
			Code identifying type of location		
Not Used	N406	310	Location Identifier	O	AN 1/30
			Code which identifies a specific location		
Not Used	N407	1715	<b>Country Subdivision Code</b>	X	ID 1/3
			Code identifying the country subdivision		

Segment: N9 Reference Identification

Position: 1208

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: When NM101 is code EAW, and the entity who was supported or opposed by an

expenditure was a ballot measure, use this segment to identify the ballot measure

number or letter.

	Ref.	Data	Data Element Summary		
	Des.	Element	Name	Δtt	ributes
M	N901	128	Reference Identification Qualifier	M	ID 2/3
	11,702	120	Code qualifying the Reference Identification		12 2,0
			ECB Ballot Identifier		
	N902	127	Reference Identification	X	AN 1/50
	11,20=		Reference information as defined for a particular Transaction		
			specified by the Reference Identification Qualifier	ı bet	or <b>u</b> s
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, o	r HH	MMSS, or
			HHMMSSD, or HHMMSSDD, where $H = hours$ (00-23), $M$		
			59), S = integer seconds (00-59) and DD = decimal seconds;		
No4 Tland	NIOOC	(22	are expressed as follows: $D = tenths (0.9)$ and $DD = hundred$		
Not Used	N906	623	Time Code	0	ID 2/2
			Code identifying the time. In accordance with International 9 Organization standard 8601, time can be specified by a + or		
			in hours in relation to Universal Time Coordinate (UTC) time		
			restricted character, + and - are substituted by P and M in the		
Not Used	N907	C040	Reference Identifier	O	
			To identify one or more reference numbers or identification	numb	ers as
			specified by the Reference Qualifier		
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction	n Set	or as
No.4 Tland	C04002	120	specified by the Reference Identification Qualifier	v	ID 2/2
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
N 4 T 1	C04004	107	Code qualifying the Reference Identification	<b>T</b> 7	A DI 4/50
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	ı Set	or as
Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
1101 Oscu	C0-1003	120	Code qualifying the Reference Identification	41	117 M/J
			code qualitying the Reference Identification		

Not Used C04006 127 Reference Identification X AN 1/50

Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

Segment: LQ Industry Code

Position: 2108

**Loop:** LQ Optional

Level: Detail Usage: Optional

Max Use: 1

Notes:

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

Semantic Notes: Comments:

- 1. When NM101 is code EAW, this segment is used to identify the office sought/held, the jurisdiction of the candidate, and whether the expenditure was made to support or oppose the candidate.
- 2. When NM101 is code EAW and N901 in the 2/N9/1200 segment is code ECB, use this segment to identify the jurisdiction in which the ballot measure is being voted upon and whether the expenditure was made to support or oppose the ballot measure.
- 4. The office sought/held, jurisdiction, and support/oppose indicator are identified by codes contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 5. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

		Dutu Biti	ilent Summary		
Ref. <u>Des.</u> LQ01	Data <u>Element</u> 1270	Name Code List Qualifi Code identifying a	er Code  specific industry code list	Attr O	ributes ID 1/3
		Use this data elemin LQ02.	nent to identify the code list that contains t	the co	de specified
		EAF	California Campaign Disclosure Report	Code	es
LQ02	1271	<b>Industry Code</b>		$\mathbf{X}$	AN 1/30
		Code indicating a	code from a specific industry code list		
			is code EAW, enter codes from sections 0. mpaign Disclosure Code List.	3, 04,	and/or 08 of
			OTH is cited in this data element, use the y the office, in text.	2/M7	TX/2600
			CIT, 08-CTY, 08-LOC, or 08-OTH is cited /MTX/2600 segment to identify the city. cited in text.		
			SEN, 08-ASM, or 08-BOE is cited in this ment to identify the district.	data	element, use

**Reference Identification Segment:** 

**Position:** 2208

> Loop: LQ Optional

Level: Detail Usage: Optional Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** At least one of N902 or N903 is required.

- If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** When LQ02 in the 2/LQ/2100 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district

within the jurisdiction.

			Data Ele	ement Summary		
	Ref.	Data	Name		A 44.	
M	<u>Des.</u> N901	Element 128	Name Reference Identi	ification Qualifica		<u>ributes</u> ID 2/3
IVI	11901	120		Reference Identification Qualifier Code qualifying the Reference Identification		ID 2/3
				ment to qualify the code cited in N902.  District Number		
			DIS		C 41	ce
				Use this code to indicate the district of sought or the ballot measure.	tne o	ујісе
	N902	127	Reference Identi		X	AN 1/50
				ation as defined for a particular Transaction	n Set	
				Reference Identification Qualifier		
Not Used	N903	369	Free-form Descr	ription	X	AN 1/45
			Free-form descrip	ptive text		
Not Used	N904	373	Date		O	<b>DT 8/8</b>
			Date expressed as	s CCYYMMDD		
Not Used	N905	337	Time		X	TM 4/8
			HHMMSSD, or I	n 24-hour clock time as follows: HHMM, of HHMMSSDD, where H = hours (00-23), No econds (00-59) and DD = decimal seconds	I = mi	nutes (00-
	7700		*	follows: $D = tenths (0-9)$ and $DD = hundre$	- '	
Not Used	N906	623	Time Code		O .	ID 2/2
N . W	N00 <b>5</b>	G040	Organization star in hours in relation restricted charact	the time. In accordance with International adard 8601, time can be specified by a + or on to Universal Time Coordinate (UTC) timer, + and - are substituted by P and M in the	- and ne; sin e code	an indication ce + is a
Not Used	N907	C040	Reference Identi		0	
			•	r more reference numbers or identification Reference Qualifier	numo	ers as
Not Used	C04001	128		ification Qualifier	M	ID 2/3
- 100 - 200				the Reference Identification		
Not Used	C04002	127	Reference Identi		M	AN 1/50
			Reference inform	ation as defined for a particular Transactio	n Set	or as
				Reference Identification Qualifier		
Not Used	C04003	128	Reference Identi	ification Qualifier	X	ID 2/3
			Code qualifying t	the Reference Identification		
Not Used	C04004	127	Reference Identi	ification	X	AN 1/50
				ation as defined for a particular Transaction Reference Identification Qualifier	n Set	or as
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Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set o	or as

Segment: MTX Text

Position: 2608

Loop: LQ Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. When LQ02 in the 2/LQ/2100 segment cites code 03-OTH, use this segment to identify the office sought.

2. When LQ02 cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city. county, local or other jurisdiction.

- 3. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
- 4. This implementation convention limits the use of this segment to one time per LQ loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Att	<u>ributes</u>
	MTX01	363	Note Reference	Code	O	ID 3/3
			Code identifying	the functional area or purpose for which the	e note	applies
			EAM	Jurisdiction		
			EAQ	Description of Position		
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit large	e volumes of message text		
				nt is limited to 40 characters. Exceeding th tary of State's office not receiving the data.		it runs the
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit large	e volumes of message text		
Not Used	MTX04	934	Printer Carriag	ge Control Code	X	ID 2/2
			A field to be use	d for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic number	er		
Not Used	MTX06	819	Language Code		O	ID 2/3
				g the language used in text, from a standard the International Standards Organization (ISC		

Segment: AWD Amount with Description

Position: 2708

Loop: AWD Optional

Level: Detail
Usage: Optional
ax Use: 1

Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

Semantic Notes: Comments: 1 AWD03 is used when information source provides non-numeric values.

Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code EAW, use this segment to provide amounts applicable to an expenditure. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to provide summary amounts for the form 460, Schedule D.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 09) to qualify the following amounts:

Amount this Period:

AWD01-01 code 93, EBB, or EBG (depending on type of payment)

AWD01-02 code 4L (only when AWD01-01 is code 93)

Cumulative Calendar Year to Date:

AWD01-01 code 93, EBB, or EBG (depending on type of amount)

AWD01-02 code 4L (only when AWD01-01 is code 93)

AWD01-03 code 2 AWD01-05 code EA

Cumulative to Date Other:

AWD01-01 code 93, EBB, or EBG (depending on type of amount)

AWD01-02 code 4L (only when AWD01-01 is code 93)

AWD01-03 code 2 AWD01-05 code EE

Schedule D Summary, Line 1:

*AWD01-01 code C0 AWD01-09 code 05-A1* 

Schedule D Summary, Line 2:

AWD01-01 code C0 AWD01-09 code 05-A2

Schedule D Summary, Line 3:

AWD01-01 code C0 AWD01-03 code 8

#### **Data Element Summary**

Ref. Data

Des. Element Name

M AWD01 C007 Amount Qualifying Description

Attributes

M

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Γο qualify an amour	y an amoun	lity	qua	ľo
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			ro quanty an an	nount		
			amount cited in		s tha	t identify the
M	C00701	522	Amount Qualif	ïer Code	M	ID 1/3
			Code to qualify	amount		
			93	Contribution		
				Use this code in conjunction with AWL	01-0	2 code 4L
			CO	to indicate a cash contribution.		
			C0	Current Expenditures	7	
				Use this code to indicate contributions independent expenditures.	ana	
			EBB	Expenditure Amount		
				Use this code to indicate an independen	ıt exi	penditure
				amount.		
			EBG	In-Kind Contribution		
				Use this code to indicate a nonmonetar		
	C00503	500	A 40 P6	i.e., the fair market value of the goods of	_	
	C00702	522	Amount Qualify		O	ID 1/3
			Code to qualify	amount Cash		
	C00502	1.730	4L	<del>- 1</del> 11-1-	•	ID 1/1
	C00703	1638	Value Detail Co		O	ID 1/1
			•	g extent of an amount or quantity		
			2	Cumulative		
NI 4 TI 1	C00504	025	8	Total	•	ID 2/2
Not Used	C00704	935		Significance Code	0	ID 2/2
	C00705	244		nchmark, qualify or further define a measurer		
	C00705	344		eriod or Interval	O	ID 2/2
			EA	the time period or interval Calendar Year-to-Date		
			EE	To Date		
Not Hand	C00706	1637			^	ID 1/1
Not Used	C00700	1037	Net/Gross Code		O	ID 1/1
Not Used	C00707	935	•	whether monetary values are net or gross	0	ID 2/2
Not Useu	C00707	933		Significance Code nchmark, qualify or further define a measure	_	
Not Hand	C00709	352	Description	nemnark, quarry of further define a measurer		AN 1/80
Not Used	C00708	354	-	arintian to alarify the related data elements or	_	
	C00709	1271	Industry Code	cription to clarify the related data elements ar	0	AN 1/30
	C00709	12/1	•	a code from a specific industry code list	U	AN 1/30
				a code from a specific industry code list t element to cite a code from the California (	Carre	aiau
				e List Section 05.	Jump	naign
				ode 05-A1 or 05-A2 only.		
	AWD02	782	Monetary Amo		X	R 1/18
			Monetary amou			
				nt to identify the monetary amount associated cited in the AWD01 composite data element.		h the
Not Used	AWD03	61	Free-Form Mes		X	AN 1/30
			Free-form inform	mation		
Not Used	AWD04	100	Currency Code		0	ID 3/3
			Code (Standard	ISO) for country in whose currency the charg	es ar	e specified

Segment: DTM Date/Time Reference

**Position:** 2808

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the date of the expenditure or the possession date of an in-

kind contribution.

	Ref.	Data		•		
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ributes</u>
M	DTM01	374	Date/Time Qualif	ïer	M	ID 3/3
			Code specifying ty	pe of date or time, or both date and time		
			529	Date of Possession		
				Use this code to indicate the date a can committee or an agent of the candidate	or c	ommittee
				obtained possession or control of the g	oods	or services.
			666	Date Paid		
	DTM02	373	Date		X	<b>DT 8/8</b>
			Date expressed as	CCYYMMDD		
Not Used	DTM03	337	Time		$\mathbf{X}$	TM 4/8
			HHMMSSD, or H 59), S = integer se	24-hour clock time as follows: HHMM, or HMMSSDD, where H = hours (00-23), M conds (00-59) and DD = decimal seconds; bllows: D = tenths (0-9) and DD = hundred	= mii decin	nutes (00- nal seconds
Not Used	DTM04	623	Time Code		O	ID 2/2
			Organization stand in hours in relation	he time. In accordance with International S lard 8601, time can be specified by $a + or - or + or + or + or + or + or + or$	and e; sin	an indication ce + is a
Not Used	DTM05	1250	Date Time Period	l Format Qualifier	X	ID 2/3
			Code indicating th	e date format, time format, or date and tim	e forr	nat
Not Used	<b>DTM06</b>	1251	Date Time Period	l	$\mathbf{X}$	AN 1/35
			Expression of a da	te, a time, or range of dates, times or dates	and t	imes

Segment: N9 Reference Identification

**Position:** 3108

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

### **Comments:**

**Notes:** 

- 1. When NM101 is code EAW, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a candidate, ballot measure, or committee who benefited from an expenditure. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the candidate, ballot measure, or committee for whom an expenditure was intended to support or oppose. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.
- 2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

M	Ref. <u>Des.</u> N901	Data Element 128	Name Reference Identification Qualifier		ributes ID 2/3
141	11701	120	Code qualifying the Reference Identification	171	10 2/3
			TN Transaction Reference Number		
			Use this code to indicate a monetary to identifier applicable to an amount. The transaction identifier MUST be the sa amounts within the same monetary transaction identifier MUST be used it where the monetary transaction is proamendments.	is mo me fo insact nonet n all s vided	netary r all tion ary schedules and in
	N902	127	Reference Identification	X	AN 1/50
Not Used	N903	369	Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier <b>Free-form Description</b>	n Set o	or as  AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b> Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or	I = mi decir dths (I O Standa	nutes (00- mal seconds 00-99) ID 2/2 ards an indication
			in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the		
Not Used	N907	C040	Reference Identifier	0	
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			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as

Segment: MTX Text

**Position:** 3508

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide a description of the in-kind contribution or additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time with each of the listed codes in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Att	<u>ributes</u>
	MTX01	363	Note Reference	Code	O	ID 3/3
			Code identifying	the functional area or purpose for which the	e note	applies
			ADD	Additional Information		
			ECA	In-Kind Contribution		
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit large	volumes of message text		
			data element.  2. When MTX0	I is code ADD, the full 4096 characters mo I is code ECA, this data element is limited imit runs the risk of the Secretary of State' a.	to 90	characters.
Not Used	MTX03	1551	Message Text		0	AN 1/4096
			To transmit large	volumes of message text		
Not Used	MTX04	934	Printer Carriage	e Control Code	X	ID 2/2
			A field to be used	d for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic numbe	r		
Not Used	MTX06	819	Language Code		O	ID 2/3
				the language used in text, from a standard International Standards Organization (ISC		

Segment: HL Hierarchical Level

Position: 0109

Loop: HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule E, Payments Made.

## **Data Element Summary**

			Data Eleme	ent Summary			
	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>		<u>Attribut</u>	es	
M	HL01	628	Hierarchical ID Nu	ımber	M AN	1/12	
				A unique number assigned by the sender to identify a particular data segment in a hierarchical structure			
			loop. It is recomme	nt to provide a unique number that can nded that the first HL loop be identified	by the nu	ımber 1	
				and that each successive HL loop be in		•	
Not Used	HL02	734	Hierarchical Paren	t ID Number	O AN	1/12	
				er of the next higher hierarchical data seg ibed is subordinate to	ment that	the data	
$\mathbf{M}$	HL03	735	<b>Hierarchical Level</b>	Code	M ID	1/2	
			Code defining the ch	naracteristic of a level in a hierarchical st	ructure		
			<ol> <li>This data element is used to identify the type of data that is being transmitted within the current HL loop.</li> <li>Each of the listed codes MUST be used only one time.</li> </ol>				
			EB	Filer			
				1. Use this code to indicate the submitton form 460, Schedule E.	ter of the d	data	
				2. When this code is used, the 2/NM1/MUST be used to identify the filer and		nent	
				2/AWD/2700 loop also MUST be used	to identify	the	
				summary amounts for form 460, Sched	lule E.		
			EF	Expenditures			
				1. Use this code to indicate Payments.			

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2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all the payees and all associated entities; and the 2/AWD/2700 loop also MUST be used to identify applicable amounts.

RP Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

## Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

N9 Reference Identification **Segment: Position:** 0209 Loop: N9 Optional (Must Use) Level: Detail Optional (Must Use) Usage: Max Use: **Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier At least one of N902 or N903 is required. **Syntax Notes:** If N906 is present, then N905 is required. 3 If either C04003 or C04004 is present, then the other is required. 4 If either C04005 or C04006 is present, then the other is required. **Semantic Notes:** 1 N906 reflects the time zone which the time reflects. N907 contains data relating to the value cited in N902. **Comments: Notes:** When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted.

	Ref.	Data	Data Element	Jummar y		
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>
M	N901	128	Reference Identification	on Qualifier	M	ID 2/3
			Code qualifying the Ref	erence Identification		
			Use this data element to	cite a code that qualifies the data co	arrie	d in N902.
			FQ Fo	rm Number		
			Us	e this code to indicate the number of	the	form being
			tra	nsmitted.		J
	N902	127	Reference Identification		X	AN 1/50
				s defined for a particular Transaction ice Identification Qualifier	Set	or as
				cite the form number, e.g., F460E.		
Not Used	N903	369	Free-form Description		X	AN 1/45
			Free-form descriptive te	xt		
Not Used	N904	373	Date		O	<b>DT 8/8</b>
			Date expressed as CCY	YMMDD		
Not Used	N905	337	Time		$\mathbf{X}$	TM 4/8
Not Used	N906	623	HHMMSSD, or HHMM 59), S = integer seconds	our clock time as follows: HHMM, or ISSDD, where H = hours (00-23), M (00-59) and DD = decimal seconds; by: D = tenths (0-9) and DD = hundred	= mi decir	nutes (00- nal seconds
Not Used	N907	C040	Organization standard 8 in hours in relation to U	ne. In accordance with International S 601, time can be specified by a + or - niversal Time Coordinate (UTC) time ad - are substituted by P and M in the	and e; sin	an indication ce + is a
1100 0000	1,507	0010		reference numbers or identification r	_	ers as
			specified by the Referen	=		
Not Used	C04001	128	Reference Identification	•	M	ID 2/3
			Code qualifying the Ref			
Not Used	C04002	127	Reference Identification		M	AN 1/50
				s defined for a particular Transaction ace Identification Qualifier	Set o	or as
Not Used	C04003	128	Reference Identification		X	ID 2/3
			Code qualifying the Ref	erence Identification		
Not Used	C04004	127	Reference Identification	on	X	AN 1/50
			Reference information a	s defined for a particular Transaction	Set	or as

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	n Set	or as
			specified by the Reference Identification Qualifier		

Segment: MTX Text

Position: 0609

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being

filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the receive	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: NM1 Individual or Organizational Name

Position: 0709

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

Notes:

1 NM110 and NM111 further define the type of entity in NM101.

1. When HL03 cites code EB, use this loop to identify the filer of the form 460E. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.
- 3. When HL03 is code EF, use this loop as many times as necessary to identify all payees and associated entities.
- 4. Each payee (NM101 is code PE) and associated entity (NM101 is code EBN treasurer of a committee, or NM101 is code CC Credit Card Company, or NM101 is code AG Agent), MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the cross reference number in N902. The cross reference number of the payee and associated entity MUST be the same.

#### **Data Element Summary**

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	NM101	98	<b>Entity Identifier Code</b>	M ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

EAX

Party Holding Interest

- 1. When NM101 is code PE and the payee is a business entity in which a candidate or person controlling the committee; or an officer or employee of the committee; or a spouse of any of the above; has a 50% ownership; or is an officer partner, consultant or employee of the business; use this code to indicate that party.
- 2. When this code is used, use NM110 to identify the ownership interest or position with the payee, and NM111 to identify the relationship to the filer (ballot measure committee). Additionally, the 2/N9/1200 segment MUST be used to provide a cross reference number.

FN

Filer Name

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide the summary amounts in the 2/AWD/2700 loop.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify

PE

Payee

- 1. When HL03 in the 2/HL/0100 segment is code EF, use this code to indicate the payee or creditor.
- 2. When this code is used, NM102 may cite code 1, 2 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. When this code is used and the payee is a credit card company, additional iterations of this segment are necessary, with NM101 citing code VN, to identify the vendors paid \$100 or more by the credit card company and the 2/N9/1200 segment MUST be used to provide a cross reference number to associate the credit card company with the vendor.
- 4. When this code is used and the payee is an agent or independent contractor, additional iterations of this segment MAY be used, with NM101 citing code VN, to identify the subvendors paid by the agent or independent contractor. In this case the 2/N9/1200 segment MUST be used to provide a cross reference number to associate the agent with the vendor.
- 5. When this code is used and the filer is a ballot measure committee (LQ02 in the 2/LQ/2100 segment of the form F460CP loop cites code 02-BMP, 02-BMC, or 02-BMS) and the payee is a business entity (NM102 is code 2), in which a candidate or person controlling the committee; or an officer or employee of the committee; or a spouse of any of the above; has a 50% ownership; or is an officer partner, consultant or employee of the business; an additional iteration of the segment, with NM101 citing code EAX, is necessary.
- 6. When this code is used and NM102 is code 6, NM108/09 MUST be used if a state assigned identification number has been received.

VN Vendor

- 1. When NM101 is code PE and the payee is a credit card company; or an agent or independent contractor; use this code to indicate the vendors who were paid by the credit card company or agent/independent contractor.
- 2. When this code is used, the 2/N9/1200 segment MUST be used to provide a cross reference number, and the 2/AWD/2700 segment MUST be used to provide the amount of the payment applicable to the vendor.

M NM102 1065 Entity Type Qualifier

M ID 1/1

Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.

Person

1

2

Use this code to indicate an individual.

Non-Person Entity

Use this code to indicate an entity other than an

				in dividual on a committee					
			6	individual or a committee. Organization					
			O	Use this code to indicate a committee.					
Must Use	NM103	1035	Name Last or Org		0	AN 1/60			
Widst Ose	MINITUS	1033		ne or organizational name	O	AN 1/00			
					at ma	ma of a			
				n element is REQUIRED to provide the law ne of an organization.	si na	те ој а			
			person, or the num	ic of an organization.					
			2/N2/0800 segmen	is code 1 and the person has a moniker (n t to provide the moniker.		**			
				s code 2 or 6 and the name of the organiz s, use the 2/N2/0800 segment to provide th					
			name organization		ic ou	iditee of the			
	NM104	1036	Name First		О	AN 1/35			
			Individual first nan	ne					
	NM105	1037	Name Middle		O	AN 1/25			
			Individual middle	name or initial					
	NM106	1038	Name Prefix		O	AN 1/10			
			Prefix to individua	l name					
	NM107	1039	Name Suffix		0	AN 1/10			
	11112207	1005	Suffix to individua	l name	J	1111 1/10			
	NM108	M108 66	Identification Code Qualifier		X	ID 1/2			
	11111100	00		he system/method of code structure used for		-			
			Code (67)						
			When NM101 is code PE and NM102 is code 6, use this data element to						
			qualify the identification number in NM109.						
			75	State or Province Assigned Number					
				When NM101 is code PE and NM102 is this code to indicate the state assigned of the code in	comi	nittee			
	NM109	67	Identification Cod	identification number, if one has been	assig X	nea. AN 2/80			
	11111107	07		party or other code	21	1111 2/00			
				ent to provide the state assigned committe	o ide	ntification			
			number, if one has	-	e iue	mijicanon			
	NM110	706	Entity Relationship	9	X	ID 2/2			
			Code describing en	-					
			_	s code EAX, use this data element to iden	tify t	he			
				ership interest or position within the payed					
				e adequately specifies the relationship, cit egment to describe the relationship in text		de 65 and use			
			11	Partner					
			38	Owner					
			45	Business Associate					
				Use this code to indicate a relationship	to th	ne payee,			
				e.g., a supplier, a consultant, a lender, code is used, the 2/MTX/2000 segment further describe the relationship.					
			48	Employee					
			65	Other					
				1. Use this code when no other code co specify a relationship to the payee.	ın ad	lequately			
				2. When this code is used, use the 2/M	TX/2	2000			

segment to describe the relationship in text.

 		 ~	_
	99		Director
	91		Officer
	78		Stockholder

# NM111 98 Entity Identifier Code

Code identifying an organizational entity, a physical location, property or an individual

O ID 2/3

- 1. When NM101 is code EAX, use this data element to identify the relationship of the entity to the filer (ballot measure committee).
- 2. If no listed code adequately specifies the relationship, cite code IAV and use the 2/MTX/2000 segment to describe the relationship in text.

se tne 2/N11 X/2000	segment to aescribe the relationship in text.
AAP	Employee
IAG	Director
	Use this code to indicate a person controlling a committee.
IAV	Other Related Party
	1. Use this code when no other code can adequately specify a relationship to the payee.
	2. When this code is used, use the 2/MTX/2000 segment to describe the relationship in text.
OL	Officer
VB	Candidate
X4	Spouse

Use this code to indicate the spouse of an entity who has a relationship to the filer (ballot measure committee).

Segment: N2 Additional Name Information

**Position:** 0809

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 2 Purpose: 7

**Notes:** 

**pose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

M	Ref. <u>Des.</u> N201	Data <u>Element</u> 93	Name Name Free-form name	Attributes M AN 1/60
			<ol> <li>When providing a moniker, insert a space after the numeric 1, 2, or 3.</li> <li>= moniker is before the first name</li> <li>= moniker is between the first and middle name</li> <li>= moniker is between the middle and last name</li> </ol>	noniker and cite the
	N202	93	Name Free-form name	O AN 1/60

Segment: N3 Address Information

**Position:** 0909

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

M	Ref.         Data           Des.         Element           N301         166		Name Address Information	Attributes M AN 1/55
			Address information	
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

Position: 1009

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

			Data Element Summary				
	Ref.	Data	N	<b>A</b> 44			
	Des.	<u>Element</u>	Name		<u>ributes</u>		
	N401	19	City Name	O	AN 2/30		
			Free-form text for city name				
	N402	156	State or Province Code	X	ID 2/2		
			Code (Standard State/Province) as defined by appropriate go	overni	nent agency		
Must Use	N403	116	Postal Code	O	ID 3/15		
			Code defining international postal zone code excluding punctuation and blanks (zip code for United States)				
			This data element MUST be used to provide a ZIP code.				
Not Used	N404	26	Country Code	X	ID 2/3		
			Code identifying the country				
Not Used	N405	309	Location Qualifier	X	ID 1/2		
			Code identifying type of location				
Not Used	N406	310	Location Identifier	O	AN 1/30		
			Code which identifies a specific location				
Not Used	N407	1715	<b>Country Subdivision Code</b>	X	ID 1/3		
			Code identifying the country subdivision				

Segment: N9 Reference Identification

Position: 1209

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When NM101 in the 2/NM1/0700 segment is code EAX, PE or VN, use of this segment may be required to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.

			Data	Element Summary		
	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>			<u>ributes</u>
M	N901	128		entification Qualifier	M	ID 2/3
				ng the Reference Identification		
			6O	Cross Reference Number		
				Use this code to indicate a cross reference is used to associate entities identified in 2/NM1/0700 segment.		umber that
	N902	127	Reference Ide	entification	X	AN 1/50
				ormation as defined for a particular Transactione Reference Identification Qualifier	n Set o	or as
Not Used	N903	369	Free-form De	escription	X	AN 1/45
			Free-form des	criptive text		
Not Used	N904	373	Date		O	<b>DT 8/8</b>
			Date expresse	d as CCYYMMDD		
Not Used	N905	337	Time		X	TM 4/8
Not Used	N906	623	HHMMSSD, 6 59), S = integer	and in 24-hour clock time as follows: HHMM, of HHMMSSDD, where $H = hours$ (00-23), More seconds (00-59) and $DD = decimal$ seconds as follows: $D = tenths$ (0-9) and $DD = hundred$	I = min ; decir	nutes (00- nal seconds
Not Used	N907	C040	Organization s in hours in rela	ng the time. In accordance with International standard 8601, time can be specified by a + or ation to Universal Time Coordinate (UTC) timacter, + and - are substituted by P and M in the entifier	- and ne; sin	an indication ce + is a
Not Used	C04001	128	specified by th	e or more reference numbers or identification ne Reference Qualifier entification Qualifier	numb	ers as ID 2/3
110t Obcu	204001	120		ng the Reference Identification	111	10 2/3
Not Used	C04002	127	Reference Ide	_	M	AN 1/50
			Reference info	ormation as defined for a particular Transactione Reference Identification Qualifier	n Set o	or as
Not Used	C04003	128		entification Qualifier	X	ID 2/3
				ng the Reference Identification		
Not Used	C04004	127	Reference Ide	entification	X	AN 1/50
113CA460 (004021)				206	Sept	ember 6, 1999

			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as

Segment: MTX Text

Position: 2009

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes: Comments: 1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. When NM110 in the 2/NM1/0700 segment is code 45 or 65, use this segment to identify the entity's relationship to the payee.

- 2. When NM111 in the 2/NM1/0700 segment is code IAV, use this segment to identify the entity's relationship to the filer (ballot measure committee).
- 3. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
- 4. This implementation convention limits the use of this segment to one time with each of the listed codes in MTX01 per NM1 loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data					
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ributes</u>	
	MTX01	363	<b>Note Reference C</b>	ode	O	ID 3/3	
			Code identifying th	ne functional area or purpose for which the	note	applies	
			CAC	Relationship Information			
				When NM111 in the 2/NM1/0700 segm IAV, use this code to indicate the relation filer (ballot measure committee).			
			EBL	Other Type of Relationship			
				When NM110 is code 45 or 65, use this indicate the relationship to the payee.	code	e to	
	MTX02	1551	Message Text		X	AN 1/4096	
			To transmit large volumes of message text				
				is limited to 90 characters. Exceeding thi ry of State's office not receiving the data.	s lim	it runs the	
Not Used	MTX03	1551	Message Text		O	AN 1/4096	
			To transmit large v	rolumes of message text			
Not Used	MTX04	934	Printer Carriage	Control Code	X	ID 2/2	
			A field to be used to	for the control of the line feed of the receiv	ing p	orinter	
Not Used	MTX05	1470	Number		O	N0 1/9	
			A generic number				
Not Used	MTX06	819	Language Code		O	ID 2/3	
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)				

Segment: AWD Amount with Description

Position: 2709

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

Semantic Notes: Comments: 1 AWD03 is used when information source provides non-numeric values.

- Notes:
- 1. When NM101 in the 2/NM1/0700 segment is code PE or VN, use this segment to provide amounts applicable to a payment. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to provide summary amounts for the form 460, Schedule E.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 09) to qualify the following amounts:

Amount Paid:

AWD01-01 code EBZ

Schedule E Summary - Line 1:

AWD01-01 code EBZ AWD01-09 code 05-A1

Schedule E Summary - Line 2:

AWD01-01 code EBZ AWD01-09 code 05-A2

Schedule E Summary - Line 3:

AWD01-01 code I AWD01-03 code 8

Schedule E Summary - Line 4:

AWD01-01 code EBZ AWD01-03 code 8

	Ref.	Data		•		
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>
M	AWD01	C007	<b>Amount Qualifying</b>	g Description	$\mathbf{M}$	
			To qualify an amoun	nt		
			Use this composite amount cited in AW	data element to provide multiple qualifie VD02.	s tha	t identify the
M	C00701	522	Amount Qualifier	Code	M	ID 1/3
			Code to qualify amo	punt		
			EBZ	Payment		
			I	Interest		
				Use this code to indicate interest paid.		

Not Used	C00702	522	Amount Qualifier Code	o	ID 1/3			
			Code to qualify amount					
	C00703	1638	Value Detail Code	O	<b>ID 1/1</b>			
			Code identifying extent of an amount or quantity					
			8 Total					
Not Used	C00704	935	Measurement Significance Code	O	ID 2/2			
			Code used to benchmark, qualify or further define a measure	ment	value			
Not Used	C00705	344	Unit of Time Period or Interval	O	ID 2/2			
			Code indicating the time period or interval					
Not Used	C00706	1637	Net/Gross Code	O	ID 1/1			
			Code indicating whether monetary values are net or gross					
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2			
			Code used to benchmark, qualify or further define a measurement value					
Not Used	C00708	352	Description	O	AN 1/80			
			A free-form description to clarify the related data elements and their content					
	C00709	1271	Industry Code	O	AN 1/30			
			Code indicating a code from a specific industry code list					
			1. Use this data element to cite a code from the California Campaign Disclosure Code List Section 05.					
			2. Cite either code 05-A1 or 05-A2 only.					
	AWD02	<b>782</b>	Monetary Amount	X	R 1/18			
			Monetary amount					
			Use this segment to identify the monetary amount associate qualifier codes cited in the AWD01 composite data element		th the			
Not Used	AWD03	61	Free-Form Message	X	AN 1/30			
			Free-form information					
Not Used	AWD04	100	Currency Code	O	ID 3/3			
			Code (Standard ISO) for country in whose currency the char	ges a	re specified			

Segment: DTM Date/Time Reference

**Position:** 2809

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: When LQ02 in the 2/LQ/3300 segment cites code TRC or TRS, use this segment to

identify the date or dates of travel.

M	Ref. <u>Des.</u> DTM01	Data Element 374	Name Date/Time Qualifie	er	Attr M	ributes ID 3/3		
			Code specifying type	e of date or time, or both date and time				
			193	Period Start				
				Use this code to indicate the travel start	t date	?•		
			194	Period End				
				Use this code to indicate the travel end	date.			
			AB5	Travel				
				Use this code to indicate a discrete trav	el da	te.		
	DTM02	373	Date		X	<b>DT 8/8</b>		
			Date expressed as C	CYYMMDD				
			Use this data elemen	nt to identify the date of the contribution	! <b>.</b>			
Not Used	DTM03	337	Time		X	TM 4/8		
			Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)					
Not Used	DTM04	623	Time Code		O	ID 2/2		
			Organization standarin hours in relation t	e time. In accordance with International S rd 8601, time can be specified by a + or - o Universal Time Coordinate (UTC) time + and - are substituted by P and M in the	and e; sin	an indication ce + is a		
Not Used	DTM05	1250	Date Time Period I	Format Qualifier	$\mathbf{X}$	ID 2/3		
			Code indicating the	date format, time format, or date and time	e forr	nat		
Not Used	DTM06	1251	<b>Date Time Period</b>		X	AN 1/35		
			Expression of a date	, a time, or range of dates, times or dates	and t	imes		

Segment: N9 Reference Identification

Position: 3109

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

### **Comments:**

**Notes:** 

1. When NM101 is code PE or VN, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a payee or a vendor. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the payee or vendor. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

	Ref.	Data	·		
	Des.	<b>Element</b>	<u>Name</u>		<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			TN Transaction Reference Number		
			Use this code to indicate a monetary to identifier applicable to an amount. The transaction identifier MUST be the seamounts within the same monetary transaction identifier MUST be used where the monetary transaction is preamentments.	his mod ume for ansact moneto in all s	netary r all tion ary schedules
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, HHMMSSD, or HHMMSSDD, where H = hours (00-23), N 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred	M = mis; decir	nutes (00- nal seconds
Not Used	N906	623	Time Code	O	ID 2/2
Not Used	N907	C040	Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) tir restricted character, + and - are substituted by P and M in the Reference Identifier	· - and ne; sin	an indication ce + is a
1,30 0504	21207	20.0	To identify one or more reference numbers or identification specified by the Reference Qualifier	_	ers as

Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transacti specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transacti specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transacti specified by the Reference Identification Qualifier	on Set	or as

Segment: LQ Industry Code

Position: 3309

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

Purpose: Code to transmit standard industry codes

Syntax Notes: 1 If LQ01 is present, then LQ02 is required.

Semantic Notes: Comments:

Notes:

Dof

1. Use this segment to identify the type of payment. More than one payment code may be used to describe the type of payment. If no code adequately describes a payment, use the 2/MTX/3500 segment to provide a text description of the payment.

- 2. The type of payment is identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 3. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

#### **Data Element Summary**

Des. LQ01	Element 1270	Name Code List Qualifi Code identifying a	Attı O	ributes ID 1/3	
		Use this data elemin LQ02.	ent to identify the code list that contains	the co	ode specified
		EAF	California Campaign Disclosure Repor	t Cod	es
LQ02	1271	<b>Industry Code</b>		X	AN 1/30

Code indicating a code from a specific industry code list

- 1. Use this data element to cite a code from Section 07 of the California Campaign Disclosure Code List.
- 2. When this data element cites code CTB and the contribution was an In-Kind Contribution, the candidate or committee on whose behalf the payment was made MUST be identified in the 2/N1/3400 segment; and a description of the goods or service or payment MUST be provided in the 2/MTX/3500 segment.
- 3. When this data element cites code IND, another iteration of this segment is necessary, citing a code from section 04 of the California Election Campaign Code List, to identify if the independent expenditure was to support or oppose a candidate or ballot measure. Additionally, identify the candidate or ballot measure supported or opposed in the 2/N1/3400 segment and provide a description of the payment in the 2/MTX/3500 segment.
- 4. When this data element cites code TRC or TRS, a full description of the payment MUST be provided in the 2/MTX/3500 segment, the destination of the trip MUST be specified in the 2/N1/3400 segment, and a date or dates of the travel MUST be provided in the 2/DTM/2800 segment.

**Segment:** 

**Position:** 3409

> Loop: **AWD** Optional

Level: Detail Optional Usage: Max Use: >1

**Purpose:** To identify a party by type of organization, name, and code

At least one of N102 or N103 is required. **Syntax Notes:** 

If either N103 or N104 is present, then the other is required.

## **Semantic Notes: Comments:**

1 This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.

N105 and N106 further define the type of entity in N101.

**Notes:** 

- 1. When LQ02 in the 2/LQ/3300 segment cites code CTB or IND, use of this segment is REQUIRED to identify the candidate, committee or measure on whose behalf the payment was made.
- 2. When LQ02 in the 2/LQ/3300 segment cites code TRC or TRS, use of this segment is REQUIRED to identify the destination of the travel.

			Data Elem	ent Summary				
M	Ref. <u>Des.</u> N101	Data Element 98	Name Entity Identifier C	ode	Attributes M ID 2/3			
			•	organizational entity, a physical location	, property or an			
			Use this data eleme	nt to qualify the entity identified in N102	2.			
			EAF	Committee				
			EBQ	Lodging Location				
				When LQ02 in the 2/LQ/3300 segment or TRS, use this code to indicate the detrip.				
			VB	Candidate				
			ZZ	Mutually Defined				
				Use this code to indicate a ballot measu				
	N102	93	Name		X AN 1/60			
			Free-form name					
			<ol> <li>If the entity is an first name, middle if</li> <li>If the entity is an If the full name is gabbreviations, e.g.,</li> </ol>	ment to provide the full name of the ent in individual, use the following format: I initial, suffix (if applicable). in organization or a ballot measure, prov greater than 60 characters, use understa COM. for committee.	Prefix, last name, ide the full name. ndable			
	N103	66	Identification Code	_	X ID 1/2			
			Code designating the Code (67)	e system/method of code structure used f State or Province Assigned Number	or Identification			
				When N101 is code EAF, use this code state assigned committee identification has been assigned.				
	N104	67	<b>Identification Code</b>	e	X AN 2/80			
			Code identifying a p	party or other code				
			Use this data element to provide the state assigned committee identification					

number, if one has been assigned.

Not Used	N105	706	Entity Relationship Code	O	ID 2/2
			Code describing entity relationship		
Not Used	N106	98	Entity Identifier Code	O	ID 2/3
			Code identifying an organizational entity, a physical location individual	, pro	perty or an

Segment: MTX Text

Position: 3509

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

Syntax Notes: 1 If MTX01 is present

es: 1 If MTX01 is present, then MTX02 is required.

- If MTX03 is present, then MTX02 is required.
- **3** If MTX05 is present, then MTX04 is required.

Semantic Notes: Comments:

- 1 MTX05 is the number of lines to advance before printing.
- 1 If MTX04 is "AA Advance the specific number of lines before print", then MTX05 is required.

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**Notes:** 

Dof

- 1. When LQ02 in the 2/LQ/3300 segment cites code IND, TRC, or TRS, or if no code is cited in LQ02, this segment may be used to provide a description of the payment.
- 2. When LQ02 in the 2/LQ/3300 segment cites code CTB and the contribution is an In-Kind Contribution, use of this segment may be used to provide a description of the goods or services purchased.
- 3. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.
- 4. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
- 5. This implementation convention limits the use of this segment to one time with each of the listed codes in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

### **Data Element Summary**

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Att	<u>ributes</u>
	MTX01	363	Note Reference Co	de	O	ID 3/3
			Code identifying the	e functional area or purpose for which the	note	applies
			ADD	Additional Information		
			ECA	In-Kind Contribution		
			PMT	Payment		
				Use this code to indicate a description	of a p	payment.
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit large vo	plumes of message text		
			<ul><li>data element.</li><li>2. When MTX01 is</li></ul>	code ADD, the full 4096 characters may code ECA or PMT, this data element is ing this limit runs the risk of the Secreta tta.	limit	ted to 90
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit large vo	plumes of message text		
Not Used	MTX04	934	Printer Carriage (	Control Code	$\mathbf{X}$	ID 2/2
			A field to be used for	or the control of the line feed of the receive	ving p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic number			
Not Used	MTX06	819	Language Code		O	ID 2/3
			Code designating th	e language used in text, from a standard	code !	list

maintained by the International Standards Organization (ISO 639)

Segment: HL Hierarchical Level

Position: 0110

Loop: HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule F, Accrued Expenses (Unpaid Bills).

### **Data Element Summary**

	Ref.	Data	N	,	A 44-	94
M	<u>Des.</u> HL01	Element 628	<u>Name</u> Hierarchical ID Nu	ımher	_	<u>ibutes</u> AN 1/12
141	IILUI	020		signed by the sender to identify a particu		
			in a hierarchical stru		iui uu	au segment
				nt to provide a unique number that can		
			_	nded that the first HL loop be identified	-	
Not Used	HL02	734	in this data element Hierarchical Paren	and that each successive HL loop be in	creme O	ented by 1. AN 1/12
Not Osea	111102	134			_	
				er of the next higher hierarchical data seg ibed is subordinate to	ment	that the data
M	HL03	735	Hierarchical Level	Code	M	ID 1/2
			Code defining the ch	naracteristic of a level in a hierarchical st	ructur	e
			1. This data elemen	nt is used to identify the type of data that	is be	ing
			transmitted within t	he current HL loop.		
			2. Each of the lister	d codes MUST be used only one time.		
			EB	Filer		
				1. Use this code to indicate the submit	ter of	the data
				on form 460, Schedule F.		
				2. When this sade is used the 2/NM1/	0700	a a a rest
				2. When this code is used, the 2/NM1/MUST be used to identify the filer and		segmeni
				2/AWD/2700 loop also MUST be used		ntify the
				summary amounts for form 460, Sched		• •
			EH	Payables		
				1. Use this code to indicate Accrued E.	xpens	ses.

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2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all the creditors and the 2/AWD/2700 loop also MUST be used to identify applicable amounts.

RP Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

## Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment: Position:** 0210 Loop: N9 Optional (Must Use)

Level: Detail

Optional (Must Use) Usage:

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

At least one of N902 or N903 is required. **Syntax Notes:** 

If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required. 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** When HL03 is code RP, use of this segment is REQUIRED to identify the number of

the form that is being submitted.

	Ref.	Data	Data Element Summary		
	Des.	Element	Name Attributes		
M	N901	128	Reference Identification Qualifier	M	ID 2/3
	11,202	120	Code qualifying the Reference Identification		12 2,0
			Use this data element to cite a code that qualifies the data	carrie	d in N902.
			FQ Form Number		
			Use this code to indicate the number	of the	form being
	27004		transmitted.		177.1170
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
			Use this data element to cite the form number, e.g., F4601	7.	
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, HHMMSSD, or HHMMSSDD, where H = hours (00-23), N 59), S = integer seconds (00-59) and DD = decimal second are expressed as follows: D = tenths (0-9) and DD = hundre <b>Time Code</b>	M = mis; deci	nutes (00- mal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + o in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the Reference Identifier	r - and ne; sin	an indication ace + is a
			To identify one or more reference numbers or identification	numb	ers as
Not Used	C04001	128	specified by the Reference Qualifier  Reference Identification Qualifier	M	ID 2/3
Not Oseu	C04001	120	Code qualifying the Reference Identification	171	10 2/3
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction	on Set	
Not Used	C04003	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
1100 0000	201002	120	Code qualifying the Reference Identification	<b>4</b>	10
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction		,

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04006	127	Reference Identification	X	AN 1/50	
			Reference information as defined for a particular Transaction Set or specified by the Reference Identification Qualifier			

MTX Text **Segment:** 

**Position:** 0610

> Loop: N9 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

**Purpose:** To specify textual data

If MTX01 is present, then MTX02 is required. **Syntax Notes:** 

- If MTX03 is present, then MTX02 is required.
- If MTX05 is present, then MTX04 is required. 3

**Semantic Notes: Comments:**  1 MTX05 is the number of lines to advance before printing.

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the receive	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: NM1 Individual or Organizational Name

Position: 0710

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

**Purpose:** To supply the full name of an individual or organizational entity **Syntax Notes:** 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

Notes:

1 NM110 and NM111 further define the type of entity in NM101.

1. When HL03 cites code EB, use this loop to identify the filer of the form 460F. There can only be 1 iteration of this segment when HL03 cites code EB.

2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.

3. When HL03 is code EH, use this loop as many times as necessary to identify all the creditors.

### **Data Element Summary**

Ref. Data

Des. Element Name

M NM101 98 Entity Identifier Code

Attributes

M ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

FN

Filer Name

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide the summary amounts in the 2/AWD/2700 loop and may be discarded by the receiving application.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable summary amounts.

XA

1

2

Creditor

- 1. When HL03 in the 2/HL/0100 segment is code EH of this code is REQUIRED to indicate a creditor.
- 2. When this code is used, NM102 may cite code 1, 2 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. When this code is used and NM102 is code 6, NM108/09 MUST be used if a state assigned identification number has been received.

M NM102 1065 Entity Type Qualifier

M ID 1/1

Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.

Person

Use this code to indicate an individual.

Non-Person Entity

Use this code to indicate an entity other than	n an
individual or a committee.	

X ID 2/2

O ID 2/3

6 Organization

			6 Organization			
			Use this code to indicate a con	mmittee.		
Must Use	NM103	1035	Name Last or Organization Name	O AN 1/60		
			Individual last name or organizational name			
			1. Use of this data element is REQUIRED to prove person, or the name of an organization.	ide the last name of a		
			2. When NM102 is code 1 and the person has a m 2/N2/0800 segment to provide the moniker.	oniker (nickname), use the		
			3. When NM102 is code 2 or 6 and the name of the than 60 characters, use the 2/N2/0800 segment to name organization's name.			
	NM104	1036	Name First	O AN 1/35		
			Individual first name			
	NM105	1037	Name Middle	O AN 1/25		
			Individual middle name or initial			
	NM106	1038	Name Prefix	O AN 1/10		
			Prefix to individual name			
	NM107	1039	Name Suffix	O AN 1/10		
			Suffix to individual name			
	NM108	66	Identification Code Qualifier	X ID 1/2		
			Code designating the system/method of code structure used for Identification Code (67)			
			When NM101 is code XA and NM102 is code 6, us qualify the identification number in NM109.	se this data element to		
			75 State or Province Assigned Nu			
			When NM101 is code XA and this code to indicate the state identification number, if one	assigned committee		
	NM109	67	Identification Code	X AN 2/80		
			Code identifying a party or other code			
			Use this data element to provide the state assigned number, if one has been assigned.	committee identification		

**Entity Relationship Code** 

**Entity Identifier Code** 

individual

Code describing entity relationship

Code identifying an organizational entity, a physical location, property or an

Not Used

**Not Used** 

NM110

NM111

**706** 

98

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Segment: N2 Additional Name Information

**Position:** 0810

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 2

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

Notes:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

	Ref.	Data		A 44 * 93 - 4
	Des.	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the monnumeric 1, 2, or 3.	niker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0910

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

	Ref. Des.	Data Element	Name	Attributes
M	N301	166	Address Information Address information	M AN 1/55
	N302	166	Address Information	O AN 1/55
			Address information	

Segment: N4 Geographic Location

**Position:** 1010

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.
2 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

Comments: 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	Duta Exement Summary				
	Des.	Element	Name	Attı	ttributes		
	N401	19	City Name	O	AN 2/30		
			Free-form text for city name				
	N402	156	State or Province Code	$\mathbf{X}$	ID 2/2		
			Code (Standard State/Province) as defined by appropriate go	vernr	nent agency		
Must Use	N403	116	Postal Code	O	ID 3/15		
			Code defining international postal zone code excluding punctuation and blanks (zip code for United States)				
			This data element MUST be used to provide a ZIP code.				
Not Used	N404	26	Country Code	X	ID 2/3		
			Code identifying the country				
Not Used	N405	309	Location Qualifier	$\mathbf{X}$	ID 1/2		
			Code identifying type of location				
Not Used	N406	310	Location Identifier	O	AN 1/30		
			Code which identifies a specific location				
Not Used	N407	1715	<b>Country Subdivision Code</b>	X	ID 1/3		
			Code identifying the country subdivision				

AWD Amount with Description **Segment:** 

**Position:** 2710

> **AWD** Loop: Optional

Level: Detail Usage: Optional Max Use:

**Purpose:** To specify a code and/or description with an associated amount

Only one of AWD02 or AWD03 may be present. **Syntax Notes:** 

At least one of AWD02 or AWD03 is required.

**Semantic Notes: Comments:**  1 AWD03 is used when information source provides non-numeric values.

Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code XA, use this segment to provide amounts applicable to an accrued expense. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 - 03) to qualify the following amounts:

Outstanding balance beginning of this Period:

AWD01-01 code J9

Amount Incurred this Period:

AWD01-01 code EBH (Amount should be negative if it was forgiven, reduced or paid by a third party)

Amount Paid this Period:

AWD01-01 code EBZ

Outstanding Balance at the Close of this Period:

AWD01-01 code J8

Schedule F Summary - Line 1:

AWD01-01 code EBH AWD01-03 code 8

Schedule F Summary - Line 2:

AWD01-01 code EBZ AWD01-03 code 8

Schedule F Summary - Line 3:

AWD01-01 code CH

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>
M	AWD01	C007	Amount Qualifying	g Description	M	
			To qualify an amou	nt		
			Use this composite amount cited in AV	data element to provide multiple qualifie VD02.	s tha	t identify the
M	C00701	522	<b>Amount Qualifier</b>	Code	M	ID 1/3
			Code to qualify amo			
			CH	Change Amount		

				Use this code to indicate a net change	amot	ınt.
			EBH	Incurred Amount		
			EBZ	Payment		
			Ј8	Ending Balance		
			<b>J</b> 9	Beginning Balance		
Not Used	C00702	522	Amount Qua	alifier Code	O	ID 1/3
			Code to qual	ify amount		
	C00703	1638	Value Detail	l Code	O	ID 1/1
			Code identify	ying extent of an amount or quantity		
			8	Total		
Not Used	C00704	935	Measuremen	nt Significance Code	O	ID 2/2
			Code used to	benchmark, qualify or further define a measure	ement	value
Not Used	C00705	344	Unit of Time	e Period or Interval	O	ID 2/2
			Code indicat	ing the time period or interval		
Not Used	C00706	1637	Net/Gross C	Net/Gross Code		ID 1/1
			Code indicat	ing whether monetary values are net or gross		
Not Used	C00707	935	Measuremen	nt Significance Code	O	ID 2/2
			Code used to	benchmark, qualify or further define a measure	ement	value
Not Used	C00708	352	Description		O	AN 1/80
			A free-form	description to clarify the related data elements a	and th	eir content
Not Used	C00709	1271	<b>Industry Co</b>	de	O	AN 1/30
			Code indicat	ing a code from a specific industry code list		
	AWD02	<b>782</b>	Monetary A	mount	X	R 1/18
			Monetary am	nount		
			_	nent to identify the monetary amount associat		h the
Not Used	AWD03	61		les cited in the AWD01 composite data elemen	t.	AN 1/30
not used	AWDUS	01	Free-Form In		Λ	AN 1/30
Not Hand	AWD04	100			0	ID 3/3
Not Used	A W DU4	100	Code (Standa		•	
			•	ard ISO) for country in whose currency the char	rges a	re specified

Segment: DTM Date/Time Reference

**Position:** 2810

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: When LQ02 in the 2/LQ/3300 segment cites code TRC or TRS, use this segment to

identify the date or dates of travel.

M	Ref. <u>Des.</u> DTM01	Data Element 374	Name Date/Time Qualifie	er	Attr M	ributes ID 3/3
			Code specifying type	e of date or time, or both date and time		
			193	Period Start		
				Use this code to indicate the travel start	t date	?•
			194	Period End		
				Use this code to indicate the travel end	date.	
			AB5	Travel		
				Use this code to indicate a discrete trav	el da	te.
	DTM02	373	Date		X	<b>DT 8/8</b>
			Date expressed as C	CYYMMDD		
			Use this data elemen	nt to identify the date of the contribution	ļ <b>.</b>	
Not Used	DTM03	337	Time		X	TM 4/8
			HHMMSSD, or HH 59), S = integer second	4-hour clock time as follows: HHMM, or MMSSDD, where H = hours (00-23), M ands (00-59) and DD = decimal seconds; ows: D = tenths (0-9) and DD = hundred	= mii decin	nutes (00- nal seconds
Not Used	DTM04	623	Time Code		O	ID 2/2
			Organization standarin hours in relation t	e time. In accordance with International S rd 8601, time can be specified by a + or - o Universal Time Coordinate (UTC) time + and - are substituted by P and M in the	and e; sin	an indication ce + is a
Not Used	DTM05	1250	Date Time Period I	Format Qualifier	$\mathbf{X}$	ID 2/3
			Code indicating the	date format, time format, or date and time	e forr	nat
Not Used	DTM06	1251	<b>Date Time Period</b>		X	AN 1/35
			Expression of a date	, a time, or range of dates, times or dates	and t	imes

Segment: N9 Reference Identification

**Position:** 3110

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

### **Comments:**

**Notes:** 

Dof

Data

1. When NM101 is code XA, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a creditor. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the creditor. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

						4.
M	<u>Des.</u> N901	Element 128	Name Reference Identifi	ication Ovalifion		ributes ID 2/3
IVI	11901	120		_	IVI	ID 2/3
				e Reference Identification		
			TN	Transaction Reference Number		
				Use this code to indicate a monetary tr		
				identifier applicable to an amount. The transaction identifier MUST be the sai		
				amounts within the same monetary tra	-	
		associated with an entity. This same monetary				
				transaction identifier MUST be used in		
				where the monetary transaction is prov	vided	and in
	N902	127	Reference Identifi	amendments.	X	AN 1/50
	11702	147		tion as defined for a particular Transaction		
				eference Identification Qualifier	ı sci (	n as
Not Used	N903	369	Free-form Descrip		X	AN 1/45
			Free-form descript	ive text		
Not Used	N904	373	Date		O	<b>DT 8/8</b>
			Date expressed as	CCYYMMDD		
Not Used	N905	337	Time		$\mathbf{X}$	TM 4/8
			Time expressed in	24-hour clock time as follows: HHMM, o	r HHI	MMSS, or
				HMMSSDD, where $H = hours$ (00-23), $M$		
				conds (00-59) and $DD = decimal seconds;$		
Not Used	N906	623	are expressed as fo	llows: $D = tenths (0-9)$ and $DD = hundred$	iths (U O	10-99) ID 2/2
Not Osea	11900	023		as time. In accordance with International C	_	
				ne time. In accordance with International S ard 8601, time can be specified by $a + or$		
				to Universal Time Coordinate (UTC) tim		
				, + and - are substituted by P and M in the		
Not Used	N907	C040	Reference Identifi	ier	O	
			•	more reference numbers or identification i	numb	ers as
			specified by the Re	eference Qualifier		

Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transacti specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transacti specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transacti specified by the Reference Identification Qualifier	on Set	or as

Segment: LQ Industry Code

Position: 3310

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

Semantic Notes: Comments:

**Notes:** 

- 1. Use this segment to identify the type of payment. More than one payment code may be used to describe the type of payment. If no code adequately describes a payment, use the 2/MTX/3500 segment to provide a text description of the payment.
- 2. The type of payment is identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 3. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

### **Data Element Summary**

Ref. <u>Des.</u> LQ01	Data Element 1270	Name Code List Qualifie Code identifying a	er Code specific industry code list	Attı O	ributes ID 1/3
		Use this data eleme in LQ02.	ent to identify the code list that contains	the co	ode specified
		EAF	California Campaign Disclosure Report	t Code	es
LQ02	1271	<b>Industry Code</b>		X	AN 1/30

Code indicating a code from a specific industry code list

- 1. Use this data element to cite a code from Section 07 of the California Campaign Disclosure Code List.
- 2. When this data element cites code CTB and the contribution was an In-Kind Contribution, the candidate or committee on whose behalf the payment was made MUST be identified in the 2/N1/3400 segment; and a description of the goods or service or payment MUST be provided in the 2/MTX/3500 segment.
- 3. When this data element cites code IND, another iteration of this segment is necessary, citing a code from section 04 of the California Election Campaign Code List, to identify if the independent expenditure was to support or oppose a candidate or ballot measure. Additionally, identify the candidate or ballot measure supported or opposed in the 2/NI/3400 segment and provide a description of the payment in the 2/MTX/3500 segment.
- 4. When this data element cites code TRC or TRS, a full description of the payment MUST be provided in the 2/MTX/3500 segment, the destination of the trip MUST be specified in the 2/N1/3400 segment, and a date or dates of the travel MUST be provided in the 2/DTM/2800 segment.

**Segment:** 

**Position:** 3410

> Loop: **AWD** Optional

Level: Detail Usage: Optional Max Use: >1

**Purpose:** To identify a party by type of organization, name, and code

At least one of N102 or N103 is required. **Syntax Notes:** 

If either N103 or N104 is present, then the other is required.

## **Semantic Notes: Comments:**

1 This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.

N105 and N106 further define the type of entity in N101.

1. When LQ02 in the 2/LQ/3300 segment cites code CTB or IND, use of this segment **Notes:** 

is REQUIRED to identify the candidate, committee or measure on whose behalf the

payment was made.

2. When LO02 in the 2/LO/3300 segment cites code TRC or TRS, use of this segment is REQUIRED to identify the destination of the travel.

			Data Elem	ent Summary		
	Ref.	Data	N			••
M	<u>Des.</u> N101	Element 98	Name Entity Identifier C	'ade	Attr M	ributes ID 2/3
IVI	11101	70	•	organizational entity, a physical location		
			individual	organizational entity, a physical location	, prop	ocity of all
			Use this data eleme	ent to qualify the entity identified in N102	2.	
			EAF	Committee		
			EBQ	Lodging Location		
				When LQ02 in the 2/LQ/3300 segment or TRS, use this code to indicate the detrip.		
			VB	Candidate		
			ZZ	Mutually Defined		
				Use this code to indicate a ballot measu	ure.	
	N102	93	Name		X	AN 1/60
			Free-form name			
			2. If the entity is an	ement to provide the full name of the enting individual, use the following format:	-	, last name,
			3. If the entity is an If the full name is a abbreviations, e.g.,	initial, suffix (if applicable). n organization or a ballot measure, provi greater than 60 characters, use understa COM. for committee.	ndabi	le
	N103	66	Identification Cod	_	X	ID 1/2
			Code (67)	ne system/method of code structure used f	or Ide	entification
			75	State or Province Assigned Number		
				When N101 is code EAF, use this code state assigned committee identification has been assigned.		
	N104	67	<b>Identification Cod</b>		X	AN 2/80
			Code identifying a	party or other code		
			Use this data eleme	ent to provide the state assigned committee	ee ide	ntification

number, if one has been assigned.

Not Used	N105	706	Entity Relationship Code	O	ID 2/2
			Code describing entity relationship		
Not Used	N106	98	<b>Entity Identifier Code</b>	0	ID 2/3
			Code identifying an organizational entity, a physical location individual	, pro	perty or an

MTX Text **Segment:** 

**Position:** 3510

> **AWD** Loop: Optional

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

To specify textual data

If MTX01 is present, then MTX02 is required. **Syntax Notes:** 

- If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes: Comments:** 

- 1 MTX05 is the number of lines to advance before printing.
- If MTX04 is "AA Advance the specific number of lines before print", then MTX05 is required.

**Notes:** 

- 1. When LQ02 in the 2/LQ/3300 segment cites code IND, TRC, or TRS, or if no code is cited in LQ02, this segment may be used to provide a description of the accrued expense.
- 2. When LQ02 in the 2/LQ/3300 segment cites code CTB and the contribution is an In-Kind Contribution, use of this segment may be used to provide a description of the goods or services purchased.
- 3. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.
- 4. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
- 5. This implementation convention limits the use of this segment to one time with each of the listed codes in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ributes</u>
	MTX01	363	Note Reference C	ode	O	ID 3/3
			Code identifying the ADD	ne functional area or purpose for which the Additional Information	note	applies
			EAY	Description of Debt		
				Use this code to indicate a description of expense.	of the	accrued
			ECA	In-Kind Contribution		
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit large v	olumes of message text		
			<ul><li>data element.</li><li>2. When MTX01 i</li></ul>	is code ADD, the full 4096 characters may is code EAY or ECA, this data element is a ling this limit runs the risk of the Secreta ig the data.	limite	ed to 90
Not Used	MTX03	1551	Message Text		0	AN 1/4096
			To transmit large v	olumes of message text		
Not Used	MTX04	934	Printer Carriage	Control Code	X	ID 2/2
			A field to be used f	for the control of the line feed of the receiv	ing p	rinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic number			
Not Used	MTX06	819	Language Code		0	ID 2/3
			Code designating t	he language used in text, from a standard c	ode l	ist

maintained by the International Standards Organization (ISO 639)

Segment: HL Hierarchical Level

**Position:** 0111

Loop: HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule G, Payments Made by an Agent or Independent Contractor (on Behalf of This Committee).

# **Data Element Summary**

			Data Elem	ient Summary		
	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ibutes</u>
M	HL01	628	Hierarchical ID N	umber	M	AN 1/12
			A unique number a	ssigned by the sender to identify a particu	lar da	ta segment
			in a hierarchical str	• •		C
			Use this data eleme	ent to provide a unique number that can	identi	ify each HL
			loop. It is recomm	ended that the first HL loop be identified	l by th	e number 1
			in this data elemen	t and that each successive HL loop be in	cremo	ented by 1.
Not Used	HL02	734	Hierarchical Pare	nt ID Number	O	AN 1/12
				per of the next higher hierarchical data seg cribed is subordinate to	gment	that the data
M	HL03	735	Hierarchical Leve		M	ID 1/2
				characteristic of a level in a hierarchical st		-
				nt is used to identify the type of data that	t is be	ıng
			ıransmuiea wiinin	the current HL loop.		
			2. Each of the liste	ed codes MUST be used only one time.		
			EF	Expenditures		
				1. Use this code to indicate Payments.		
				2 W/	/0 <b>7</b> 00	
				<ol><li>When this code is used, the 2/NM1/ MUST be used to identify the agent an</li></ol>		0
				and the 2/AWD/2700 loop also MUST		
				identify applicable amounts.	ve us	eu io
			RP	Report		
			_	1. Use this code to indicate that the da	ita wh	ich
				follows in this HL loop is information		
				jouons in mis IID wop is injoi munon	$\alpha ppiic$	able to the

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# form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment: Position:** 0211 Loop: N9 Optional (Must Use) Level: Detail Optional (Must Use) Usage: Max Use: **Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier At least one of N902 or N903 is required. **Syntax Notes:** If N906 is present, then N905 is required. 3 If either C04003 or C04004 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

4

Notes: When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted.

If either C04005 or C04006 is present, then the other is required.

	Ref.	Data	,		
	Des.	<b>Element</b>	<u>Name</u>		<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			Use this data element to cite a code that qualifies the data of	arrie	d in N902.
			FQ Form Number		
			Use this code to indicate the number of transmitted.	f the	form being
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		or as
			Use this data element to cite the form number, e.g., F460G		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	[ = mi ; decii	inutes (00- mal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International 3 Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication nce + is a
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	n Set	or as

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	n Set	or as
			specified by the Reference Identification Qualifier		

Segment: MTX Text

**Position:** 0611

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes: Comments: 1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which th	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

Segment: NM1 Individual or Organizational Name

**Position:** 0711

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

Semantic Notes: 1

Notes:

1 NM102 qualifies NM103.

**Comments:** 

1 NM110 and NM111 further define the type of entity in NM101.

1. When HL03 is code EF, use this loop as many times as necessary to identify the agent and all payees.

4. Each identified Agent (NM101 is code AG) and associated payee (NM101 is code PE) MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the cross reference number in N902. The cross reference number of the agent and associated payee MUST be the same.

### **Data Element Summary**

Ref. Data

Des. Element Name

M NM101 98 Entity Identifier Code

Attributes

M ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

AG

Agent/Agency

- 1. When HL03 is code EF, use of this code is REQUIRED to indicate the agent or independent contractor who made payments of behalf of the committee.
- 2. When this code is used, NM102 may cite code 1, 2 or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number and the 2/AWD/2700 loop MUST be used to identify applicable total amounts.
- 3. When this code is used and NM102 is code 6, NM108/09 MUST be used if a state assigned identification number has been received.

PE Payee

- 1. Use of this code is REQUIRED to indicate the payee.
- 2. When this code is used, NM102 may cite code 1, 2 or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. When this code is used and NM102 is code 6, NM108/09 MUST be used if a state assigned identification number has been received.

M NM102 1065 Entity Type Qualifier

1

2

M ID 1/1

Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.

Person

Use this code to indicate an individual.

Non-Person Entity

Use this code to indicate an entity other than an individual or a committee.

Organization

6

individual

			Use this code to indicate a committee.		
Must Use	NM103	1035	Name Last or Organization Name	O	AN 1/60
			Individual last name or organizational name		
			1. Use of this data element is REQUIRED to provide the r	iame o	of an entity.
			<ul><li>2. When NM102 is code 1 and the person has a moniker (2/N2/0800 segment to provide the moniker.</li><li>3. When NM102 is code 2 or 6 and the name of the entity</li></ul>		,,
			greater than 60 characters, use the 2/N2/0800 segment to p balance of the name.		
	NM104	1036	Name First	O	AN 1/35
			Individual first name		
	NM105	1037	Name Middle	O	AN 1/25
			Individual middle name or initial		
	NM106	1038	Name Prefix	O	AN 1/10
			Prefix to individual name		
	NM107	1039	Name Suffix	O	AN 1/10
			Suffix to individual name		
	NM108	66	Identification Code Qualifier	X	ID 1/2
			Code designating the system/method of code structure used Code (67)	for Id	entification
			When NM101 is code AG or PE and NM102 is code 6, use	this c	lata element
			to qualify the identification number in NM109.		
			75 State or Province Assigned Number		
			When NM101 is code AG or PE and I use this code to indicate the state assignments		
			identification number, if one has been		
	NM109	67	Identification Code	X	AN 2/80
			Code identifying a party or other code		
			Use this data element to provide the state assigned commit number, if one has been assigned.	tee ide	entification
Not Used	NM110	706	Entity Relationship Code	X	ID 2/2
			Code describing entity relationship		
Not Used	NM111	98	<b>Entity Identifier Code</b>	O	ID 2/3
			Code identifying an organizational entity, a physical locatio	n, pro	perty or an

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Segment: N2 Additional Name Information

**Position:** 0811

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 2

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

**Notes:** 

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

M	Ref. <u>Des.</u> N201	Data Element 93	Name Name Free-form name	Attributes M AN 1/60		
			<ol> <li>When providing a moniker, insert a space after the monumeric 1, 2, or 3.</li> <li>= moniker is before the first name</li> <li>= moniker is between the first and middle name</li> </ol>	oniker and cite the		
	N202	93	3 = moniker is between the middle and last name Name	O AN 1/60		
	11202	73	Free-form name	O AN 1/00		

Segment: N3 Address Information

**Position:** 0911

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

	Ref. Des.	Data <u>Element</u>	Name	<u>Attributes</u>		
M	N301	166	Address Information Address information	M AN 1/55		
	N302	166	<b>Address Information</b>	O AN 1/55		
			Address information			

Segment: N4 Geographic Location

**Position:** 1011

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	Duta Element Summary		
	Des.	Element	Name	Attributes	
	N401	19	City Name	0	AN 2/30
			Free-form text for city name		
	N402	156	State or Province Code	X	ID 2/2
			Code (Standard State/Province) as defined by appropriate go	vernr	ment agency
Must Use	N403	116	Postal Code	O	ID 3/15
			Code defining international postal zone code excluding punc (zip code for United States)	tuatio	on and blanks
			This data element MUST be used to provide a ZIP code.		
Not Used	N404	26	Country Code	X	ID 2/3
			Code identifying the country		
Not Used	N405	309	Location Qualifier	X	ID 1/2
			Code identifying type of location		
Not Used	N406	310	Location Identifier	O	AN 1/30
			Code which identifies a specific location		
Not Used	N407	1715	<b>Country Subdivision Code</b>	X	ID 1/3
			Code identifying the country subdivision		

Segment: N9 Reference Identification

Position: 1211

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When NM101 in the 2/NM1/0700 segment is code AG or PE, use of this segment is REQUIRED to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.

			Data	Element Summary			
	Ref.	Data					
	Des.	<b>Element</b>	Name			<u>ributes</u>	
M	N901	128		entification Qualifier	M	ID 2/3	
				ng the Reference Identification			
			6O	Cross Reference Number			
				Use this code to indicate a cross refersion used to associate entities identified a 2/NM1/0700 segment.		umber that	
	N902	127	Reference Ide	entification	X	AN 1/50	
				ormation as defined for a particular Transaction Reference Identification Qualifier	n Set	or as	
Not Used	N903	369	Free-form De	escription	X	AN 1/45	
			Free-form des	criptive text			
Not Used	N904	373	Date		O	<b>DT 8/8</b>	
			Date expresse	d as CCYYMMDD			
Not Used	N905	337	Time		$\mathbf{X}$	TM 4/8	
Not Used	N906	623	HHMMSSD, 6 59), S = integer	and in 24-hour clock time as follows: HHMM, of HHMMSSDD, where $H = hours$ (00-23), $N = hours$ (00-59) and $N = hours$ (00-100) and $N = hours$ (00-100) and $N = hours$ (0-9) and $N = hours$ (0-9) and $N = hours$	I = mi ; decir	nutes (00- nal seconds	
Not Used	N907	C040	Organization s in hours in rela	ng the time. In accordance with International standard 8601, time can be specified by a + or ation to Universal Time Coordinate (UTC) tinacter, + and - are substituted by P and M in the entifier	- and ne; sin	an indication ce + is a	
				e or more reference numbers or identification ne Reference Qualifier	numb	ers as	
Not Used	C04001	128		entification Qualifier	M	ID 2/3	
				ng the Reference Identification			
Not Used	C04002	127	Reference Ide	_	M	AN 1/50	
			specified by th	ormation as defined for a particular Transaction Reference Identification Qualifier			
Not Used	C04003	128		entification Qualifier	X	ID 2/3	
			Code qualifying	ng the Reference Identification			
Not Used	C04004	127	Reference Ide	entification	X	AN 1/50	
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Not Used	C04005	128	Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier  Reference Identification Qualifier	ransaction Set or as			
1,00 0,000	001000	120	Code qualifying the Reference Identification		22 2,0		
Not Used	C04006	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier				

AWD Amount with Description **Segment:** 

**Position:** 2711

> **AWD** Loop: Optional

Level: Detail Usage: Optional Max Use:

**Purpose:** 

To specify a code and/or description with an associated amount

Only one of AWD02 or AWD03 may be present. **Syntax Notes:** 

At least one of AWD02 or AWD03 is required.

**Semantic Notes: Comments:** 

1 AWD03 is used when information source provides non-numeric values.

**Notes:** 

- 1. When NM101 in the 2/NM1/0700 segment is code PE, use this segment to provide amounts applicable to a payment. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 in the 2/NM1/0700 segment is code AG, use this segment to provide the total amount for the form 460, Schedule G.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 - 03) to qualify the following amounts:

Amount Paid:

AWD01-01 code EBZ

Total Amount Paid: AWD01-01 code EBZ AWD01-03 code 8

	Ref.	Data	·			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>	
M	AWD01	C007	Amount Qualifying Description	$\mathbf{M}$		
			To qualify an amount			
			Use this composite data element to provide multiple qualifiamount cited in AWD02.	ies tha	it identify the	
M	C00701	522	Amount Qualifier Code	M	ID 1/3	
			Code to qualify amount			
			EBZ Payment			
Not Used	C00702	522	Amount Qualifier Code	O	ID 1/3	
			Code to qualify amount			
	C00703	1638	Value Detail Code	O	<b>ID 1/1</b>	
			Code identifying extent of an amount or quantity			
			8 Total			
Not Used	C00704	935	Measurement Significance Code	O	ID 2/2	
			Code used to benchmark, qualify or further define a measur	ement	value	
Not Used	C00705	344	Unit of Time Period or Interval	O	ID 2/2	
			Code indicating the time period or interval			
Not Used	C00706	1637	Net/Gross Code	O	<b>ID</b> 1/1	
			Code indicating whether monetary values are net or gross			
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2	
			Code used to benchmark, qualify or further define a measur	ement	value	
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Not Used	C00708	352	Description	0	AN 1/80		
			A free-form description to clarify the related data elements an	d the	eir content		
Not Used	C00709	1271	Industry Code	0	AN 1/30		
			Code indicating a code from a specific industry code list				
	AWD02	<b>782</b>	Monetary Amount	X	R 1/18		
			Monetary amount				
			Use this segment to identify the monetary amount associated qualifier codes cited in the AWD01 composite data element.		ith the		
Not Used	AWD03	61	Free-Form Message	X	AN 1/30		
			Free-form information				
Not Used	AWD04	100	Currency Code	0	ID 3/3		
			Code (Standard ISO) for country in whose currency the charg	es a	re specified		

Segment: N9 Reference Identification

**Position:** 3111

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

### **Comments:**

**Notes:** 

1. When NM101 is code PE, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a payee. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the payee. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

	Ref.	Data	·		
	Des.	<b>Element</b>	<u>Name</u>		<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			TN Transaction Reference Number		
			Use this code to indicate a monetary to identifier applicable to an amount. The transaction identifier MUST be the seamounts within the same monetary transaction identifier MUST be used where the monetary transaction is preamentments.	his mod ume for ansact moneto in all s	netary r all tion ary schedules
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, HHMMSSD, or HHMMSSDD, where H = hours (00-23), N 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred	M = mis; decir	nutes (00- nal seconds
Not Used	N906	623	Time Code	O	ID 2/2
Not Used	N907	C040	Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) tir restricted character, + and - are substituted by P and M in the Reference Identifier	· - and ne; sin	an indication ce + is a
1,30 0504	21207	20.0	To identify one or more reference numbers or identification specified by the Reference Qualifier	_	ers as

Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ion Set	or as

Segment: LQ Industry Code

**Position:** 3311

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

Purpose: Code to transmit standard industry codes

Syntax Notes: 1 If LQ01 is present, then LQ02 is required.

Semantic Notes: Comments:

Notes:

Pof

Data

1. Use this segment to identify the type of payment. More than one payment code may be used to describe the type of payment. If no code adequately describes a payment, use the 2/MTX/3500 segment to provide a text description of the payment.

- 2. The type of payment is identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 3. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

#### **Data Element Summary**

Des.	Element	Name		Attı	ributes
$\overline{\text{LQ01}}$	1270	Code List Qualifie	er Code	0	ID 1/3
		Code identifying a	specific industry code list		
		Use this data element LQ02.	ent to identify the code list that contains	the co	ode specified
		EAF	California Campaign Disclosure Repor	t Code	es
LQ02	1271	<b>Industry Code</b>		X	AN 1/30
		O 1 ' 1' '	1 6 '6' ' 1 4 1 1' 4		

Code indicating a code from a specific industry code list

- 1. Use this data element to cite a code from Section 07 of the California Campaign Disclosure Code List.
- 2. When this data element cites code CTB and the contribution was an In-Kind Contribution, the candidate or committee on whose behalf the payment was made MUST be identified in the 2/N1/3400 segment; and a description of the goods or service or payment MUST be provided in the 2/MTX/3500 segment.
- 3. When this data element cites code IND, another iteration of this segment is necessary, citing a code from section 04 of the California Election Campaign Code List, to identify if the independent expenditure was to support or oppose a candidate or ballot measure. Additionally, identify the candidate or ballot measure supported or opposed in the 2/NI/3400 segment and provide a description of the payment in the 2/MTX/3500 segment.
- 4. When this data element cites code TRC or TRS, a full description of the payment MUST be provided in the 2/MTX/3500 segment, the destination of the trip MUST be specified in the 2/N1/3400 segment, and a date or dates of the travel MUST be provided in the 2/DTM/2800 segment.

Segment: N1 Name

**Position:** 3411

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To identify a party by type of organization, name, and code

**Syntax Notes:** 1 At least one of N102 or N103 is required.

If either N103 or N104 is present, then the other is required.

# **Semantic Notes:** Comments:

1 This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.

N105 and N106 further define the type of entity in N101.

Notes: 1. When LQ02 in the 2/LQ/3300 segment cites code CTB or IND, use of this segment

is REQUIRED to identify the candidate, committee or measure on whose behalf the

payment was made.

2. When LQ02 in the 2/LQ/3300 segment cites code TRC or TRS, use of this segment is REQUIRED to identify the destination of the travel.

			Data Eleme	ent Summary	
M	Ref. <u>Des.</u> N101	Data Element 98	<u>Name</u> Entity Identifier C	ode	Attributes M ID 2/3
IVI	NIUI	76	•	organizational entity, a physical location	
			Use this data eleme	nt to qualify the entity identified in N102	2.
			EAF	Committee	
			EBQ	Lodging Location	
				When LQ02 in the 2/LQ/3300 segment or TRS, use this code to indicate the detrip.	
			VB	Candidate	
			ZZ	Mutually Defined	
				Use this code to indicate a ballot measu	
	N102	93	Name		X AN 1/60
			Free-form name		
			<ol> <li>If the entity is an first name, middle i</li> <li>If the entity is an If the full name is gabbreviations, e.g.,</li> </ol>	ment to provide the full name of the entain individual, use the following format: Initial, suffix (if applicable).  In organization or a ballot measure, proverseter than 60 characters, use understance COM for committee.	Prefix, last name, ide the full name. ndable
	N103	66	Identification Code	_	X ID 1/2
			Code designating th Code (67)	e system/method of code structure used f State or Province Assigned Number	or Identification
				When N101 is code EAF, use this code state assigned committee identification has been assigned.	
	N104	67	<b>Identification Code</b>		X AN 2/80
			Code identifying a p	•	
			Use this data eleme	nt to provide the state assigned committe	ee identification

number, if one has been assigned.

Not Used	N105	706	Entity Relationship Code	O	ID 2/2
			Code describing entity relationship		
Not Used	N106	98	<b>Entity Identifier Code</b>	0	ID 2/3
			Code identifying an organizational entity, a physical location, individual	pro	perty or an

MTX Text **Segment:** 

**Position:** 3511

> **AWD** Loop: Optional

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

To specify textual data

If MTX01 is present, then MTX02 is required. **Syntax Notes:** 

- If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes: Comments:** 

- 1 MTX05 is the number of lines to advance before printing.
- If MTX04 is "AA Advance the specific number of lines before print", then MTX05 is required.

**Notes:** 

Dof

Data

- 1. When LQ02 in the 2/LQ/3300 segment cites code IND, TRC, or TRS, or if no code is cited in LQ02, this segment may be used to provide a description of the payment.
- 2. When LQ02 in the 2/LQ/3300 segment cites code CTB and the contribution is an In-Kind Contribution, use of this segment may be used to provide a description of the goods or services purchased.
- 3. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.
- 4. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
- 5. This implementation convention limits the use of this segment to one time with each of the listed codes in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Att	<u>ributes</u>
	MTX01	363	Note Reference Co	ode	O	ID 3/3
				e functional area or purpose for which the	note	applies
			ADD	Additional Information		
			ECA	In-Kind Contribution		
			PMT	Payment		
				Use this code to indicate a description	of a p	payment.
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit large ve	olumes of message text		
			data element.  2. When MTX01 is characters. Exceed office not receiving	s code ADD, the full 4096 characters ma s code ECA or PMT, this data element is ling this limit runs the risk of the Secreta t the data.	limit try of	ted to 90 State's
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit large ve	olumes of message text		
Not Used	MTX04	934	Printer Carriage (	Control Code	X	ID 2/2
			A field to be used for	or the control of the line feed of the receive	ving p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic number			
Not Used	MTX06	819	Language Code		O	ID 2/3
				ne language used in text, from a standard on ternational Standards Organization (ISO)		

**HL** Hierarchical Level **Segment:** 

**Position:** 0112

> HLLoop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

**Syntax Notes: Semantic Notes: Comments:** 

The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule H, Part 1, Loans Made to Others.

Data Element Summary							
	Ref.	Data					
	Des.	<b>Element</b>	Name	_		<u>ibutes</u>	
M	HL01	628	Hierarchical ID Nu	ımber	M	AN 1/12	
			A unique number as in a hierarchical stru	ssigned by the sender to identify a particul	lar da	ta segment	
				nt to provide a unique number that can i	idont	ify each HI	
				ended that the first HL loop be identified			
			_	t and that each successive HL loop be inc	-		
Not Used	HL02	734	Hierarchical Parer	•	O	AN 1/12	
				er of the next higher hierarchical data seg ribed is subordinate to	ment	that the data	
M	HL03	735	Hierarchical Level	Code	M	ID 1/2	
			Code defining the cl	haracteristic of a level in a hierarchical str	ructur	e	
			1. This data elemen	nt is used to identify the type of data that	is be	ing	
			transmitted within t	the current HL loop.			
			•	d codes MUST be used only one time.			
			EB	Filer			
				1. Use this code to indicate the submitt on the form 460, Schedule H, Part 1.	er of	the data	
				2. When this code is used, the 2/NM1/0 MUST be used to identify the filer and		segment	
				2/AWD/2700 loop also MUST be used to		entify	
				summary amounts for form 460, Sched			
			LN	Loan Data			
				1. Use this code to indicate data applicate received loan.	able i	to a	

258 113CA460 (004021) September 6, 1999 2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all recipients of loans, and the 2/AWD/2700 loop also MUST be used to identify applicable amounts.

RP Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

# Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment: Position:** 0212 Loop: N9 Optional (Must Use) Level: Detail Optional (Must Use) Usage: Max Use: **Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier At least one of N902 or N903 is required. **Syntax Notes:** If N906 is present, then N905 is required. 3 If either C04003 or C04004 is present, then the other is required. 4 If either C04005 or C04006 is present, then the other is required. **Semantic Notes:** 1 N906 reflects the time zone which the time reflects. N907 contains data relating to the value cited in N902. **Comments: Notes:** 

When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Att	<u>ributes</u>
M	N901	128	Reference Id	Reference Identification Qualifier		ID 2/3
			Code qualifyi	ng the Reference Identification		
			Use this data	element to cite a code that qualifies the data	carrie	d in N902.
			FQ	Form Number		
				Use this code to indicate the number of transmitted.	f the	form being
	N902	127	Reference Id	entification	X	AN 1/50
				ormation as defined for a particular Transactio he Reference Identification Qualifier	n Set	or as
			Use this data	element to cite the form number, e.g., F460H	-1.	
Not Used	N903	369	Free-form Do	escription	X	AN 1/45
			Free-form des	scriptive text		
Not Used	N904	373	Date		O	<b>DT 8/8</b>
			Date expresse	d as CCYYMMDD		
Not Used	N905	337	Time		X	TM 4/8
Not Used	N906	623	HHMMSSD, $59$ ), $S = integ$	ed in 24-hour clock time as follows: HHMM, or HHMMSSDD, where H = hours (00-23), More seconds (00-59) and DD = decimal seconds as follows: D = tenths (0-9) and DD = hundred	I = mi ; decir	nutes (00- mal seconds
Not Used	N907	C040	Organization in hours in rel	ing the time. In accordance with International standard 8601, time can be specified by a + or ation to Universal Time Coordinate (UTC) time acter, + and - are substituted by P and M in the continuous	- and ne; sin	an indication ce + is a
Not Oseu	11707	C040		ne or more reference numbers or identification	•	erc ac
				he Reference Qualifier	Hullio	cis as
Not Used	C04001	128		entification Qualifier	M	ID 2/3
			Code qualifyi	ng the Reference Identification		
Not Used	C04002	127	Reference Id	entification	M	AN 1/50
				ormation as defined for a particular Transactio he Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Id	entification Qualifier	X	ID 2/3
			Code qualifyi	ng the Reference Identification		
Not Used	C04004	127	Reference Id	entification	X	AN 1/50
			Reference info	ormation as defined for a particular Transactio	n Set	or as

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	or as

MTX Text **Segment:** 

**Position:** 0612

**Notes:** 

Loop: N9 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 

If MTX01 is present, then MTX02 is required. If MTX03 is present, then MTX02 is required.

If MTX05 is present, then MTX04 is required. 3

**Semantic Notes:** 1 MTX05 is the number of lines to advance before printing.

**Comments:** If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk

of data not being received by the Secretary of State's office.

	Ref.	Data	,		
	Des.	<b>Element</b>	<u>Name</u>	<b>Attributes</b>	
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the received	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

Segment: NM1 Individual or Organizational Name

**Position:** 0712

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

**Purpose:** To supply the full name of an individual or organizational entity **Syntax Notes:** 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

Notes:

1 NM110 and NM111 further define the type of entity in NM101.

1. When HL03 cites code EB, use this loop to identify the filer of the form 460H-1. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.
- 3. When HL03 is code LN, use this loop as many times as necessary to identify all recipients of a loan.

#### **Data Element Summary**

Code identifying an organizational entity, a physical location, property or an individual

BW

Borrower

- 1. Use this code to indicate the recipient of a loan.
- 2. When this code is used, NM102 may cite code 1, 2 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. When this code is used and NM102 is code 6, NM108/09 MUST be used if a state assigned identification number has been received.

FN Filer Name

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide the summary amounts in the 2/AWD/2700 loop and may be discarded by the receiving application.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify summary amounts.

M NM102 1065 Entity Type Qualifier

1

2

M ID 1/1

Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.

Person

Use this code to indicate an individual.

Non-Person Entity

Use this code to	indicate (	an entity	other	than	an
individual or co	mmittee.				

6 Organization

			Use this code to indicate a con	nmittee.	
Must Use	NM103	1035	Name Last or Organization Name	O	AN 1/60
			Individual last name or organizational name		
			1. Use of this data element is REQUIRED to provi	ide the last na	me of a
			person, or the name of an organization.		
			2 When NM102 is eads 1 and the newson has a m	anikan (niaka	ama) waa th
			2. When NM102 is code 1 and the person has a m 2/N2/0800 segment to provide the moniker.	oniker (nickn	ame), use in
			2/112/0000 segment to provide the monacer.		
			3. When NM102 is code 2 or 6 and the name of th	e organization	n is greater
			than 60 characters, use the 2/N2/0800 segment to	provide the ba	lance of the
	NM104	1036	name organization's name. Name First	0	AN 1/35
	11111114	1030	Individual first name	U	AN 1/33
	NM105	1037	Name Middle	0	AN 1/25
	MINITUS	1037	Individual middle name or initial	O	AN 1/23
	NM106	1038	Name Prefix	0	AN 1/10
	11111100	1030	Prefix to individual name	O	AN 1/10
	NM107	1039	Name Suffix	0	AN 1/10
	11111107	1007	Suffix to individual name	O	1111 1/10
	NM108	66	Identification Code Qualifier	X	ID 1/2
			Code designating the system/method of code structu	are used for Id	-
			Code (67)		
			When NM101 is code BW and NM102 is code 6, u	se this data el	ement to
			<ul><li>qualify the identification number in NM109.</li><li>75 State or Province Assigned Number</li></ul>	ımbor	
			When NM101 is code BW and		do 6 uso
			this code to indicate the state of		
			identification number, if one		
	NM109	67	Identification Code	X	AN 2/80
			Code identifying a party or other code		
			Use this data element to provide the state assigned	committee ide	entification
Na4 TI 3	NIN/11A	706	number, if one has been assigned.	₹7	ID 2/2
Not Used	NM110	706	Entity Relationship Code	X	ID 2/2
No4 Hand	NIN/111	00	Code describing entity relationship	^	ID 2/2
Not Used	NM111	98	Entity Identifier Code	О	ID 2/3

individual

Code identifying an organizational entity, a physical location, property or an

Segment: N2 Additional Name Information

**Position:** 0812

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use:

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

Notes:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

	Ref.	Data		A 44 * 73 - 4
	Des.	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the monnumeric 1, 2, or 3.	niker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0912

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

N	Ref. <u>Des.</u>	Data Element	Name	Attributes
M	N301	166	Address Information Address information	M AN 1/55
	N302	166	Address Information	O AN 1/55
			Address information	

Segment: N4 Geographic Location

**Position:** 1012

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref. <u>Des.</u>	Data Element	Name	Attı	ributes
	N401	19	City Name	O	AN 2/30
			Free-form text for city name		
	N402	156	State or Province Code	X	ID 2/2
			Code (Standard State/Province) as defined by appropriate go	vernr	nent agency
	N403	116	Postal Code	O	ID 3/15
			Code defining international postal zone code excluding punc (zip code for United States)	tuatio	on and blanks
			This data element MUST be used to provide a ZIP code.		
Not Used	N404	26	Country Code	X	ID 2/3
			Code identifying the country		
Not Used	N405	309	Location Qualifier	X	ID 1/2
			Code identifying type of location		
Not Used	N406	310	Location Identifier	O	AN 1/30
			Code which identifies a specific location		
Not Used	N407	1715	Country Subdivision Code	X	ID 1/3
			Code identifying the country subdivision		

Segment: AWD Amount with Description

**Position:** 2712

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

Semantic Notes: Comments: 1 AWD03 is used when information source provides non-numeric values.

Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code BW, use this loop to provide loan information applicable to the recipient. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to provide summary amounts applicable to form 460, Schedule H, Part 1 and Part 2.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 09) to qualify the following amounts:

Amount of Loan: AWD01-01 code R0

Schedule H - Part 1 Summary - line 1:

AWD01-01 code R0 AWD01-09 code 05-A1

Schedule H - Part 1 Summary - line 2:

AWD01-01 code R0 AWD01-09 code 05-A2

Schedule H - Part 1 Summary - line 3:

AWD01-01 code R0 AWD01-03 code 8

Schedule H - Part 2 Summary - line 4:

AWD01-01 code LF AWD01-09 code 05-A1

Schedule H - Part 2 Summary - line 5:

AWD01-01 code LF AWD01-09 code 05-A2

Schedule H - Part 2 Summary - line 6:

AWD01-01 code LF AWD01-03 code 8

Schedule H - Part 2 Summary - line 7:

AWD01-01 code CH

#### **Data Element Summary**

Ref. Data

Des. Element Name Attributes

M	AWD01	C007	Amount Qualifying Description		
	G00 <b>=</b> 04		To qualify an amount	3.6	TD 4/2
M	C00701	522	Amount Qualifier Code	M	ID 1/3
			Code to qualify amount		
			CH Change Amount		
			LF Loan Remittance	* *	
				indicate a loan repayment	received.
			R0 Loans to Particip	•	
				indicate loans made.	
Not Used	C00702	522	Amount Qualifier Code	0	ID 1/3
			Code to qualify amount		
	C00703	1638	Value Detail Code	0	<b>ID</b> 1/1
			Code identifying extent of an amount	or quantity	
			8 Total		
Not Used	C00704	935	<b>Measurement Significance Code</b>	0	ID 2/2
			Code used to benchmark, qualify or for	urther define a measuremen	t value
Not Used	C00705	344	<b>Unit of Time Period or Interval</b>	0	ID 2/2
			Code indicating the time period or int	erval	
Not Used	C00706	1637	Net/Gross Code	0	<b>ID</b> 1/1
			Code indicating whether monetary va	lues are net or gross	
Not Used	C00707	935	<b>Measurement Significance Code</b>	0	ID 2/2
			Code used to benchmark, qualify or f	urther define a measuremen	t value
Not Used	C00708	352	Description	0	AN 1/80
			A free-form description to clarify the	related data elements and th	eir content
	C00709	1271	Industry Code	0	AN 1/30
			Code indicating a code from a specifi	c industry code list	
			1. Use this data element to cite a coor Disclosure Code List Section 05.	de from the California Cam	paign
	4 W/D02	792	2. Cite either code 05-A1 or 05-A2 o	only.	R 1/18
	AWD02	782	Monetary Amount	Λ	K 1/18
			Monetary amount		
			Use this segment to identify the mon- qualifier codes cited in the AWD01 c		in the
Not Used	AWD03	61	Free-Form Message	composue aaia eiemeni. X	AN 1/30
1100 0000	111111111111111111111111111111111111111	<b>01</b>	Free-form information	21	111 1/00
Not Used	AWD04	100	Currency Code	0	ID 3/3
Tiol Oscu	11 11 DUT	100	Code (Standard ISO) for country in w	•	
			Code (Standard 190) for country III w	nose currency the charges a	ar specifica

Segment: DTM Date/Time Reference

**Position:** 2812

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes: Comments:

Notes: Use this segment to identify the date of the loan and the due date of the loan.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>
M	DTM01	374	Date/Time Qualific	er	M	ID 3/3
			Code specifying typ	be of date or time, or both date and time		
			547	Date of Loan		
				Use this code to indicate the date loan v	vas n	nade.
			AAG	Due Date		
				Use this code to indicate the due date of	f the	loan.
	DTM02	373	Date		X	DT 8/8
			Date expressed as C	CCYYMMDD		
Not Used	DTM03	337	Time		X	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSDD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal second are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)			
Not Used	DTM04	623	Time Code		O	ID 2/2
<b>.</b>	DEN 40.5	1250	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indica in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follows:			an indication ce + is a s that follow
Not Used	DTM05	1250	Date Time Period	•	X	ID 2/3
			Code indicating the	date format, time format, or date and time	e forr	nat
Not Used	DTM06	1251	<b>Date Time Period</b>		X	AN 1/35
			Expression of a date	e, a time, or range of dates, times or dates	and t	imes

Segment: PCT Percent Amounts

**Position:** 2912

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To qualify percent amounts and supply percent amounts

Syntax Notes: Semantic Notes:

**Comments:** 

Notes: Use this segment to identify the interest rate applicable to the loan.

**Data Element Summary** 

Ref. Data Des. **Element** Name **Attributes** M 1004 **Percent Qualifier** M ID 1/2 PCT01 Code to qualify percent X1 Use this code to indicate the interest rate applicable to the loan. M PCT02 954 **Percent** M R 1/10

Percentage expressed as a decimal

Segment: N9 Reference Identification

**Position:** 3112

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

Syntax Notes: 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
  3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

1. When NM101 is code BW, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a recipient of a loan. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the recipient of a loan. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

	Ref.	Data	·		
	Des.	<b>Element</b>	<u>Name</u>		<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			TN Transaction Reference Number		
			Use this code to indicate a monetary to identifier applicable to an amount. The transaction identifier MUST be the seamounts within the same monetary transaction identifier MUST be used where the monetary transaction is preamentments.	his mod ume for ansact moneto in all s	netary r all tion ary schedules
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, HHMMSSD, or HHMMSSDD, where H = hours (00-23), N 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred	M = mis; decir	nutes (00- nal seconds
Not Used	N906	623	Time Code	O	ID 2/2
Not Used	N907	C040	Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) tir restricted character, + and - are substituted by P and M in the Reference Identifier	· - and ne; sin	an indication ce + is a
1,30 0504	21207	20.0	To identify one or more reference numbers or identification specified by the Reference Qualifier	_	ers as

Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

Segment: MTX Text

**Position:** 3512

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which	the note	applies
			ADD Additional Information		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the red	eiving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: HL Hierarchical Level

Position: 0113

Loop: HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule H, Part 2, Repayments on Loans Made to Others and Loans Forgiven.

## **Data Element Summary**

			Data Elem	ient Summary			
	Ref.	Data Floment	Name		A ++-	ributes	
M	<u>Des.</u> HL01	Element 628	Hierarchical ID N	umber		AN 1/12	
112	11201	020		ssigned by the sender to identify a particu			
			in a hierarchical str		iiai ua	ita seginent	
			loop. It is recomm	ent to provide a unique number that can ended that the first HL loop be identified at and that each successive HL loop be in	l by th	ne number 1	
Not Used	HL02	734	Hierarchical Pare		O	AN 1/12	
				per of the next higher hierarchical data seg cribed is subordinate to	gment	that the data	
M	HL03	735	Hierarchical Leve	l Code	$\mathbf{M}$	ID 1/2	
			Code defining the o	characteristic of a level in a hierarchical st	ructu	re	
			1. This data element is used to identify the type of data that is being transmitted within the current HL loop.				
			EB	ed codes MUST be used only one time.  Filer			
			ED	<ol> <li>Use this code to indicate the submit on the form 460, Schedule H, Part 2.</li> <li>When this code is used, the 2/NM1/MUST be used to identify the filer and</li> </ol>	/0700 ! the	segment	
				2/AWD/2700 loop also MUST be used amounts for form 460, Schedule H, Pa		entify total	
			LN	Loan Data			
				1. Use this code to indicate data applicate repayment.	cable	to a loan	

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2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all the payers of loan repayments and the 2/AWD/2700 loop also MUST be used to identify applicable amounts.

Report

RP

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification Position: 0213

**Loop:** N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.
4 If either C04005 or C04006 is present, then the other is required.

1 N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

**Semantic Notes:** 

When HL03 is code RP, use of this segment is REQUIRED to identify the number of

the form that is being submitted.

	Ref.	Data	Data Element Summary		
	Des.	Element	<u>Name</u>	Att	<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			Use this data element to cite a code that qualifies the	data carrie	d in N902.
			FQ Form Number		
			Use this code to indicate the nun	nber of the	form being
			transmitted.		3
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transspecified by the Reference Identification Qualifier	saction Set	or as
			Use this data element to cite the form number, e.g., F	460H-2.	
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHN HHMMSSD, or HHMMSSDD, where H = hours (00-259), S = integer seconds (00-59) and DD = decimal secare expressed as follows: D = tenths (0-9) and DD = hthe Code	23), $M = mi$ conds; decir	nutes (00- nal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a in hours in relation to Universal Time Coordinate (UTo restricted character, + and - are substituted by P and M Reference Identifier	a + or - and C) time; sin	an indication ce + is a
-,,,,	- 1,7 - 0 - 1		To identify one or more reference numbers or identific	ation numb	ers as
NI 4 FI 1	C04001	100	specified by the Reference Qualifier	3.6	TD 4/2
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
Ni-4 Ti J	C04003	107	Code qualifying the Reference Identification	3.4	A NJ 1/50
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transspecified by the Reference Identification Qualifier	saction Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
- 100 0000	20.000		Code qualifying the Reference Identification	1.	
Not Used	C04004	127	Reference Identification	X	AN 1/50
1100 0000	201001		Reference information as defined for a particular Trans		
			restricted information as defined for a particular frame	, action bot	0

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction	n Set	or as
			specified by the Reference Identification Qualifier		

Segment: MTX Text

**Position:** 0613

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes: Comments: 1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	·		
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	$\mathbf{X}$	ID 2/2
			A field to be used for the control of the line feed of the received	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
		Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)			

Segment: NM1 Individual or Organizational Name

**Position:** 0713

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

Semantic Notes: 1

1 NM102 qualifies NM103.

**Comments:** 

1 NM110 and NM111 further define the type of entity in NM101.

Notes: 1. When HL03 cites code EB, use this loop to identify the filer of the form 460H-2. There can only be 1 iteration of this segment when HL03 cites code EB.

filer information was already provided in form 460CP.

2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the

- 3. When HL03 is code LN, use this loop as many times as necessary to identify all payers of loans repayments.
- 4. Each identified payer (NM101 is code PR) and associated entities (NM101 is code RM Loan Remitter) MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the cross reference number in N902. The cross reference number of the payer and associated entity MUST be the same.

#### **Data Element Summary**

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	NM101	98	<b>Entity Identifier Code</b>	M ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

FN

Filer Name

Payer

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide subtotal and total amounts in the 2/AWD/2700 loop and may be discarded by the receiving application.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify total amounts.

{

- 1. When HL03 in the 2/HL/0100 segment is code LN, use this code to indicate the payer of a loan repayment. This is the same entity who received the loan.
- 2. When this code is used, NM102 may cite code 1, 2 or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. When this code is used and NM102 is code 6, NM108/09 MUST be used if a state assigned identification number has been received.

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PR

			3. When this code is used, NM102 or 6, the 2/N9/1200 segment MUS a cross reference number, and the MUST be used to identify applicable.  4. When this code is used and NM NM108/09 MUST be used if a state identification, number has been reconstituted.	T be used 2/AWD/2 ble amoun 1102 is cod te assigned	to provide 700 loop ts. de 6,
M	NM102	1065	identification number has been re- Entity Type Qualifier	ceivea. M	ID 1/1
	11112102	2000	Code qualifying the type of entity		12 1/1
			Use this data element to identify the entity qualified in individual, a committee, or an entity other than a person		
			1 Person		
			Use this code to indicate an individ	dual.	
			Non-Person Entity		
			Use this code to indicate an entity individual or a committee.  6 Organization	other thai	n an
			Use this code to indicate a commit	tee.	
Must Use	NM103	1035	Name Last or Organization Name	0	AN 1/60
1.1450 050	11112200	2000	Individual last name or organizational name	Ü	121 ( 2, 00
			<ol> <li>When NM102 is code 1 and the person has a monik 2/N2/0800 segment to provide the moniker.</li> <li>When NM102 is code 2 or 6 and the name of the org than 60 characters, use the 2/N2/0800 segment to provename organization's name.</li> </ol>	ganization	is greater
	NM104	1036	Name First	O	AN 1/35
			Individual first name		
	NM105	1037	Name Middle Individual middle name or initial	0	AN 1/25
	NM106	1038	Name Prefix	O	AN 1/10
			Prefix to individual name		
	NM107	1039	Name Suffix	O	AN 1/10
			Suffix to individual name		
	NM108	66	Identification Code Qualifier	X	ID 1/2
			Code designating the system/method of code structure u Code (67)  When NM101 is code PR or RM and NM102 is code 6, to qualify the identification number in NM109.  The system of the code	, use this d	lata element
			When NM101 is code PR or RM a use this code to indicate the state of identification number, if one has be	assigned c	ommittee
	NM109	67	Identification Code	X	AN 2/80
			Code identifying a party or other code		

RM

Party that remits payment

submitted a loan repayment.

1. Use this code to indicate a third party who

2. When this code is used, there MUST be another iteration of this segment with NM101 citing code PR. DO NOT repeat the amount of the loan repayment.

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			Use this data element to provide the state assigned committ number, if one has been assigned.	ee ide	entification
Not Used	NM110	706	Entity Relationship Code	X	ID 2/2
			Code describing entity relationship		
Not Used	NM111	98	Entity Identifier Code	O	ID 2/3
			Code identifying an organizational entity, a physical location, property or individual		

Segment: N2 Additional Name Information

**Position:** 0813

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 2

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

Notes:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

	Ref.	Data	N	A 44 * 73 - 4
	Des.	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the monnumeric 1, 2, or 3.	niker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0913

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	$\overline{\text{N301}}$	166	Address Information	M AN 1/55
			Address information	
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

**Position:** 1013

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 1

Purpose: To specify the geographic place of the named partySyntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	Data Element Summary		•	
	Des.	<b>Element</b>	<u>Name</u>		<u>ributes</u>	
	N401	19	City Name	O	AN 2/30	
			Free-form text for city name			
	N402	156	State or Province Code	$\mathbf{X}$	ID 2/2	
			Code (Standard State/Province) as defined by appropriate go	vernr	ment agency	
Must Use	N403	116	Postal Code	O	ID 3/15	
			Code defining international postal zone code excluding punc (zip code for United States)	tuatio	on and blanks	
			This data element MUST be used to provide a ZIP code.			
Not Used	N404	26	Country Code	X	ID 2/3	
			Code identifying the country			
Not Used	N405	309	Location Qualifier	X	ID 1/2	
			Code identifying type of location			
Not Used	N406	310	Location Identifier	O	AN 1/30	
			Code which identifies a specific location			
Not Used	N407	1715	Country Subdivision Code	$\mathbf{X}$	ID 1/3	
			Code identifying the country subdivision			

Segment: N9 Reference Identification

Position: 1213

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When NM101 in the 2/NM1/0700 segment is code PR or RM, use of this segment is REQUIRED to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.

	Ref.	Data	Data Element Summary			
	Des.	Element	Name		ibutes	
M	N901	128	Reference Identification Qualifier		ID 2/3	
			Code qualifying the Reference Identification			
			6O Cross Reference Number			
			Use this code to indicate a cross refere	ence n	umber that	
			is used to associate entities identified i 2/NM1/0700 segment.	n the		
	N902	127	Reference Identification	X	AN 1/50	
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set o	or as	
Not Used	N903	369	Free-form Description	X	AN 1/45	
			Free-form descriptive text			
Not Used	N904	373	Date	O	<b>DT 8/8</b>	
			Date expressed as CCYYMMDD			
Not Used	N905	337	Time	X	TM 4/8	
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	l = mi decir	nutes (00- nal seconds	
Not Used	N907	C040	Code identifying the time. In accordance with International 3 Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) timestricted character, + and - are substituted by P and M in the <b>Reference Identifier</b>	- and ne; sin	an indication ce + is a	
			To identify one or more reference numbers or identification	numb	ers as	
Not Used	C04001	128	specified by the Reference Qualifier  Reference Identification Qualifier	M	ID 2/3	
110t Oscu	C04001	120	Code qualifying the Reference Identification	171	10 2/3	
Not Used	C04002	127	Reference Identification	M	AN 1/50	
Tior esca	201002	12,	Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier			
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3	
			Code qualifying the Reference Identification			
Not Used	C04004	127	Reference Identification	X	AN 1/50	
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Not Used	C04005	Reference information as defined for a particular Tran specified by the Reference Identification Qualifier  Reference Identification Qualifier		on Set	or as ID 2/3
1,00 0,000	001000	120	Code qualifying the Reference Identification		22 2,0
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		

Segment: AWD Amount with Description

**Position:** 2713

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

Syntax Notes: 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

Semantic Notes: Comments:

Notes:

1 AWD03 is used when information source provides non-numeric values.

- 1. When NM101 in the 2/NM1/0700 segment is code PR or RM, use this loop to provide loan repayment information. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this loop to provide total amounts for the form 460, Schedule H, Part 2.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 03) to qualify the following amounts:

Amount Repaid or Forgiven on Principal:

AWD01-01 code EAC or LF (depending on purpose of amount)

Outstanding Principal: AWD01-01 code OA

Interest Received: AWD01-01 code I

Total Interest Received This Period:

AWD01-01 code I AWD01-03 code 8

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>
$\mathbf{M}$	$\overline{AWD01}$	C007	Amount Qualifyin	g Description	M	
			To qualify an amou	ınt		
M	C00701	522	<b>Amount Qualifier</b>	Code	M	ID 1/3
			Code to qualify am	ount		
			EAC	Amount Forgiven		
			I	Interest		
				Use this code to indicate interest recei	ved.	
			LF	Loan Remittance or Repayment		
			OA	Principal Balance Amount		
Not Used	C00702	522	<b>Amount Qualifier</b>	Code	O	ID 1/3
			Code to qualify am	ount		
	C00703	1638	Value Detail Code	•	O	ID 1/1
			Code identifying ex	xtent of an amount or quantity		
			8	Total		

Not Used	C00704	935	Measurement Significance Code O ID 2/2		ID 2/2
			Code used to benchmark, qualify or further define a measuren	nent	value
Not Used	C00705	344	Unit of Time Period or Interval	0	ID 2/2
			Code indicating the time period or interval		
Not Used	C00706	1637	Net/Gross Code	o	<b>ID 1/1</b>
			Code indicating whether monetary values are net or gross		
Not Used	C00707	935	Measurement Significance Code	o	ID 2/2
			Code used to benchmark, qualify or further define a measuren	nent	value
Not Used	C00708	352	Description	0	AN 1/80
			A free-form description to clarify the related data elements an	d the	eir content
Not Used	C00709	1271	Industry Code	0	AN 1/30
			Code indicating a code from a specific industry code list		
	AWD02	<b>782</b>	Monetary Amount	X	R 1/18
			Monetary amount		
			Use this segment to identify the monetary amount associated	l wit	h the
Not Used	AWD03	<b>61</b>	qualifier codes cited in the AWD01 composite data element.	X	A NI 1/20
Not Usea	AWD03	61	Free-Form Message	Λ	AN 1/30
		400	Free-form information	_	
Not Used	AWD04	100	Currency Code	0	ID 3/3
			Code (Standard ISO) for country in whose currency the charg	es aı	re specified

Segment: DTM Date/Time Reference

**Position:** 2813

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the date of the loan repayment or forgiveness and the

original date of the loan.

	<b>T</b>	<b>-</b>	Data Elem	cht Summary		
	Ref.	Data	•			
	Des.	<u>Element</u>	Name			<u>ributes</u>
M	DTM01	374	Date/Time Qualific	er	M	ID 3/3
			Code specifying typ	be of date or time, or both date and time		
			547	Date of Loan		
				Use this code to indicate the date the lo	an w	as made.
			666	Date Paid		
				Use this code to indicate the date paid.		
			EDF	Forgiven		
				Use this code to indicate the date of for	giver	iess.
	DTM02	373	Date		X	<b>DT 8/8</b>
			Date expressed as C	CCYYMMDD		
Not Used	DTM03	337	Time		$\mathbf{X}$	TM 4/8
			HHMMSSD, or HH 59), S = integer secare expressed as fol	24-hour clock time as follows: HHMM, or IMMSSDD, where H = hours (00-23), M onds (00-59) and DD = decimal seconds; lows: D = tenths (0-9) and DD = hundred	= mindecir	nutes (00- nal seconds 00-99)
Not Used	DTM04	623	Time Code		O	ID 2/2
			Organization standa in hours in relation restricted character,	e time. In accordance with International S ard 8601, time can be specified by a + or - to Universal Time Coordinate (UTC) time + and - are substituted by P and M in the	and e; sin	an indication ce + is a
Not Used	DTM05	1250	Date Time Period	Format Qualifier	X	ID 2/3
			Code indicating the	date format, time format, or date and tim	e fori	nat
Not Used	DTM06	1251	<b>Date Time Period</b>		$\mathbf{X}$	AN 1/35
			Expression of a date	e, a time, or range of dates, times or dates	and t	imes

Segment: PCT Percent Amounts

**Position:** 2913

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To qualify percent amounts and supply percent amounts

Syntax Notes: Semantic Notes:

**Comments:** 

Notes: Use this segment to identify the interest rate applicable to the loan if it has changed

since the time of the original loan.

**Data Element Summary** 

Ref. Data Des. Element Name **Attributes** M PCT01 1004 M ID 1/2 **Percent Qualifier** Code to qualify percent X1 Interest Use this code to indicate the interest rate applicable to the loan.  $\mathbf{M}$ PCT02 954 **Percent** M R 1/10

Percentage expressed as a decimal

Segment: N9 Reference Identification

**Position:** 3113

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

Syntax Notes: 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
  3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

Notes:

- 1. When NM101 is code PR or RM, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a loan payer or third party who repaid a loan. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the loan payer or third party who repaid a loan. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.
- 2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

	Ref.	Data Element	Nome	A 44.	
M	<u>Des.</u> N901	<u>Element</u> 128	Name Reference Identification Qualifier		ributes ID 2/3
111	11,501	120	Code qualifying the Reference Identification	111	10 2/3
			TN Transaction Reference Number		
			Use this code to indicate a monetary tridentifier applicable to an amount. This transaction identifier MUST be the same amounts within the same monetary transaction identifier MUST be used in where the monetary transaction is provamendments.	s moine for ne for ne for ne for etca etca etca etca etca etca etca etca	netary r all ion ury chedules and in
	N902	127	Reference Identification	X	AN 1/50
Not Used	N903	369	Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier <b>Free-form Description</b>	Set o	or as AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	$\mathbf{X}$	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, o HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	= min decin	nutes (00- nal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International S Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the <b>Reference Identifier</b> To identify one or more reference numbers or identification in the coordinate (UTC) time restricted character, + and - are substituted by P and M in the coordinate (UTC) time restricted character, + and - are substituted by P and M in the coordinate (UTC) time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the coordinate (UTC) time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the coordinate (UTC) time restricted character, + and - are substituted by P and M in the coordinate (UTC) time restricted character, + and - are substituted by P and M in the coordinate (UTC) time restricted character, + and - are substituted by P and M in the coordinate (UTC) time restricted character, + and - are substituted by P and M in the coordinate (UTC) time restricted character, + and - are substituted by P and M in the coordinate (UTC) time restricted character, + and - are substituted by P and M in the coordinate (UTC) time restricted character, + and - are substituted by P and M in the coordinate (UTC) time restricted character	and e; sind code O	an indication ce + is a s that follow

Not Used	C04001	128	specified by the Reference Qualifier  Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transact specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04003	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transact specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50

Segment: MTX Text

**Position:** 3513

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	•		
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which	the note	applies
			ADD Additional Information		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	$\mathbf{X}$	ID 2/2
			A field to be used for the control of the line feed of the rec	eiving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standar maintained by the International Standards Organization (I		

Segment: HL Hierarchical Level

Position: 0114

Loop: HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule H, Part 3, Annual Report of Outstanding Loans Made.

#### **Data Element Summary**

			Data E	iement Summary		
M	Ref. <u>Des.</u> HL01	Data Element 628	<u>Name</u> Hierarchical II	D Number		ributes AN 1/12
			A unique number in a hierarchical	er assigned by the sender to identify a parti I structure	icular da	nta segment
			loop. It is recon	ement to provide a unique number that ca mmended that the first HL loop be identifi nent and that each successive HL loop be	ied by th	ne number 1
Not Used	HL02	734	Hierarchical P	arent ID Number	O	AN 1/12
				umber of the next higher hierarchical data s described is subordinate to	segment	that the data
M	HL03	735	Hierarchical L	evel Code	M	ID 1/2
			Code defining t	he characteristic of a level in a hierarchical	structu	re
			transmitted with	ement is used to identify the type of data th hin the current HL loop. listed codes MUST be used only one time.		ing
			EB	Filer		
				1. Use this code to indicate the submon the form 460, Schedule H, Part 3	_	the data
				2. When this code is used, the 2/NM MUST be used to identify the filer at		segment
				2/AWD/2700 loop also MUST be use amounts for form 460, Schedule H,	ed to ide	entify total
			LN	Loan Data	<i>- 41 t 3</i> .	
				1. Use this code to indicate data app	olicable	to

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outstanding loans.

2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all the recipients of loans and the 2/AWD/2700 loop also MUST be used to identify applicable amounts for form 460, schedule H, Part 3.

RP

Report

- 1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.
- 2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification 0214

**Loop:** N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: When HL03 is code RP, use of this segment is REQUIRED to identify the number of

the form that is being submitted.

	Ref.	Data	Data Exement Summary		
	Des.	Element	Name	Att	<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			Use this data element to cite a code that qualifies the data	carrie	d in N902.
			FQ Form Number		
			Use this code to indicate the number	of the	form being
	N902	127	transmitted.  Reference Identification	X	AN 1/50
	11702	121	Reference information as defined for a particular Transaction		
			specified by the Reference Identification Qualifier	n bet	or us
			Use this data element to cite the form number, e.g., F460E	<i>I-3</i> .	
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	$\mathbf{X}$	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, HHMMSSD, or HHMMSSDD, where H = hours (00-23), N 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundre <b>Time Code</b>	M = mi; deci	nutes (00- mal seconds
Not Used	N907	C040	Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) tir restricted character, + and - are substituted by P and M in the Reference Identifier	· - and ne; sin	an indication ace + is a
1100 0500	1,507	2010	To identify one or more reference numbers or identification	_	ers as
Not Used	C04001	128	specified by the Reference Qualifier  Reference Identification Qualifier	M	ID 2/3
not oscu	C04001	120	Code qualifying the Reference Identification	141	10 2/3
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
-			Reference information as defined for a particular Transaction	n Set	or as

Not Used	C04005	128	Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

MTX Text **Segment:** 

**Position:** 0614

> Loop: N9 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

To specify textual data

If MTX01 is present, then MTX02 is required. **Syntax Notes:** 

- If MTX03 is present, then MTX02 is required.
- If MTX05 is present, then MTX04 is required. 3

**Semantic Notes: Comments:**  1 MTX05 is the number of lines to advance before printing.

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the receive	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

Segment: NM1 Individual or Organizational Name

**Position:** 0714

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

Notes:

1 NM102 qualifies NM103.

**Comments:** 1 NM110 and NM111 further define the type of entity in NM101.

1. When HL03 cites code EB, use this loop to identify the filer of the form 460H-3. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.
- 3. When HL03 is code LN, use this loop as many times as necessary to identify all recipients of loans.

#### **Data Element Summary**

Ref. Data

Des. Element Name

M NM101 98 Entity Identifier Code

Attributes

M ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

BW

Borrower

- 1. When HL03 in the 2/HL/0100 segment is code LN, use this code to indicate the recipient of a loan.
- 2. When this code is used, NM102 may cite code 1, 2 or 6, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. When this code is used and NM102 is code 6, NM108/09 MUST be used if a state assigned identification number has been received.

FN Filer Name

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide the summary amounts in the 2/AWD/2700 loop.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify total amounts.

M NM102 1065 Entity Type Qualifier

1

2

M ID 1/1

Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.

Person

Use this code to indicate an individual.

Non-Person Entity

Use this	code to	indicate	an	entity	other	than a	n
individu	al or a	committe	e.				

			6	Organization		
				Use this code to indicate a committee	tee.	
Must Use	NM103	1035	Name Last or O	rganization Name	O	AN 1/60
			Individual last na	me or organizational name		
				ta element is REQUIRED to provide the me of an organization.	he last na	me of a
				is code 1 and the person has a monikent to provide the moniker.	er (nickno	ame), use the
				is code 2 or 6 and the name of the org rs, use the 2/N2/0800 segment to provi		_
	NM104	1036	Name First		0	AN 1/35
			Individual first na	ime		
	NM105	1037	Name Middle		O	AN 1/25
			Individual middle	name or initial		
	NM106	1038	Name Prefix		O	AN 1/10
			Prefix to individu	al name		
	NM107	1039	Name Suffix		O	AN 1/10
			Suffix to individu	al name		
	NM108	66	Identification Co	ode Oualifier	X	ID 1/2
				the system/method of code structure us	sed for Ide	entification
				code BW and NM102 is code 6, use the fication number in NM109.	is data ele	ement to
			75	State or Province Assigned Number	r	
				When NM101 is code BW and NM this code to indicate the state assig identification number, if one has b	ned com	nittee
	NM109	67	<b>Identification Co</b>		X	AN 2/80
			Code identifying	a party or other code		
			Use this data elem	nent to provide the state assigned com	mittee ide	ntification

number, if one has been assigned.

Code describing entity relationship

**Entity Relationship Code** 

706

98

NM110

NM111

Not Used

**Not Used** 

**Entity Identifier Code** Code identifying an organizational entity, a physical location, property or an individual

X ID 2/2

O ID 2/3

Segment: N2 Additional Name Information

**Position:** 0814

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 2

**Notes:** 

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

M	Ref. <u>Des.</u> N201	Data <u>Element</u> 93	Name Name Free-form name	Attributes M AN 1/60
			<ol> <li>When providing a moniker, insert a space after the numeric 1, 2, or 3.</li> <li>= moniker is before the first name</li> <li>= moniker is between the first and middle name</li> <li>= moniker is between the middle and last name</li> </ol>	noniker and cite the
	N202	93	Name Free-form name	O AN 1/60

Segment: AWD Amount with Description

**Position:** 2714

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

At least one of AWD02 or AWD03 is required.

Semantic Notes: Comments:

es: 1 AWD03 is used when information source provides non-numeric values.

Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code BW, use this loop to provide loan information. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this loop to identify total amounts for the form 460, Schedule H, Part 3.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 03) to qualify the following amounts:

Amount of Original Loan: AWD01-01 code EBX

Unpaid Principal: AWD01-01 code UB

Unpaid Interest: AWD01-01 code 04

Total Unpaid Principal: AWD01-01 code UB AWD01-03 code 8

	Ref.	Data		·		
	Des.	<b>Element</b>	<u>Name</u>		Att	ributes
M	AWD01	C007	Amount Qu	ualifying Description	M	
			To qualify a	n amount		
M	C00701	522	Amount Qu	ualifier Code	$\mathbf{M}$	ID 1/3
			Code to qua	lify amount		
			EBX	Original Loan Amount		
			O4	Interest Payable		
			UB	Unpaid Principal Balance		
Not Used	C00702	522	Amount Qu	ualifier Code	O	ID 1/3
			Code to qua	lify amount		
	C00703	1638	Value Deta	il Code	O	<b>ID</b> 1/1
			Code identif	fying extent of an amount or quantity		
			8	Total		
Not Used	C00704	935	Measureme	ent Significance Code	O	ID 2/2
			Code used to	o benchmark, qualify or further define a measur	ement	value

Not Used	C00705	344	Unit of Time Period or Interval		ID 2/2		
			Code indicating the time period or interval				
Not Used	C00706	1637	Net/Gross Code	O	<b>ID 1/1</b>		
			Code indicating whether monetary values are net or gross				
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2		
			Code used to benchmark, qualify or further define a measurer	ment	value		
Not Used	C00708	352	Description	O	AN 1/80		
			A free-form description to clarify the related data elements ar	nd the	eir content		
Not Used	C00709	1271	Industry Code	O	AN 1/30		
			Code indicating a code from a specific industry code list				
	AWD02	782	Monetary Amount	X	R 1/18		
			Monetary amount				
			Use this segment to identify the monetary amount associated qualifier codes cited in the AWD01 composite data element.		h the		
Not Used	AWD03	61	Free-Form Message	X	AN 1/30		
			Free-form information				
Not Used	AWD04	100	Currency Code	O	ID 3/3		
			Code (Standard ISO) for country in whose currency the charges are s				

Segment: DTM Date/Time Reference

**Position:** 2814

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the original date of the loan.

	Ref.	Data	2000 2000000 00000000000000000000000000		
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
M	DTM01	374	Date/Time Qualifier	$\mathbf{M}$	ID 3/3
			Code specifying type of date or time, or both date and time		
			Date of Loan		
	DTM02	373	Date	X	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	DTM03	337	Time	X	TM 4/8
Not Used	DTM04	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	= mindecir	nutes (00- nal seconds
			Code identifying the time. In accordance with International S Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the	and e; sin	an indication ce + is a
Not Used	DTM05	1250	Date Time Period Format Qualifier	X	ID 2/3
			Code indicating the date format, time format, or date and tim	e fori	mat
Not Used	DTM06	1251	Date Time Period	X	AN 1/35
			Expression of a date, a time, or range of dates, times or dates	and t	times

Segment: N9 Reference Identification

**Position:** 3114

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To tra

Syntax Notes: 1 A

To transmit identifying information as specified by the Reference Identification Qualifier

1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

1. When NM101 is code BW, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a loan recipient. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the loan recipient. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>		<u>ributes</u>
M	N901	128	Reference Identification Qualifier		ID 2/3
			Code qualifying the Reference Identification		
			TN Transaction Reference Number		
			Use this code to indicate a monetary to identifier applicable to an amount. The transaction identifier MUST be the sa amounts within the same monetary transaction identifier MUST be used it where the monetary transaction is proamendments.	is moi me foi insact nonet n all s vided	netary r all tion ary schedules and in
	N902	127	Reference Identification	X	AN 1/50
Not Used	N903	369	Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier <b>Free-form Description</b>	n Set o	or as AN 1/45
110t Obcu	11,705	207	Free-form descriptive text	21	1111 1/40
Not Used	N904	373	Date	O	DT 8/8
Not Oscu	11704	373	Date expressed as CCYYMMDD	O	D1 0/0
Not Used	N905	337	Time	X	TM 4/8
Not oscu	1000	331	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred	or HHI I = mi ; decir	MMSS, or nutes (00- nal seconds
Not Used	N906	623	Time Code	O	ID 2/2
Not Used	N907	C040	Code identifying the time. In accordance with International organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the Reference Identifier	- and ne; sin	an indication ce + is a
			To identify one or more reference numbers or identification specified by the Reference Qualifier	numb	ers as

Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04005	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as

Segment: MTX Text

**Position:** 3514

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	•			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>	
	MTX01	363	Note Reference Code	O	ID 3/3	
			Code identifying the functional area or purpose for which	the note	applies	
			ADD Additional Information			
	MTX02	1551	Message Text	X	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX03	1551	Message Text	O	AN 1/4096	
			To transmit large volumes of message text			
Not Used	MTX04	934	Printer Carriage Control Code	$\mathbf{X}$	ID 2/2	
			A field to be used for the control of the line feed of the rec	eiving p	orinter	
Not Used	MTX05	1470	Number	O	N0 1/9	
			A generic number			
Not Used	MTX06	819	Language Code	O	ID 2/3	
				Code designating the language used in text, from a standard code list naintained by the International Standards Organization (ISO 639)		

Segment: HL Hierarchical Level

Position: 0115

Loop: HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Purpose: To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to line-item data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 460, Schedule I, Miscellaneous Increases to Cash.

Data Element Summary						
	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		<u>Attributes</u>	
M	HL01	628	Hierarchical ID Nu	ımber	M AN 1/12	
			A unique number as in a hierarchical stru	ssigned by the sender to identify a particul acture	ar data segment	
			loop. It is recomme	nt to provide a unique number that can i ended that the first HL loop be identified t and that each successive HL loop be inc	by the number 1	
Not Used	HL02	734	Hierarchical Parer	nt ID Number	O AN 1/12	
				er of the next higher hierarchical data segribed is subordinate to	ment that the data	
M	HL03	735	Hierarchical Level	Code	M ID 1/2	
			Code defining the cl	haracteristic of a level in a hierarchical str	ructure	
			1. This data elemen	nt is used to identify the type of data that	is being	
				the current HL loop.	J	
			2. Each of the liste	d codes MUST be used only one time.		
			EB	Filer		
				1. Use this code to indicate the submitt on the form 460, Schedule I.	er of the data	
				2. When this code is used, the 2/NM1/6		
				MUST be used to identify the filer and		
				2/AWD/2700 loop also MUST be used to		
			EC	summary amounts for form 460, Sched Receipts	ute 1.	
			LC		11 /	
1. Use this code to indicate data applicable to						

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miscellaneous increases to cash.

2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all the sources of the increases, and the 2/AWD/2700 loop also MUST be used to identify applicable amounts.

RP Report

1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.

2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

**Reference Identification Segment: Position:** 0215 Loop: N9 Optional (Must Use) Level: Detail Optional (Must Use) Usage: Max Use: **Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier At least one of N902 or N903 is required. **Syntax Notes:** If N906 is present, then N905 is required. 3 If either C04003 or C04004 is present, then the other is required. 4 If either C04005 or C04006 is present, then the other is required. **Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

α .

2 N907 contains data relating to the value cited in N902.

Comments: Notes:

When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Att	<u>ributes</u>
M	N901	128		Reference Identification Qualifier		ID 2/3
			Code qualifyi	ng the Reference Identification		
			Use this data	element to cite a code that qualifies the data	carrie	d in N902.
			FQ	Form Number		
				Use this code to indicate the number of transmitted.	f the	form being
	N902	127	Reference Id	entification	X	AN 1/50
				ormation as defined for a particular Transactio he Reference Identification Qualifier	n Set	or as
				element to cite the form number, e.g., F460I.		
Not Used	N903	369	Free-form Do	escription	X	AN 1/45
			Free-form des	scriptive text		
Not Used	N904	373	Date		O	<b>DT 8/8</b>
			Date expresse	d as CCYYMMDD		
Not Used	N905	337	Time		X	TM 4/8
Not Used	N906	623	HHMMSSD, $59$ ), $S = integ$	ed in 24-hour clock time as follows: HHMM, or HHMMSSDD, where H = hours (00-23), More seconds (00-59) and DD = decimal seconds as follows: D = tenths (0-9) and DD = hundred	I = mi ; decir	nutes (00- nal seconds
Not Used	N907	C040	Organization in hours in rel	ing the time. In accordance with International standard 8601, time can be specified by a + or ation to Universal Time Coordinate (UTC) time acter, + and - are substituted by P and M in the continuous	- and ne; sin	an indication ce + is a
Not Oseu	11707	C040		ne or more reference numbers or identification	•	erc ac
				he Reference Qualifier	Hullio	cis as
Not Used	C04001	128		entification Qualifier	M	ID 2/3
			Code qualifyi	ng the Reference Identification		
Not Used	C04002	127	Reference Id	entification	M	AN 1/50
				ormation as defined for a particular Transactio he Reference Identification Qualifier	n Set	or as
Not Used	C04003	128	Reference Id	entification Qualifier	X	ID 2/3
			Code qualifyi	ng the Reference Identification		
Not Used	C04004	127	Reference Id	entification	X	AN 1/50
			Reference information as defined for a particular Transaction Set or as			

Not Used	C04005	128	specified by the Reference Identification Qualifier  Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio	n Set	or as
			specified by the Reference Identification Qualifier		

MTX Text **Segment:** 

**Position:** 0615

> Loop: N9 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 

If MTX01 is present, then MTX02 is required. If MTX03 is present, then MTX02 is required.

- If MTX05 is present, then MTX04 is required. 3

**Semantic Notes: Comments:**  1 MTX05 is the number of lines to advance before printing.

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 1. Use this segment to provide additional text information related to the report being filed.

> 2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the receive	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

Segment: NM1 Individual or Organizational Name

Position: 0715

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

Notes:

1 NM110 and NM111 further define the type of entity in NM101.

1. When HL03 cites code EB, use this loop to identify the filer of the form 460I. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EB, ONLY NM101, NM102, and NM103 should be used. DO NOT enter the address of the filer or a communication number. The balance of the filer information was already provided in form 460CP.
- 3. When HL03 is code EC, use this loop as many times as necessary to identify all sources of increases to cash.

#### **Data Element Summary**

Ref. Data

Des. Element Name

M NM101 98 Entity Identifier Code

Code identifying an expenientional entity a physical location property and

Code identifying an organizational entity, a physical location, property or an individual

**EBI** 

Source

- 1. Use this code to indicate the source of a cash increase.
- 2. When this code is used, NM102 may cite code 1, 2, or 6, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. When this code is used and NM102 is code 6, NM108/09 MUST be used if a state assigned identification number has been received.

FN

Filer Name

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data. This code is used as the means to provide the summary amounts in the 2/AWD/2700 loop.
- 2. When this code is used, only NM101, NM102 and NM103 should be used. The filer information was provided in the form 460CP loop.
- 3. When this code is used, NM102 may cite code 1 or 6, and the 2/AWD/2700 loop MUST be used to identify summary amounts.

M NM102 1065 Entity Type Qualifier

1

2

M ID 1/1

Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.

Person

Use this code to indicate an individual.

Non-Person Entity

Use this code to indicate an entity other than an individual or a committee.

O ID 2/3

Organization

			6	Organization			
				Use this code to indicate a com	mittee.		
Must Use	NM103	1035	Name Last or	Organization Name	0	AN 1/60	
			Individual last r	name or organizational name			
				1. Use of this data element is REQUIRED to provide the person, or the name of an organization.			
				02 is code 1 and the person has a monnent to provide the moniker.	niker (nickno	ame), use the	
			3. When NM10	02 is code 2 or 6 and the name of the	organization	ı is greater	
				ters, use the 2/N2/0800 segment to pr	_	-	
			name organizat			J	
	NM104	1036	Name First		0	AN 1/35	
			Individual first	name			
	NM105	1037	Name Middle		O	AN 1/25	
			Individual midd	lle name or initial			
	NM106	1038	Name Prefix		0	AN 1/10	
			Prefix to individ	dual name			
	NM107	1039	Name Suffix		0	AN 1/10	
			Suffix to individ	dual name			
	NM108	66	Identification (	Code Qualifier	X	ID 1/2	
	1,11,2200	o o	Code designatir Code (67)	ng the system/method of code structure		entification	
				is code EBI and NM102 is code 6, uson tification number in NM109.		ement to	
			75	State or Province Assigned Num			
				When NM101 is code EBI and this code to indicate the state as identification number, if one ha	ssigned com	nittee	
	NM109	67	Identification (		X	AN 2/80	
			Code identifyin	g a party or other code			
				ement to provide the state assigned chas been assigned.	ommittee ide	entification	
Not Used	NM110	706	<b>Entity Relation</b>		X	ID 2/2	
			Code describing	g entity relationship			

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Not Used

NM111

98

**Entity Identifier Code** 

individual

Code identifying an organizational entity, a physical location, property or an

Segment: N2 Additional Name Information

**Position:** 0815

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 2 Purpose: 7

**Notes:** 

**pose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

	Ref.	Data		A 44 * 73 - 4
	Des.	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the monnumeric 1, 2, or 3.	niker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0915

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes:

Use this segment to provide address information, e.g., a street number and name, a P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	$\overline{\text{N301}}$	166	Address Information	M AN 1/55
			Address information	
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

**Position:** 1015

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
ax Use: 1

Max Use: 1
Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

Only one of N402 or N407 may be present.
 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

Comments: 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

			Data Element Summary		
	Ref.	Data	N	<b>A</b> 44	
	Des.	Element	Name		<u>ributes</u>
	N401	19	City Name	O	AN 2/30
			Free-form text for city name		
	N402	156	State or Province Code	X	ID 2/2
			Code (Standard State/Province) as defined by appropriate go	overni	nent agency
Must Use	N403	116	Postal Code	O	ID 3/15
			Code defining international postal zone code excluding pund (zip code for United States)	ctuatio	on and blanks
			This data element MUST be used to provide a ZIP code.		
Not Used	N404	26	Country Code	X	ID 2/3
			Code identifying the country		
Not Used	N405	309	Location Qualifier	X	ID 1/2
			Code identifying type of location		
Not Used	N406	310	Location Identifier	O	AN 1/30
			Code which identifies a specific location		
Not Used	N407	1715	Country Subdivision Code	$\mathbf{X}$	ID 1/3
			Code identifying the country subdivision		

Segment: AWD Amount with Description

**Position:** 2715

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

Syntax Notes: 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

Semantic Notes: Comments:

Notes:

**Sec.** 1 AWD03 is used when information source provides non-numeric values.

- 1. When NM101 in the 2/NM1/0700 segment is code EBI, use this loop to provide information applicable to the source. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 2. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to provide summary amounts applicable to form 460, Schedule I.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 09) to qualify the following amounts:

Amount of Increase to Cash:

AWD01-01 code ZR

Schedule I Summary - Line 1:

AWD01-02 code ZR AWD01-09 code 05-A1

Schedule I Summary - Line 2

AWD01-01 code ZR AWD01-09 code 05-A2

Schedule I Summary - Line 3:

AWD01-01 code I AWD01-03 code 8

Schedule I Summary - Line 4:

AWD01-02 code ZR AWD01-03 code 8

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ibutes</u>
M	AWD01	C007	<b>Amount Qualify</b>	ying Description	M	
			To qualify an am	nount		
M	C00701	522	Amount Qualifi	er Code	$\mathbf{M}$	ID 1/3
			Code to qualify a	amount		
			I	Interest		
			ZR	Increase		
				Use this code to indicate increases to d	cash.	
Not Used	C00702	522	Amount Qualifi	er Code	О	ID 1/3
			Code to qualify a	amount		

	C00703	1638	Value Detail Code	o	ID 1/1		
			Code identifying extent of an amount or quantity				
			8 Total				
Not Used	C00704	935	Measurement Significance Code	O	<b>ID 2/2</b>		
			Code used to benchmark, qualify or further define a measure	ment	value		
Not Used	C00705	344	Unit of Time Period or Interval	O	ID 2/2		
			Code indicating the time period or interval				
Not Used	C00706	1637	Net/Gross Code	O	<b>ID</b> 1/1		
			Code indicating whether monetary values are net or gross				
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2		
			Code used to benchmark, qualify or further define a measure	ment	value		
Not Used	C00708	352	Description	O	AN 1/80		
			A free-form description to clarify the related data elements a	nd th	eir content		
	C00709	1271	Industry Code	O	AN 1/30		
			Code indicating a code from a specific industry code list				
			1. Use this data element to cite a code from the California Campa Disclosure Code List Section 05.				
	AWD02	782	2. Cite either code 05-A1 or 05-A2 only.  Monetary Amount	X	R 1/18		
	AWDUZ	702	Monetary amount	Λ	K 1/10		
			Use this segment to identify the monetary amount associate qualifier codes cited in the AWD01 composite data element		th the		
Not Used	AWD03	61	Free-Form Message	X	AN 1/30		
			Free-form information				
Not Used	AWD04	100	Currency Code	O	ID 3/3		
			Code (Standard ISO) for country in whose currency the charges are specified				

Segment: DTM Date/Time Reference

**Position:** 2815

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes: Comments:

Notes: Use this segment to identify the receipt date of the cash increase.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ibutes</u>
M	DTM01	374	Date/Time Qualific	er	M	ID 3/3
			Code specifying typ	e of date or time, or both date and time		
			050	Received		
				Use this code to indicate the receipt dat increase.	te of t	the cash
	DTM02	373	Date		X	<b>DT 8/8</b>
			Date expressed as C	CCYYMMDD		
Not Used	DTM03	337	Time		$\mathbf{X}$	TM 4/8
N. A. T.	DENTO	(22	HHMMSSD, or HH 59), S = integer seco are expressed as fol	24-hour clock time as follows: HHMM, or IMMSSDD, where H = hours (00-23), M onds (00-59) and DD = decimal seconds; lows: D = tenths (0-9) and DD = hundred	= mindecir decir ths (0	nutes (00- nal seconds 00-99)
Not Used	DTM04	623	Time Code		O	ID 2/2
			Organization standa in hours in relation	e time. In accordance with International S and 8601, time can be specified by a + or - to Universal Time Coordinate (UTC) time + and - are substituted by P and M in the	and e; sin	an indication ce + is a
Not Used	DTM05	1250	Date Time Period	Format Qualifier	$\mathbf{X}$	ID 2/3
			Code indicating the	date format, time format, or date and time	e fori	nat
Not Used	<b>DTM06</b>	1251	<b>Date Time Period</b>		$\mathbf{X}$	AN 1/35
			Expression of a date	e, a time, or range of dates, times or dates	and t	imes

Segment: N9 Reference Identification

Position: 3115

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

1. When NM101 is code EBI, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a source of a cash increase. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the source of a cash increase. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 460 if this transaction identifier is not a provided.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		<u>ributes</u>	
M	N901	128	Reference Identification Qualifier	M	ID 2/3	
			Code qualifying the Reference Identification			
			TN Transaction Reference Number			
			Use this code to indicate a monetary tridentifier applicable to an amount. The transaction identifier MUST be the same amounts within the same monetary transaction identifier MUST be used in where the monetary transaction is programmendments.	is moi me foi insact nonet n all s	netary r all tion ary schedules and in	
	N902	127	Reference Identification	X	AN 1/50	
Not Used	N903	369	Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier <b>Free-form Description</b>	Set o	or as AN 1/45	
1,00 0000	11,500		Free-form descriptive text		121 ( 2/ 10	
Not Used	N904	373	Date	0	DT 8/8	
- 101 0 0 0 0	- 1.5 - 5 -		Date expressed as CCYYMMDD		,,	
Not Used	N905	337	Time	X	TM 4/8	
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, o HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	= mi decir	nutes (00- nal seconds	
- 101 0 0 0 0		V	Code identifying the time. In accordance with International S	Standa		
Not Used	N907	C040	Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the <b>Reference Identifier</b>	- and e; sin	an indication ce + is a	
			To identify one or more reference numbers or identification numbers as			

Not Used	C04001	128	specified by the Reference Qualifier  Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transact specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transact specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50

MTX Text **Segment:** 

**Position:** 3515

> Loop: **AWD** Optional

Level: Detail Usage: Optional Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** If MTX01 is present, then MTX02 is required.

- If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes: Comments:**  1 MTX05 is the number of lines to advance before printing.

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 1. When the 2/AWD/2700 segment is used to identify a receipt, use this segment to describe the receipt.

> 2. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

> 3. Use of text is discouraged if the information can be provided in a codified manner elsewhere within the transaction set.

4. This implementation convention limits the use of this segment to one time with each of the listed codes in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>
	MTX01	363	Note Reference C	ode	O	ID 3/3
			Code identifying the	ne functional area or purpose for which the	e note	applies
			ADD	Additional Information		
			ECG	Description of Receipt		
	MTX02	1551	Message Text		$\mathbf{X}$	AN 1/4096
			To transmit large v	volumes of message text		
			data element.  2. When MTX01	is code ADD, the full 4096 characters ma is code ECG, this data element is limited iit runs the risk of the Secretary of State's	to 90	characters.
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit large v	volumes of message text		
Not Used	MTX04	934	<b>Printer Carriage</b>	Control Code	X	ID 2/2
			A field to be used	for the control of the line feed of the recei-	ving p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic number			
Not Used	MTX06	819	Language Code		O	ID 2/3
				he language used in text, from a standard International Standards Organization (ISC		

Segment: HL Hierarchical Level

Position: 0116

Loop: HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 495, Supplemental Pre-election Campaign Statement.

#### **Data Element Summary**

			Dutu Lit				
	Ref.	Data	NI		A 44.		
М	<u>Des.</u>	Element	Name	Number	_	ributes	
M	HL01	628	Hierarchical ID			AN 1/12	
				r assigned by the sender to identify a particular	ılar da	ata segment	
			in a hierarchical				
				ment to provide a unique number that can		~ ~	
			_	mended that the first HL loop be identified	-		
	^-			ent and that each successive HL loop be in		-	
Not Used	HL02	734	Hierarchical Pa		О	AN 1/12	
				mber of the next higher hierarchical data seg	gment	that the data	
M	TIT 02	<b>5</b> 25		escribed is subordinate to	3.7	ID 1/2	
M	HL03	735	Hierarchical Le		M	ID 1/2	
			Code defining the characteristic of a level in a hierarchical structure				
			1. This data element is used to identify the type of data that is being				
			transmitted with	in the current HL loop.			
			2 Fach of the li	sted codes MUST be used only one time.			
			EB	Filer			
			22	1. Use this code to indicate that the da	rta wil	rich	
				follows in this HL loop is applicable to			
				is submitting the data contained in this		_	
				is submitting the data contained in this	s joi ii	ı <b>4</b> 73.	
				2. When this code is used, the 2/NM1/	/0700	loon	
				MUST be used to identify the filer and		_	
				entities and the 2/AWD/2700 loop also			
				to identify the contributions amount fo			
			RP	Report	Jon	175.	
			1/1	Report			

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1. Use of this code is REQUIRED to indicate that the

data which follows in this HL loop is information applicable to the form being submitted (e.g., form number, associated form number, applicable jurisdiction, election date).

2. When this code is used, the 2/N9/0200 loop MUST be used to carry the associated information.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification

**Position:** 0216

**Loop:** N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

1. When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted; the original or amended filing identification number; and the form to which this form 495 applies.

2. When LQ02 in the 2/LQ/0500 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district within the jurisdiction.

		aistrict w	unın ine jurisal	icuon.	
	Ref.	Data	Data l	Element Summary	
M	<u>Des.</u> N901	Element 128	Name Reference Ide	entification Qualifier	Attributes M ID 2/3
			Code qualifyin	g the Reference Identification	
			Use this data e	element to cite a code that qualifies the data	carried in N902.
			DIS	District Number	
				Use this code to indicate the district in election is held.	which an
			ECF	Related Form Number	
				Use this code to indicate the form with 495 is associated, e.g., 450.	h which this form
			FQ	Form Number	
				Use this code to indicate the number of transmitted.	of the form being
			O8	Original Filing	
			OJ	When BGN01 in the 1/BGN/0200 segs 00, use of this code is REQUIRED to original filing number. When this codalways cite 000 in N902. If the require provided, the Secretary of State's official filing of the amended form 495.  Amendment Filing	identify the de is used, ed number is not
				When BGN01 in the 1/BGN/0200 seguese of this code is REQUIRED to indicamendment number. When this code amended filing should cite 001. Substitute 1001.	icate the filing is used, the first

#### N902 127 Reference Identification

X AN 1/50

Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

filing of the amended form 495.

1. When N901 is code FQ, use this data element to cite the form number, e.g., F495.

filings should increment the amended filing number by 1, e.g., 002, 003, etc. If the required number is not provided, the Secretary of State's office will reject the

			,		
			3. When N901 is code EFC, use this data element to identify which this form 495 is associated.	y the	form with
Not Used	N903	369	Free-form Description	$\mathbf{X}$	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	$\mathbf{X}$	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	= mi decir	nutes (00- nal seconds
Not eseu	14900	023	Code identifying the time. In accordance with International S Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the	tanda and e; sin	ards an indication ce + is a
Not Used	N907	C040	Reference Identifier	O	
			To identify one or more reference numbers or identification is specified by the Reference Qualifier		
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
Not Used	C04003	128	Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier <b>Reference Identification Qualifier</b>	Set o	or as ID 2/3
Not Osea	C04003	120	Code qualifying the Reference Identification	Λ	ID 2/3
Not Used	C04004	127	Reference Identification	X	AN 1/50
Not Osea	C04004	147	Reference information as defined for a particular Transaction		
			specified by the Reference Identification Qualifier	SCI (	n as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as

2. When N901 is code DIS, use this data element to identify the district.

Segment: DTM Date/Time Reference

**Position:** 0316

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: When N901 in the 2/N9/0200 segment is code FQ, use of this segment is REQUIRED

to identify the date of the election.

	Ref.	Data		•				
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ributes</u>		
M	DTM01	374	Date/Time Qualif	ier	M	ID 3/3		
			Code specifying ty	pe of date or time, or both date and time				
			Use this data element DTM02	ent to cite a code that qualifies the date id	lentif	ïed in		
			840	Election				
				Use this code to indicate the date of an	elect	ion.		
	DTM02	373	Date		X	DT 8/8		
			Date expressed as	CCYYMMDD				
			When DTM01 is c	ode 840, use this data element to identify	the e	lection date.		
Not Used	DTM03	337	Time		X	TM 4/8		
			Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal second are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)					
Not Used	DTM04	623	Time Code		O	ID 2/2		
Not Used	DTM05	1250	Organization stand in hours in relation restricted character	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow  Date Time Period Format Qualifier  X ID 2/3				
1.00 0000	2111100	120		e date format, time format, or date and tim				
Not Used	DTM06	1251	Date Time Period		X	AN 1/35		
110t Oscu	DIMIOO	1201		te, a time, or range of dates, times or dates				
			Expression of a dat	e, a time, or range or dates, times or dates	and t	incs		

Segment: LQ Industry Code

**Position:** 0516

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

**Semantic Notes:** Comments:

**Notes:** 

1. When N901 in the 2/N9/0200 segment is code FQ, use of this segment is REQUIRED to identify the jurisdiction of the election or the Secretary of State's office will reject this filing of the form 495.

- 2. The jurisdiction is identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 3. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Ref. <u>Des.</u> LQ01	Data Element 1270	Name Code List Qualifier Code	Attributes O ID 1/3
		Code identifying a specific industry code list	
		Use this data element to identify the code list that con in LQ02.	tains the code specified
		EAF California Campaign Disclosure	Report Codes
LQ02	1271	Industry Code	X AN 1/30
		Code indicating a code from a specific industry code li	ist
		1. When N901 is code FQ, enter a code from section Campaign Disclosure Code List.	08 of the California
		2. When code 08-CIT, 08-CTY, 08-LOC, or 08-OTH element, use the 2/MTX/0600 segment to identify the other jurisdiction, in text.	
		3. When code 08-SEN, 08-ASM, or 08-BOE is cited to the 2/N9/0200 segment to identify the district.	in this data element, use

MTX Text **Segment:** 

**Position:** 0616

> Loop: N9 Optional (Must Use)

Level: Detail Optional Usage: Max Use: >1

**Purpose:** 

To specify textual data

**Syntax Notes:** If MTX01 is present, then MTX02 is required.

- If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes: Comments:** 

- 1 MTX05 is the number of lines to advance before printing.
- If MTX04 is "AA Advance the specific number of lines before print", then MTX05 is required.

**Notes:** 

- 1. When LQ02 in the 2/LQ/0500 segment cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city, county, local or other jurisdiction.
- 2. Use this segment to provide additional text information related to the report being filed.
- 3. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
- 4. This implementation convention limits the use of this segment to one time for each code listed in MTX01 per N9 loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Att	<u>ributes</u>
	MTX01	363	Note Reference C	ode	O	ID 3/3
			Code identifying th	ne functional area or purpose for which the	e note	applies
			EAM	Jurisdiction		
			REP	Report		
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit large v	rolumes of message text		
			1. When MTX01 i data element.	is code REP, the full 4096 characters ma	y be u	ised in this
				is code EAM, this data element is limited it runs the risk of the Secretary of State's		
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit large v	olumes of message text		
Not Used	MTX04	934	Printer Carriage	Control Code	X	ID 2/2
			A field to be used to	for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic number			
Not Used	MTX06	819	Language Code		O	ID 2/3
				he language used in text, from a standard International Standards Organization (ISC		

NM1 Individual or Organizational Name **Segment:** 

**Position:** 0716

> NM1 Loop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To supply the full name of an individual or organizational entity **Syntax Notes:** If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 1 NM102 qualifies NM103.

**Comments:** NM110 and NM111 further define the type of entity in NM101.

Notes: When HL03 in the 2/HL/0100 segment is code EB, use this segment as many times as necessary to identify the entity filing form 495 and all entities associated with the filer.

# **Data Element Summary**

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
$\mathbf{M}$	NM101	98	<b>Entity Identifier Code</b>	M ID 2/3

Code identifying an organizational entity, a physical location, property or an individual

Use this segment to qualify the entity identified in the following data elements.

**EBN** 

Treasurer

- 1. When NM101 is code FN and NM102 is code 6, use of this code is REQUIRED to indicate the treasure of a committee.
- 2. When this code is used, NM102 should cite code 1, and the following segments MUST be used to provide a PIN number that represents the entity's signature, a date of the signature, the location of the document signing, and a verification: 2/N9/1200, 2/DTM/1300, 2/NX2/1400, and 2/LQ/2100.

Filer Name

- 1. When HL03 in the 2/HL/0100 segment is code EB, use of this code is REQUIRED to indicate the entity submitting the data.
- 2. When this code is used, NM102 may cite code 1 or 6, the 2/N9/1200 segment should be used to provide a Secretary of State assigned electronic filer identification number, and the 2/AWD/2700 segment MUST be used to provide the contribution amount.
- 3. When this code is used and NM102 is code 1, the following segments MUST be used to provide a PIN number that represents the entity's signature, a date of the signature, the location of the document signing, and a verification, 2/N9/1200, 2/DTM/1300, 2/NX2/1400, and 2/LQ/2100.
- 4. When this code is used and NM102 is code 6, NM108/09 MUST be used if a state assigned committee identification number has been received.

Signer

UZ

1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate the additional officeholders/candidates who control the committee and must sign the form 495.

FN

332 113CA460 (004021) September 6, 1999

			and the following seg a PIN number that re date of the signature,	the location of the document ation: 2/N9/1200, 2/DTM/1300,
			2/NX2/1400, and 2/L	<i>Q/2100</i> .
M	NM102	1065	Entity Type Qualifier	M ID 1/1
			Code qualifying the type of entity	
			Use this data element to identify the entity	qualified in NM101 as a person of

			Code qualifying t	the type of entity		
			Use this data elema committee.	ment to identify the entity qualified in NM	101 a	s a person or
			1	Person		
				Use this code to indicate an individual	!.	
			6	Organization		
				Use this code to indicate a committee.		
Must Use	NM103	1035	Name Last or O	rganization Name	O	AN 1/60
				me or organizational name		
			person, or the na <ol> <li>When NM102</li> </ol>	ta element is REQUIRED to provide the lame of an organization.  It is code 1 and the person has a moniker (in the provide the moniker.		•
				t is code 6 and the name of the organization is the 2/N2/0800 segment to provide the backers.		
	NM104	1036	Name First		O	AN 1/35
			Individual first na	ame		
	NM105	1037	Name Middle		O	AN 1/25
			Individual middle	e name or initial		
	NM106	1038	Name Prefix		O	AN 1/10
			Prefix to individu	al name		
	NM107	1039	Name Suffix		O	AN 1/10
			Suffix to individu			
	NM108	66	Identification Co	_	X	ID 1/2
			Code (67)	the system/method of code structure used		
			qualify the identi	code FN and NM102 is code 6, use this do fication number in NM109.	ıta ele	ement to
			75	State or Province Assigned Number		
				When NM101 is code FN and NM102 this code to indicate the state assigned identification number.		
	NM109	67	Identification Co	ode	X	AN 2/80
				a party or other code		
				ment to provide the state assigned committ as been assigned.	ee ide	entification
Not Used	NM110	706	<b>Entity Relations</b>	-	X	ID 2/2
			_	entity relationship		
Not Used	NM111	98	<b>Entity Identifier</b>		O	ID 2/3
			Code identifying individual	an organizational entity, a physical location	n, pro	perty or an

Segment: N2 Additional Name Information

**Position:** 0816

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional

Max Use: 2

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

Notes: 1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

	Ref.	Data	·	
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the monnumeric 1, 2, or 3.	iker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0916

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes:

Comments: Notes:

Use this segment to provide address information, e.g., a street number and name, a P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	$\overline{N301}$	166	Address Information	$\overline{M}$ AN $1/55$
			Address information	
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

**Position:** 1016

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.
2 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

2 N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

			Data Element Summary				
	Ref.	Data	N	<b>A</b> 44			
	Des.	Element	Name		<u>ributes</u>		
	N401	19	City Name	O	AN 2/30		
			Free-form text for city name				
	N402	156	State or Province Code	X	ID 2/2		
			Code (Standard State/Province) as defined by appropriate go	overni	nent agency		
Must Use	N403	116	Postal Code	O	ID 3/15		
			Code defining international postal zone code excluding punctuation (zip code for United States)				
			This data element MUST be used to provide a ZIP code.				
Not Used	N404	26	Country Code	X	ID 2/3		
			Code identifying the country				
Not Used	N405	309	Location Qualifier	X	ID 1/2		
			Code identifying type of location				
Not Used	N406	310	Location Identifier	O	AN 1/30		
			Code which identifies a specific location				
Not Used	N407	1715	<b>Country Subdivision Code</b>	X	ID 1/3		
			Code identifying the country subdivision				

Segment: PER Administrative Communications Contact

**Position:** 1116

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To identify a person or office to whom administrative communications should be directed

**Syntax Notes:** 1 If either PER03 or PER04 is present, then the other is required.

2 If either PER05 or PER06 is present, then the other is required.

3 If either PER07 or PER08 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to provide up to 3 communication numbers applicable to the entity

identified in the 2/NM1/0700 segment.

	Ref.	Data	·				
	Des.	<b>Element</b>	<u>Name</u>	Attr	<u>ributes</u>		
M	PER01	366	<b>Contact Function Code</b>	M	ID 2/2		
			Code identifying the major duty or responsibility of the perso	on or group named			
			Use this data element to qualify the party associated with the				
			numbers provided in the following data elements. Use only	the l	isted code.		
	DED 04	0.2	IC Information Contact	_	1374160		
Not Used	PER02	93	Name	O	AN 1/60		
			Free-form name				
	PER03	365	Communication Number Qualifier	X	ID 2/2		
			Code identifying the type of communication number				
			TE Telephone				
	PER04	364	Communication Number	X	AN 1/256		
			Complete communications number including country or area	code when			
			applicable				
			The telephone number shall be transmitted as a continuous				
			the area code and extension, if applicable. Concatenate the letter X plus the extension at the end of the number, e.g., 7035551212X123.				
	PER05	365	Communication Number Qualifier	X	ID 2/2		
	1 22100		Code identifying the type of communication number		22 2/2		
			EM Electronic Mail				
			Use to indicate an e-mail address.				
	PER06	364	Communication Number	X	AN 1/256		
	LIKOU	304	Complete communications number including country or area				
			applicable	couc	WIICH		
	PER07	365	Communication Number Qualifier	X	ID 2/2		
			Code identifying the type of communication number				
			FX Facsimile				
	PER08	364	Communication Number	X	AN 1/256		
		-	Complete communications number including country or area	code			
			applicable				
Not Used	PER09	443	Contact Inquiry Reference	O	AN 1/20		
			Additional reference number or description to clarify a contact num				

Segment: N9 Reference Identification

**Position:** 1216

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

1. When NM101 in the 2/NM1/0700 segment is code EBN (the treasurer of the reporting committee), UZ, or FN (and NM102 is code 1), use this segment to provide a Personal Identification Number (PIN) that represents the entity's verification and signature of the form 495.

2. When NM101 is code FN, use this segment to provide the Secretary of State assigned electronic filer identification number.

	Ref.	Data	Duta Elem	ent Summary			
3.7	Des.	Element	Name D. 6			ributes	
M	N901	128		Reference Identification Qualifier		ID 2/3	
			1 , 0	Reference Identification			
				ent to qualify the number cited in N902.			
			1W	Member Identification Number	. C Ct		
				Use this code to indicate the Secretary assigned electronic filer identification	•		
			4A	Personal Identification Number (PIN)	iumo		
	N902	127	Reference Identifi	cation	X	AN 1/50	
			Reference informat	ion as defined for a particular Transaction	Set (	or as	
				ference Identification Qualifier			
Not Used	N903	369	Free-form Descrip		X	AN 1/45	
	77004		Free-form descripti	ve text			
Not Used	N904	373	Date		O	DT 8/8	
	>100 F		•	Date expressed as CCYYMMDD			
Not Used	N905	337		Time		X TM 4/8	
			•	24-hour clock time as follows: HHMM, on HMMSSDD, where $H = hours (00-23)$ , M			
				onds (00-59) and $DD = \text{decimal seconds}$ ;			
				llows: $D = tenths (0-9)$ and $DD = hundred$			
Not Used	N906	623	Time Code		O	ID 2/2	
				e time. In accordance with International S			
				ard 8601, time can be specified by a + or to Universal Time Coordinate (UTC) time			
				, + and - are substituted by P and M in the			
Not Used	N907	C040	Reference Identifi	•	O		
				more reference numbers or identification i	ıumbe	ers as	
N: 4 F: 1	G0.4004	100	specified by the Re		3.5	TD 4/2	
Not Used	C04001	128	Reference Identifie	_	M	ID 2/3	
NI 4 TI 1	C0.4002	105		Reference Identification	3.7	A 3.1 1/50	
Not Used	C04002	127	Reference Identifie		M	AN 1/50	
				ion as defined for a particular Transactior ference Identification Qualifier	set	or as	

Not Used	C04003	128	Reference Identification Qualifier	$\mathbf{X}$	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as

Segment: DTM Date/Time Reference

**Position:** 1316

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: When N901 in the 2/N9/1200 segment is code 4A, use this segment to identify the date

the form 495 was signed.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ributes</u>
M	DTM01	374	Date/Time Qualific	er	M	ID 3/3
			Code specifying typ	be of date or time, or both date and time		
			EDE	Executed		
				Use this code to indicate the date the fo signed.	rm 4	95 was
	DTM02	373	Date		X	DT 8/8
			Date expressed as C	CCYYMMDD		
Not Used	DTM03	337	Time		$\mathbf{X}$	TM 4/8
Not Used	DTM04	623	HHMMSSD, or HH 59), S = integer sec	24-hour clock time as follows: HHMM, or HMMSSDD, where H = hours (00-23), M onds (00-59) and DD = decimal seconds; lows: D = tenths (0-9) and DD = hundred	= mii decin	nutes (00- nal seconds
Not Used	DTM05	1250	Organization standa in hours in relation	e time. In accordance with International S ard 8601, time can be specified by a + or - to Universal Time Coordinate (UTC) time , + and - are substituted by P and M in the Format Qualifier	and e; sin	an indication ce + is a
				date format, time format, or date and time	e forr	nat
Not Used	DTM06	1251	Date Time Period		X	AN 1/35
			Expression of a date	e, a time, or range of dates, times or dates	and t	times

Segment: NX2 Location ID Component

**Position:** 1416

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To define types and values of a geographic location

Syntax Notes: Semantic Notes:

Comments: Notes:

When N901 in the 2/N9/1200 segment cites code 4A, use this segment to identify the

location where the form 495 was signed.

	Ref.	Data	·		
	Des.	<b>Element</b>	<u>Name</u>	Attr	<u>ibutes</u>
M	NX201	1106	Address Component Qualifier	M	ID 2/2
			Code qualifying the type of address component		
			17 Unstructured City and State		
M	NX202	166	Address Information	M	AN 1/55
			Address information		
			Use this segment to identify the city and state where the form	n 495	was signed.
			The full name of the city, followed by a comma, followed by	the 2	2 position
			state code is preferred.		
Not Used	NX203	1096	County Designator	O	ID 5/5
			Code identifying the county within a state		

Segment: LQ Industry Code

**Position:** 2116

**Loop:** LQ Optional

Level: Detail Usage: Optional

Max Use: 1

**Notes:** 

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

**Semantic Notes:** Comments:

1. When NM101 is code EBN, UZ, or FN (and NM102 is code 1), use this segment to provide a verification statement regarding the content of the data submitted in the form

*495*.

2. The verification statement is identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.

3. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Ref.	Data								
Des.	<b>Element</b>	<u>Name</u>	<u>Attr</u>	<u>ributes</u>					
LQ01	1270	Code List Qualifier Code	O	ID 1/3					
		Code identifying a specific industry code list							
		Use this data element to identify the code list that contains the code specifin LQ02.							
		EAF California Campaign Disclosure Report	t Code	es					
LQ02	1271	Industry Code	X	AN 1/30					
		Code indicating a code from a specific industry code list							
	When NM101 is code EBN, UZ, or FN (and NM102 is code 6), use element to provide a verification statement regarding the content of submitted in the form 495. Enter a code from section 04 of the Californian Disclosure Code List.								

Segment: AWD Amount with Description

**Position:** 2716

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

Syntax Notes: 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

**Notes:** 

1 AWD03 is used when information source provides non-numeric values.

1. When NM101 in the 2/NM1/0700 segment is code FN, use of this segment is REQUIRED to identify the total contributions made to or on behalf of candidates and/or measures being voted upon in the election. If the contribution amount is not provided, the Secretary of State's office will reject the filing of this form 495.

2. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of a code cited in the composite data element AWD01. The following should be used in AWD01 to qualify the contribution amount:

Contributions Made: AWD01-01 code 93

	Dof	Doto	Data Element Summary		
	Ref.	Data <u>Element</u>	Name	A +++	<u>ributes</u>
M	<u>Des.</u> AWD01	C007	Amount Qualifying Description	M	<u>indutes</u>
171	111111111	2007	To qualify an amount	171	
M	C00701	522	Amount Qualifier Code	M	ID 1/3
IVI	C00701	344	_	IVI	ID 1/3
			Code to qualify amount 93 Contribution		
NI ATI I	C00503	500		0	ID 1/2
Not Used	C00702	522	Amount Qualifier Code	O	ID 1/3
	~~~~	4.500	Code to qualify amount	_	
Not Used	C00703	1638	Value Detail Code	O	ID 1/1
			Code identifying extent of an amount or quantity		
Not Used	C00704	935	Measurement Significance Code	O	ID 2/2
			Code used to benchmark, qualify or further define a measure	ment	value
Not Used	C00705	344	Unit of Time Period or Interval	O	ID 2/2
			Code indicating the time period or interval		
Not Used	C00706	1637	Net/Gross Code	O	<b>ID</b> 1/1
			Code indicating whether monetary values are net or gross		
Not Used	C00707	935	Measurement Significance Code	O	ID 2/2
			Code used to benchmark, qualify or further define a measure	ment	value
Not Used	C00708	352	Description	O	AN 1/80
			A free-form description to clarify the related data elements as	nd the	eir content
Not Used	C00709	1271	Industry Code	O	AN 1/30
			Code indicating a code from a specific industry code list		
	AWD02	782	Monetary Amount	X	R 1/18
	1111202	702	Monetary amount		1 1/10
			Use this segment to identify the monetary amount associate	d wit	h the
			qualifier codes cited in the AWD01 composite data element.		n me
Not Used	AWD03	61	Free-Form Message	X	AN 1/30
			Free-form information		
Not Used	AWD04	100	Currency Code	O	ID 3/3
	–		Code (Standard ISO) for country in whose currency the charge	_	
				J	

Segment: MTX Text

**Position:** 3516

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time per AWD loop which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	•		
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which	the note	applies
			ADD Additional Information		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	$\mathbf{X}$	ID 2/2
			A field to be used for the control of the line feed of the rec	eiving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standar maintained by the International Standards Organization (I		

Segment: HL Hierarchical Level

**Position:** 0117

Loop: HL Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

Syntax Notes: Semantic Notes: Comments:

1 The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- 2 HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- 3 HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- 4 HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- 5 HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 465, Supplemental Independent Expenditure Report.

### **Data Element Summary**

			Data Elem	chi bullilary				
	Ref. Des.	Data Element	Name		Attributes			
M	HL01	628	Hierarchical ID N	umber	M AN 1/12			
			A unique number as in a hierarchical str	ssigned by the sender to identify a particulature				
			loop. It is recommo	ent to provide a unique number that can a ended that the first HL loop be identified t and that each successive HL loop be in	by the number 1			
Not Used	HL02	734	Hierarchical Paren		O AN 1/12			
				er of the next higher hierarchical data seg ribed is subordinate to	ment that the data			
M	HL03	735	Hierarchical Level	l Code	M ID 1/2			
			Code defining the characteristic of a level in a hierarchical structure					
			1. This data element is used to identify the type of data that is being transmitted within the current HL loop.					
			2. Each of the liste	ed codes MUST be used only one time.				
			EB	Filer				
				1. Use this code to indicate that the dat	ta which			
				follows in this HL loop is applicable to				
				is submitting the data contained in this	form 465.			
				2. When this code is used, the 2/NM1/	0700 loop			
				MUST be used to identify the filer and				
				entities and the 2/AWD/2700 loop also				
			EE	to identify summary amounts for form	465.			
			EF	Expenditures				

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1. Use this code to indicate Independent

# Expenditures.

2. When this code is used, the 2/NM1/0700 segment MUST be used to identify all the payees; and the 2/AWD/2700 loop also MUST be used to identify applicable amounts.

RP Report

1. Use of this code is REQUIRED to indicate that the data which follows in this HL loop is administrative information (e.g., form number, period covered, election date).

2. When this code is used, the 2/N9/0200 loop MUST be used to carry the associated information.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Segment: N9 Reference Identification

**Position:** 0217

**Loop:** N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted; the original or amended filing identification number.

		_	Data Eler	nent Summary		
	Ref.	Data	Name		<b>A</b> 44.	
M	<u>Des.</u> N901	Element 128	Name Reference Identif	ication Qualifier		<u>ributes</u> ID 2/3
141	11701	120		ne Reference Identification	141	110 2/3
				nent to cite a code that qualifies the data	carrie	d in N902
			FQ	Form Number	carric	u in 11702.
			1 Q	Use this code to indicate the number of	of the	form heina
				transmitted.	y me	join veing
			O8	Original Filing		
			OJ	When BGN01 in the 1/BGN/0200 seg. 00, use of this code is REQUIRED to original filing number. When this codalways cite 000 in N902. If the requiperovided, the Secretary of State's officially of the amended form 465.	identi de is u ed nu	fy the esed, mber is not
				Amendment Filing When BGN01 in the 1/BGN/0200 seguse of this code is REQUIRED to indicate amendment number. When this code amended filing should cite 001. Substillings should increment the amended by 1, e.g., 002, 003, etc. If the require provided, the Secretary of State's officialing of the amended form 465.	icate t is use equen I filing ed nun	he filing d, the first t amended number nber is not reject the
	N902	127	Reference Identif	ication	X	AN 1/50
			specified by the R	ntion as defined for a particular Transaction eference Identification Qualifier de FQ, use this data element to cite the form		
Not Used	N903	369	Free-form Descri	ption	X	AN 1/45
			Free-form descript	tive text		
Not Used	N904	373	Date		O	<b>DT 8/8</b>
			Date expressed as	CCYYMMDD		
Not Used	N905	337	Time		X	TM 4/8
Not Used	N906	623	HHMMSSD, or H 59), S = integer se	24-hour clock time as follows: HHMM, of HMMSSDD, where H = hours (00-23), No conds (00-59) and DD = decimal seconds ollows: D = tenths (0-9) and DD = hundre	I = mi ; decii	nutes (00- nal seconds
1101 0364	11700	023	Time Code		J	11/4/4

Not Used	N907	C040	Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow Reference Identifier O					
			To identify one or more reference numbers or identification is specified by the Reference Qualifier	ıumb	ers as			
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3			
			Code qualifying the Reference Identification					
Not Used	C04002	127	Reference Identification	M	AN 1/50			
Not Used	C04003	128	Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier <b>Reference Identification Qualifier</b>	Set o	or as ID 2/3			
			Code qualifying the Reference Identification					
Not Used	C04004	127	Reference Identification	$\mathbf{X}$	AN 1/50			
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as			
Not Used	C04005	128	Reference Identification Qualifier	X	<b>ID 2/3</b>			
			Code qualifying the Reference Identification					
Not Used	C04006	127	Reference Identification	X	AN 1/50			
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as			

Segment: DTM Date/Time Reference

**Position:** 0317

**Loop:** N9 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: When HL03 cites code RP, use this segment is REQUIRED to identify the reporting

period for the data contained in form 465 and the date of the election (if applicable).

	Data Element Summary								
	Ref. Des.	Data <u>Element</u>	<u>Name</u>	·		ributes			
M	DTM01	374	Date/Time Qualific		M	ID 3/3			
			1 , 0 , 1	be of date or time, or both date and time					
			Use this data eleme DTM02	Use this data element to cite a code that qualifies the date identified in OTM02					
			193	Period Start					
				Use this code to indicate the ''from'' date for a reporting period.					
			194	Period End					
				Use this code to indicate the 'through' reporting period.	' date	e for a			
			840 Election						
				Use this code to indicate the date of an applicable.	elect	ion, if			
	DTM02	373	Date		X	<b>DT 8/8</b>			
			Date expressed as CCYYMMDD						
			When DTM01 is co	de 840, use this data element to identify	the e	lection date.			
Not Used	DTM03	337	Time		X	TM 4/8			
				24-hour clock time as follows: HHMM, or IMMSSDD, where H = hours (00-23), M					
				onds (00-59) and DD = decimal seconds; lows: D = tenths (0-9) and DD = hundred					
Not Used	DTM04	623	Time Code		O	ID 2/2			
			Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a						
			restricted character,	+ and - are substituted by P and M in the	code	s that follow			
Not Used	DTM05	1250	Date Time Period	Format Qualifier	X	ID 2/3			
			•	date format, time format, or date and time	e forr	nat			
Not Used	<b>DTM06</b>	1251	<b>Date Time Period</b>		X	AN 1/35			
			Expression of a date	e, a time, or range of dates, times or dates	and t	imes			

MTX Text **Segment:** 

**Position:** 0617

> Loop: N9 Optional (Must Use)

Level: Detail Usage: Optional Max Use: >1

**Purpose:** 

To specify textual data

If MTX01 is present, then MTX02 is required. **Syntax Notes:** 

- If MTX03 is present, then MTX02 is required.
- If MTX05 is present, then MTX04 is required. 3

**Semantic Notes: Comments:**  1 MTX05 is the number of lines to advance before printing.

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 

1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	·		
	Des.	<b>Element</b>	<u>Name</u>	Attı	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	$\mathbf{X}$	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	$\mathbf{X}$	ID 2/2
			A field to be used for the control of the line feed of the receive	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard maintained by the International Standards Organization (ISC		

Segment: NM1 Individual or Organizational Name

**Position:** 0717

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use:

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

1 NM110 and NM111 further define the type of entity in NM101.

**Notes:** 

1. When HL03 in the 2/HL/0100 segment is code EB, use this segment as many times as necessary to identify the entity filing form 465 and all entities associated with the filer.

- 2. When HL03 in the 2/HL/0100 segment is code EF, use this segment as many times as necessary to identify all payees of independent expenditures.
- 3. Each identified payee (NM101 is code PE) and associated entity (NM101 is code VN Vendor) MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the cross reference number in N902. The cross reference number of the payee and associated entity MUST be the same.

			Data Elem	ent Summary		
M	Ref. <u>Des.</u> NM101	Data Element 98	Name Entity Identifier C	ode	Attributes M ID 2/3	
			Code identifying an individual	organizational entity, a physical location	i, property or an	
			Use this segment to qualify the entity identified in the following data elements.			
			7F	Filing Address		
				<ol> <li>When HL03 in the 2/HL/0100 segmuse this code to indicate a filing officer most recent campaign statements have</li> <li>When this code is used, NM102 shows the code is used.</li> </ol>	r with whom the been filed.	
			EBN	Treasurer		
				1. When NM101 is code FN and NM1 use of this code is REQUIRED to indicof a committee.		
				2. When this code is used, NM102 sho and the following segments MUST be a PIN number that represents the entit date of the signature, the location of the	used to provide ty's signature, a	

FN Filer Name

1. When HL03 in the 2/HL/0100 segment is code EB, use of this code is REQUIRED to indicate the entity submitting the data.

signing, and a verification: 2/N9/1200, 2/DTM/1300,

2/NX2/1400, and 2/LO/2100.

2. When this code is used, NM102 may cite code 1 or 6, the 2/N9/1200 segment should be used to provide a Secretary of State assigned electronic filer identification number, and the 2/AWD/2700 segment MUST be used to provide summary amounts.

- 3. When this code is used and NM102 is code 1, the following segments MUST be used to provide a PIN number that represents the entity's signature, a date of the signature, the location of the document signing, and a verification, 2/N9/1200, 2/DTM/1300, 2/NX2/1400, and 2/LQ/2100.
- 4. When this code is used and NM102 is code 6, NM108 MUST be used if a committee identification number has been assigned. If an identification number has not been assigned, the 2/LQ/2100 segment MUST be used.

PE Payee

- 1. When HL03 in the 2/HL/0100 segment is code EF, use of this code is REQUIRED to indicate the payee of an independent expenditure.
- 2. If the payee is different than the vendor who provided the goods or services, another iteration of this NM1 loop is necessary citing code VN in NM101, and the 2/N9/1200 segment MUST be used to provide a cross reference number.
- 3. When this code is used, NM102 may cite code 1, 2, or 6, and the 2/AWD/2700 segment MUST be used to provide the expenditure amount.

Signer

- 1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate the additional officeholders/candidates who control the committee and must sign the form 465.
- 2. When this code is used, NM102 should cite code 1, and the following segments MUST be used to provide a PIN number that represents the entity's signature, a date of the signature, the location of the document signing, and a verification: 2/N9/1200, 2/DTM/1300, 2/NX2/1400, and 2/LO/2100.

Candidate

- 1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate the candidate that was supported or opposed by the independent expenditures.
- 2. When this code is used, NM102 should cite code 1, and the 2/LQ/2100 segment MUST be used to identify the office sought by the candidate and to indicate whether the candidate was supported or opposed. Vendor
- 1. When NM101 is code PE, use this code to indicate the vendor who provided the goods or service if different than the payee.
- 2. When this code is used, NM102 may be code 1, 2, or 6, and the 2/N9/1200 segment MUST be used to provide a cross reference number.

Mutually Defined

1. When HL03 in the 2/HL/0100 segment is code EB, use this code to indicate the ballot measure that was supported or opposed by the independent expenditure.

UZ

VN

ZZ

VB

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2. When this code is used, NM102 should cite code 2, and the 2/N9/1200 segment Must be used to identify the ballot measure number or letter, and the 2/LQ/2100 segment MUST be used to identify the jurisdiction in which the ballot measure will be voted upon and to indicate whether the ballot measure was opposed or supported.

M	NM102	1065	Entity Type Qua	alifier	M	ID 1/1		
			Code qualifying the type of entity					
			Use this data element to identify the entity qualified in NMI			s an		
			individual, a con	nmittee, or an entity other than a person or	com.	mittee.		
			1	Person				
				Use this code to indicate an individual				
			2	Non-Person Entity				
				Use this code to indicate an entity other	er tha	n an		
				individual or a committee.				
			6	Organization				
3.5	ND 5400	1005		Use this code to indicate a committee.		137.4160		
Must Use	NM103	1035		rganization Name	O	AN 1/60		
				me or organizational name				
				ta element is REQUIRED to provide the le	ist na	me of a		
			person, or the na	me of an organization.				
			2. When NM102	is code 1 and the person has a moniker (i	nickn	ame). use the		
				ent to provide the moniker.		unic), use inc		
				-				
				is code 2 or 6 and the name of the organi				
				rs, use the 2/N2/0800 segment to provide t	he ba	lance of the		
	NM104	1036	<i>name organizatio</i> Name First	on's name.	0	AN 1/35		
	1411114	1030	Individual first na	ame	O	AN 1/33		
	NM105	1037	Name Middle	anic	0	AN 1/25		
	MMIIUS	1037	Individual middle	nome or initial	U	AN 1/25		
	NIM 104	1038	Name Prefix	e name of mittar	0	A NI 1/10		
	NM106	1038		1	U	AN 1/10		
	NIN #10#	1020	Prefix to individu	iai name	0	A NI 1/10		
	NM107	1039	Name Suffix	1	0	AN 1/10		
	NTN #100		Suffix to individu		<b>T</b> 7	ID 1/2		
	NM108	66	Identification C		X	ID 1/2		
			Code designating Code (67)	the system/method of code structure used	tor Id	or identification		
				is code FN and NM102 is code 6, use of t	of this data element			
				f a state assigned identification number ha				
				tification number has been assigned and r				
			data element, the 465.	e Secretary of State's office will reject the f	iling	of this form		
			75	State or Province Assigned Number				
				Use to indicate the state assigned com	mittee	9		
				identification number.				
	NM109	67	<b>Identification C</b>	ode	X	AN 2/80		
			Code identifying	a party or other code				
				ment to provide the state assigned committ	ee ide	entification		
			, <b>u</b>	as been assigned.				
Not Used	NM110	706	Entity Relations	_	X	ID 2/2		
			=	entity relationship				
Not Used	NM111	98	Entity Identifier	Code	O	ID 2/3		

Code identifying an organizational entity, a physical location, property or an individual

Segment: N2 Additional Name Information

**Position:** 0817

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 2

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

Notes:

1. When NM102 is code 1, and the person has a moniker (nickname), use this segment to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an organization name that is greater than 60 characters.

M	Ref. <u>Des.</u> N201	Data <u>Element</u> 93	Name Name Free-form name	Attributes M AN 1/60
			<ol> <li>When providing a moniker, insert a space after the monumeric 1, 2, or 3.</li> <li>= moniker is before the first name</li> <li>= moniker is between the first and middle name</li> </ol>	oniker and cite the
	N202	93	3 = moniker is between the middle and last name Name	O AN 1/60
	11202	73	Free-form name	O AN 1/00

Segment: N3 Address Information

**Position:** 0917

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

N	Ref. <u>Des.</u>	Data Element	Name	Attributes
M	N301	166	Address Information Address information	M AN 1/55
	N302	166	Address Information	O AN 1/55
			Address information	

Segment: N4 Geographic Location

**Position:** 1017

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 1

Purpose: To specify the geographic place of the named party
Syntax Notes: 1 Only one of N402 or N407 may be present.

2 If N406 is present, then N405 is required.3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 

A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location.

N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref. Des.	Data Element	Name		ributes
	N401	19	City Name	O	AN 2/30
			Free-form text for city name		
	N402	156	State or Province Code	X	ID 2/2
			Code (Standard State/Province) as defined by appropriate government	vernn	nent agency
Must Use	N403	116	Postal Code	O	ID 3/15
			Code defining international postal zone code excluding punct (zip code for United States)	uatio	n and blanks
			This data element MUST be used to provide a ZIP code.		
Not Used	N404	26	Country Code	X	ID 2/3
			Code identifying the country		
Not Used	N405	309	Location Qualifier	X	ID 1/2
			Code identifying type of location		
Not Used	N406	310	Location Identifier	O	AN 1/30
			Code which identifies a specific location		
Not Used	N407	1715	Country Subdivision Code	$\mathbf{X}$	ID 1/3
			Code identifying the country subdivision		

Segment: PER Administrative Communications Contact

**Position:** 1117

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To identify a person or office to whom administrative communications should be directed

**Syntax Notes:** 1 If either PER03 or PER04 is present, then the other is required.

2 If either PER05 or PER06 is present, then the other is required.

3 If either PER07 or PER08 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to provide up to 3 communication numbers applicable to the entity

identified in the 2/NM1/0700 segment.

	Ref.	Data	v						
	Des.	<b>Element</b>	<u>Name</u>	_	<u>ibutes</u>				
M	PER01	366	Contact Function Code		ID 2/2				
			Code identifying the major duty or responsibility of the perso	n or	group named				
			Use this data element to qualify the party associated with th						
				mbers provided in the following data elements. Use only the listed cod					
	DED 0.4	0.2	IC Information Contact	_	137.4760				
Not Used	PER02	93	Name	0	AN 1/60				
			Free-form name						
	PER03	365	Communication Number Qualifier	X	ID 2/2				
			Code identifying the type of communication number						
			TE Telephone						
	PER04	364	Communication Number	X	AN 1/256				
			Complete communications number including country or area applicable	code	when				
			applicable The telephone number shall be transmitted as a continuous number. Includ						
			-	he area code and extension, if applicable. Concatenate the letter X plus the					
			extension at the end of the number, e.g., 7035551212X123.						
	PER05	365	Communication Number Qualifier	X	ID 2/2				
			Code identifying the type of communication number						
			EM Electronic Mail						
			Use to indicate an e-mail address.						
	PER06	364	Communication Number	X	AN 1/256				
			Complete communications number including country or area applicable	code	when				
	PER07	365	Communication Number Qualifier	$\mathbf{X}$	ID 2/2				
			Code identifying the type of communication number						
			FX Facsimile						
	PER08	364	Communication Number	$\mathbf{X}$	AN 1/256				
			Complete communications number including country or area applicable	code	when				
Not Used	PER09	443	Contact Inquiry Reference	O	AN 1/20				
			Additional reference number or description to clarify a contact number						

Segment: N9 Reference Identification

Position: 1217

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code EBN (the treasurer of the reporting committee), UZ, or FN (and NM102 is code 1), use this segment to provide a Personal Identification Number (PIN) that represents the entity's verification and signature of the form 465.
- 2. When NM101 is code FN, use this segment to provide the Secretary of State assigned electronic filer identification number.
- 3. When NM101 is code PE or VN, use this segment to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.
- 4. When NM101 in the 2/NM1/0700 segment is code ZZ, use this segment to identify the ballot measure number or letter.

# **Data Element Summary**

	Ref.	Data	Data E	acinetic Summary			
	Des.	Element	Name		Attı	ributes	
M	N901	128		ntification Qualifier		ID 2/3	
				g the Reference Identification			
				lement to qualify the number cited in N902.			
			1W	Member Identification Number			
				Use this code to indicate the Secretary	-		
			4.4	assigned electronic filer identification	numl	per.	
				4A Personal Identification Number (PIN)			
			6O	6O Cross Reference Number			
				Use this code to indicate a cross reference number that			
				is used to associate entities identified	in the		
				2/NM1/0700 segment.			
			DIS	District Number			
			ECB	Ballot Identifier			
	N902	127	Reference Idea	ntification	X	AN 1/50	
			Reference infor	rmation as defined for a particular Transactio	n Set	or as	
				e Reference Identification Qualifier			
Not Used	N903	369	Free-form Des	scription	X	AN 1/45	
			Free-form desc	riptive text			
Not Used	N904	373	Date		O	<b>DT 8/8</b>	
			Date expressed	as CCYYMMDD			
Not Used	N905	337	Time		X	TM 4/8	
			-	l in 24-hour clock time as follows: HHMM, or HHMMSSDD, where H = hours (00-23), M			

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Not Used	N906	623	59), S = integer seconds (00-59) and DD = decimal seconds; decimal are expressed as follows: D = tenths (0-9) and DD = hundredths (00 Time Code O				
			Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that for				
Not Used	N907	C040	Reference Identifier	O			
	G0.4004	100	To identify one or more reference numbers or identification specified by the Reference Qualifier				
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3		
			Code qualifying the Reference Identification	М			
Not Used	C04002	127	Reference Identification		AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	a Set	or as		
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04004	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as		
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04006	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as		

Segment: DTM Date/Time Reference

**Position:** 1317

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: When N901 in the 2/N9/1200 segment is code 4A, use this segment to identify the date

the form 465 was signed.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		<u>Attr</u>	<u>ributes</u>
M	DTM01	374	Date/Time Qualif	ïer	M	ID 3/3
			Code specifying ty	rpe of date or time, or both date and time		
			EDE	Executed		
				Use this code to indicate the date the for signed.	rm 4	65 was
	DTM02	373	Date		X	DT 8/8
			Date expressed as	CCYYMMDD		
Not Used	DTM03	337	Time		X	TM 4/8
Not Used	DTM04	623	HHMMSSD, or H 59), S = integer see	24-hour clock time as follows: HHMM, or HMMSSDD, where H = hours (00-23), M conds (00-59) and DD = decimal seconds; bllows: D = tenths (0-9) and DD = hundred	= min decin	nutes (00- nal seconds
Not Used	DTM05	1250	Organization stand in hours in relation restricted character	the time. In accordance with International Stard 8601, time can be specified by a + or - a to Universal Time Coordinate (UTC) times, + and - are substituted by P and M in the Format Qualifier	and e; sin	an indication ce + is a
noi Osea	D 1 M102	1250		•		
<b>.</b>	D	40.54	•	e date format, time format, or date and tim		
Not Used	DTM06	1251	Date Time Period		X	AN 1/35
			Expression of a da	te, a time, or range of dates, times or dates	and t	times

Segment: NX2 Location ID Component

**Position:** 1417

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To define types and values of a geographic location

Syntax Notes: Semantic Notes: Comments:

Notes:

When N901 in the 2/N9/1200 segment cites code 4A, use this segment to identify the

location where the form 465 was signed.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	<u>Attr</u>	<u>ributes</u>
M	NX201	1106	Address Component Qualifier	M	ID 2/2
			Code qualifying the type of address component		
			17 Unstructured City and State		
			Use this code when N9 in the 2/N9/code 4A.	1200 seg	ment is
M	NX202	166	Address Information	M	AN 1/55
			Address information		
			When NX201 is code 17, use this segment to identify the the form 465 was signed. The full name of the city, followed by the 2 position state code is preferred.		
Not Used	NX203	1096	<b>County Designator</b>	O	ID 5/5
			Code identifying the county within a state		

Segment: LQ Industry Code

Position: 2117

Loop: LQ Optional

Level: Detail Usage: Optional

Max Use:

**Notes:** 

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

Semantic Notes: Comments:

- 1. When NM101 in the 2/NM1/0700 segment is code is code FN and NM102 is code 6, use this segment to identify the type of committee and if a state assigned identification number HAS NOT been received.
- 2. When NM101 is code VB, three iterations of this segment are necessary. One to identify the office, a second one to identify whether it is sought or held, and a third one to identify whether the contribution or independent expenditure was made to support or oppose the entity.
- 3. When NM101 in the 2/NM1/0700 segment is code ZZ, use this segment to identify the jurisdiction where the ballot measure will be voted upon and whether the contribution or independent expenditure was made to support or oppose the ballot measure.
- 4. When NM101 is code EBN, UZ, or FN (and NM102 is code 1), use this segment to provide a verification statement regarding the content of the data submitted in the form 465.
- 5. The type of committee, office held, verification statement, and notation that a state assigned identification number has not been received, are identified by a code contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 6. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Data			
<b>Element</b>	Name	Attr	<u>ibutes</u>
1270	Code List Qualifier Code	0	ID 1/3
	Code identifying a specific industry code list		
	Use this data element to identify the code list that contains t in LQ02.	he co	de specified
	EAF California Campaign Disclosure Report	Code	es
1271	Industry Code	$\mathbf{X}$	AN 1/30
	Code indicating a code from a specific industry code list		
	specify a Committee Type Code from section 02 of the Calif Disclosure Code List. And also, if applicable, to indicate the assigned identification number HAS NOT been received by from section 04 of the California Campaign Disclosure Code. When NM101 is code VB, enter codes from sections 03 a California Campaign Disclosure Code List.	ornia at a s mean le Lis	tate tate ns of a code t. 4 of the
	Element 1270	Element 1270 Code List Qualifier Code Code identifying a specific industry code list  Use this data element to identify the code list that contains to in LQ02.  EAF California Campaign Disclosure Report  1271 Industry Code Code indicating a code from a specific industry code list  1. When NM101 is code FN and NM102 is code 6, use this specify a Committee Type Code from section 02 of the Calify Disclosure Code List. And also, if applicable, to indicate the assigned identification number HAS NOT been received by from section 04 of the California Campaign Disclosure Code  2. When NM101 is code VB, enter codes from sections 03 at California Campaign Disclosure Code List.  3. When NM101 is code ZZ, enter a code from section 08 of the California Campaign Disclosure Code List.	Element 1270 Code List Qualifier Code Code identifying a specific industry code list  Use this data element to identify the code list that contains the code in LQ02.  EAF California Campaign Disclosure Report Code 1271 Industry Code Code indicating a code from a specific industry code list  1. When NM101 is code FN and NM102 is code 6, use this data specify a Committee Type Code from section 02 of the California Disclosure Code List. And also, if applicable, to indicate that a seassigned identification number HAS NOT been received by mean from section 04 of the California Campaign Disclosure Code List.  2. When NM101 is code VB, enter codes from sections 03 and 04 California Campaign Disclosure Code List.  3. When NM101 is code ZZ, enter a code from section 08 of the

- 4. When code 08-CIT, 08-CTY, 08-LOC, or 08-OTH is cited in this data element, use the 2/MTX/0600 segment to identify the city. county, local or other jurisdiction, in text.
- 4. When code 08-SEN, 08-ASM, or 08-BOE is cited in this data element, use the 2/N9/0200 segment to identify the district.
- 6. When code 03-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the office in text.
- 7. When NM101 is code EBN or UZ, use this data element to provide a verification statement regarding the content of the data submitted in the form 465. Enter a code from section 04 of the California Campaign Disclosure Code List.

Segment: N9 Reference Identification

**Position:** 2217

Loop: LQ Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

2 If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required.

4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

Notes: When LQ02 in the 2/LQ/2100 segment cites code 08-SEN, 08-ASM, or 08-BOE from

the California Campaign Disclosure Code List, use this segment to identify the district

within the jurisdiction.

#### **Data Element Summary**

	T. 6	<b>D</b> 4	Data Element Summ	агу		
	Ref.	Data	<b>N</b> T		A 44	
M	Des.	Element	Name Defended Libertiff and Consider Consideration			ributes
M	N901	128	Reference Identification Qu		M	ID 2/3
			Code qualifying the Reference			
			Use this data element to qual	<del>= =</del>		
			DIS District	Number		
				code to indicate the district of to the ballot measure.	the o	ffice
	N902	127	Reference Identification		X	AN 1/50
			Reference information as defi specified by the Reference Ide	ned for a particular Transaction entification Qualifier	Set	or as
Not Used	N903	369	Free-form Description		X	AN 1/45
			Free-form descriptive text			
Not Used	N904	373	Date		O	<b>DT 8/8</b>
			Date expressed as CCYYMM	DD		
Not Used	N905	337	Time		X	TM 4/8
Not Used	N906	623	HHMMSSD, or HHMMSSDI 59), S = integer seconds (00-5	ock time as follows: HHMM, or D, where H = hours (00-23), M = 59) and DD = decimal seconds; tenths (0-9) and DD = hundreds	= mi decir	nutes (00- nal seconds
110t Oscu	11000	023		accordance with International S	_	
Not Used	N907	C040	Organization standard 8601, t in hours in relation to University	ime can be specified by a + or -sal Time Coordinate (UTC) time re substituted by P and M in the	and e; sin	an indication ce + is a
,	- 1.5 - 7 -			ence numbers or identification n	_	ers as
			specified by the Reference Qu			
Not Used	C04001	128	<b>Reference Identification Qu</b>		M	ID 2/3
			Code qualifying the Reference	e Identification		
Not Used	C04002	127	Reference Identification		M	AN 1/50
			specified by the Reference Ide			
Not Used	C04003	128	Reference Identification Qu		X	ID 2/3
			Code qualifying the Reference	e Identification		
Not Used	C04004	127	<b>Reference Identification</b>		X	AN 1/50
			Reference information as defi specified by the Reference Ide	ned for a particular Transaction entification Qualifier	Set	or as

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Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set o	or as

Segment: MTX Text

**Position:** 2617

Loop: LQ Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

Syntax Notes: 1 If MTX01 is present,

If MTX01 is present, then MTX02 is required.
 If MTX03 is present, then MTX02 is required.

3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. When LQ02 in the 2/LQ/2100 segment cites code 03-OTH, use this segment to identify the office sought.

2. When LQ02 cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city. county, local or other jurisdiction.

3. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

4. This implementation convention limits the use of this segment to a maximum of one time per LQ loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	Duvu L			
	Des.	<b>Element</b>	<u>Name</u>		Att	<u>ributes</u>
	MTX01	363	Note Reference	Code	O	ID 3/3
			Code identifying	g the functional area or purpose for which the	e note	applies
			Use this data el	ement to qualify the text carried in MTX02.		
			EAM	Jurisdiction		
			EAO	Description of Office		
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit larg	e volumes of message text		
				nt is limited to a maximum of 40 characters sk of the data not being received by the Sec		
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit larg	e volumes of message text		
Not Used	MTX04	934	Printer Carria	ge Control Code	X	ID 2/2
			A field to be use	ed for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic numb	er		
Not Used	MTX06	819	Language Code	e	O	ID 2/3
			_	g the language used in text, from a standard ne International Standards Organization (ISC		

Segment: AWD Amount with Description

**Position:** 2717

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

**Syntax Notes:** 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

Semantic Notes: Comments:

Notes:

1 AWD03 is used when information source provides non-numeric values.

- 1. When NM101 in the 2/NM1/0700 segment is code FN, use this segment to identify summary amounts for the form 465.
- 2. When NM101 in the 2/NM1/0700 segment is code PE, use this segment to identify the expenditure amount and the cumulative calendar year to date amount for each payee. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.
- 3. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of several codes cited in the composite data element AWD01. The receiving application must search each sub-data element in AWD01 to insure that the amount is properly identified. The following combination of codes should be used in AWD01 (AWD01-01 through AWD01 09) to qualify the following amounts:

Independent Expenditure Amount:

AWD01-01 code EBB

Cumulative Calendar Year to Date:

AWD01-01 code EBB AWD01-03 code 2

Summary - Line 1 AWD01-01 code EBB AWD01-09 code 05-A1

Summary - Line 2 AWD01-01 code EBB AWD01-09 code 05-A2

Summary - Line 3 AWD01-01 code EBB AWD01-03 code 8

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		<u>Attı</u>	<u>ributes</u>
M	AWD01	C007	<b>Amount Qua</b>	llifying Description	M	
			To qualify an	amount		
M	C00701	522	<b>Amount Qua</b>	lifier Code	$\mathbf{M}$	ID 1/3
			Code to quali	fy amount		
			EBB	Expenditure Amount		
Not Used	C00702	522	<b>Amount Qua</b>	lifier Code	O	ID 1/3
			Code to quali	fy amount		
	C00703	1638	Value Detail	Code	O	ID 1/1

			Code identifying extent of an amount or quantity		
			2 Cumulative		
			8 Total		
Not Used	C00704	935	Measurement Significance Code	O	ID 2/2
			Code used to benchmark, qualify or further define a measure	ment	value
Not Used	C00705	344	Unit of Time Period or Interval	O	ID 2/2
			Code indicating the time period or interval		
Not Used	C00706	1637	Net/Gross Code	O	<b>ID</b> 1/1
			Code indicating whether monetary values are net or gross		
Not Used	C00707	935	<b>Measurement Significance Code</b>	O	<b>ID 2/2</b>
			Code used to benchmark, qualify or further define a measure	ment	value
Not Used	C00708	352	Description	O	AN 1/80
			A free-form description to clarify the related data elements a	nd the	eir content
	C00709	1271	Industry Code	O	AN 1/30
			Code indicating a code from a specific industry code list		
			<ol> <li>Use this data element to cite a code from the California Disclosure Code List Section 05.</li> <li>Cite either code 05-A1 or 05-A2 only.</li> </ol>	Camp	oaign
	AWD02	782	Monetary Amount	X	R 1/18
			Monetary amount		
			Use this segment to identify the monetary amount associate qualifier codes cited in the AWD01 composite data element		h the
Not Used	AWD03	61	Free-Form Message	X	AN 1/30
			Free-form information		
Not Used	AWD04	100	Currency Code	O	ID 3/3
			Code (Standard ISO) for country in whose currency the char	ges ar	e specified

Segment: DTM Date/Time Reference

**Position:** 2817

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the expenditure date.

	Ref.	Data	A.		• •
М	Des.	Element 274	Name Data/Time Qualifier	_	ributes
M	DTM01	374	Date/Time Qualifier	M	ID 3/3
			Code specifying type of date or time, or both date and time		
			Date Paid		
	DTM02	373	Date	$\mathbf{X}$	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	DTM03	337	Time	$\mathbf{X}$	TM 4/8
Not Used	DTM04	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	= mi decir	nutes (00- nal seconds
			Code identifying the time. In accordance with International S Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the	- and e; sin	an indication ce + is a es that follow
Not Used	DTM05	1250	Date Time Period Format Qualifier	X	ID 2/3
			Code indicating the date format, time format, or date and time	e fori	mat
Not Used	DTM06	1251	Date Time Period	X	AN 1/35
			Expression of a date, a time, or range of dates, times or dates	and t	times

Segment: N9 Reference Identification

**Position:** 3117

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

Purpose: To Syntax Notes: 1

To transmit identifying information as specified by the Reference Identification Qualifier

1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

1. When NM101 is code PE, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a payee. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the payee. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.

2. The Secretary of State's office will reject the filing of the form 465 if this transaction identifier is not a provided.

	Ref.	Data	·		
	Des.	<b>Element</b>	<u>Name</u>		<u>ributes</u>
M	N901	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
			TN Transaction Reference Number		
			Use this code to indicate a monetary to identifier applicable to an amount. The transaction identifier MUST be the seamounts within the same monetary transaction identifier MUST be used where the monetary transaction is preamentments.	his mod ume for ansact moneto in all s	netary r all tion ary schedules
	N902	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier		
Not Used	N903	369	Free-form Description	X	AN 1/45
			Free-form descriptive text		
Not Used	N904	373	Date	O	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	N905	337	Time	X	TM 4/8
			Time expressed in 24-hour clock time as follows: HHMM, HHMMSSD, or HHMMSSDD, where H = hours (00-23), N 59), S = integer seconds (00-59) and DD = decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundred	M = mis; decir	nutes (00- nal seconds
Not Used	N906	623	Time Code	O	ID 2/2
Not Used	N907	C040	Code identifying the time. In accordance with International Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) tir restricted character, + and - are substituted by P and M in the Reference Identifier	· - and ne; sin	an indication ce + is a
1,30 0504	21207	20.0	To identify one or more reference numbers or identification specified by the Reference Qualifier	_	ers as

Not Used	C04001	128	Reference Identification Qualifier M I		ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transact specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transact specified by the Reference Identification Qualifier	ion Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	$\mathbf{X}$	AN 1/50
			Reference information as defined for a particular Transact specified by the Reference Identification Qualifier	ion Set	or as

MTX Text **Segment:** 

**Position:** 3517

> Loop: **AWD** Optional

Level: Detail Usage: Optional Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** If MTX01 is present, then MTX02 is required.

- If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes: Comments:**  1 MTX05 is the number of lines to advance before printing.

If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

**Notes:** 1. Use this segment to provide additional text information related to the amount cited in the 2/AWD/2700 segment.

> 2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time with each listed MTX01 code per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attr	<u>ibutes</u>
	MTX01	363	Note Reference Co	ode	O	ID 3/3
			Code identifying th	e functional area or purpose for which the	note	applies
			ADD	Additional Information		
			PMT	Payment		
				Use this code to indicate an expenditur	e des	cription.
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit large vo	olumes of message text		
			data element.  2. When MTX01 is	s code ADD, the full 4096 characters may s code PMT, this data element is limited t it runs the risk of the Secretary of State's	to 90	characters.
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit large vo	olumes of message text		
Not Used	MTX04	934	Printer Carriage (	Control Code	X	ID 2/2
			A field to be used for	or the control of the line feed of the receiv	ing p	rinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic number			
Not Used	MTX06	819	Language Code		O	ID 2/3
				ne language used in text, from a standard on ternational Standards Organization (ISO		

**HL** Hierarchical Level **Segment:** 

**Position:** 0118

> HLLoop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To identify dependencies among and the content of hierarchically related groups of data

segments

**Syntax Notes: Semantic Notes: Comments:** 

The HL segment is used to identify levels of detail information using a hierarchical structure, such as relating line-item data to shipment data, and packaging data to lineitem data.

The HL segment defines a top-down/left-right ordered structure.

- HL01 shall contain a unique alphanumeric number for each occurrence of the HL segment in the transaction set. For example, HL01 could be used to indicate the number of occurrences of the HL segment, in which case the value of HL01 would be "1" for the initial HL segment and would be incremented by one in each subsequent HL segment within the transaction.
- HL02 identifies the hierarchical ID number of the HL segment to which the current HL segment is subordinate.
- HL03 indicates the context of the series of segments following the current HL segment up to the next occurrence of an HL segment in the transaction. For example, HL03 is used to indicate that subsequent segments in the HL loop form a logical grouping of data referring to shipment, order, or item-level information.
- HL04 indicates whether or not there are subordinate (or child) HL segments related to the current HL segment.

**Notes:** 

This HL loop illustrates the submission of data required by California form 497, Late Contribution Report.

	Data Element Summary						
	Ref.	Data		-			
	Des.	<b>Element</b>	<u>Name</u>		<b>Attributes</b>		
M	HL01	628	Hierarchical ID No	ımber	M AN 1/12		
			A unique number as	ssigned by the sender to identify a particul	ar data segment		
			in a hierarchical stru	acture	_		
				nt to provide a unique number that can i			
				ended that the first HL loop be identified			
				t and that each successive HL loop be inc	•		
Not Used	HL02	734	Hierarchical Parer	nt ID Number	O AN 1/12		
				er of the next higher hierarchical data seg	ment that the data		
				ribed is subordinate to			
M	HL03	735	Hierarchical Level		M ID 1/2		
			Code defining the c	haracteristic of a level in a hierarchical str	ructure		
			1. This data element is used to identify the type of data that is being				
			transmitted within t	the current HL loop.			
				d codes MUST be used only one time.			
			EB	Filer			
				1. Use this code to indicate the submitt	er of the data		
				on form 497.			
					0700		
				2. When this code is used, the 2/NM1/0	1/00 segment		
			EC	MUST be used to identify the filer. Receipts			
			EC	*			
				1. Use this code to indicate contributio	ns receivea.		
				2 When this code is used the 2/NM1/	0700 loon		
				2. When this code is used, the 2/NM1/0	7700 toop		

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MUST be used to identify all of the contributors and

the 2/AWD/2700 loop MUST also be used to identify applicable amounts.

EF Expenditures

- 1. Use this code to indicate contributions made.
- 2. When this code is used, the 2/NM1/0700 loop MUST be used to identify all of the recipients of contributors and the 2/AWD/2700 loop MUST also be used to identify applicable amounts.

RP Report

- 1. Use this code to indicate that the data which follows in this HL loop is information applicable to the form being submitted.
- 2. When this code is used, the 2/N9/0200 segment MUST be used to identify the form number, the report or amendment number and previous report number, as applicable; and the 2/DTM/300 segment MUST be used to identify the filing date.

Not Used HL04 736 Hierarchical Child Code

O ID 1/1

Code indicating if there are hierarchical child data segments subordinate to the level being described

Reference Identification **Segment:** 

**Position:** 0218

> N9 Loop: Optional (Must Use)

Level: Detail

Usage: Optional (Must Use)

Max Use:

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

At least one of N902 or N903 is required. **Syntax Notes:** 

If N906 is present, then N905 is required.

3 If either C04003 or C04004 is present, then the other is required. 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** When HL03 is code RP, use of this segment is REQUIRED to identify the number of the form that is being submitted; the original or amended filing identification number,

and a unique report or amendment number.

#### **Data Element Summary**

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
$\mathbf{M}$	N901	128	Reference Identification Qualifier	M ID 2/3

Code qualifying the Reference Identification

Use this data element to cite a code that qualifies the data carried in N902.

92 Change Document Number

- 1. When BGN01 in the 1/BGN/0200 segment is code C0, and HL03 in the 2/HL/0100 segment cites code RP, use of this code is REQUIRED to provide a unique identifying number for each form 497 that is being amended.
- 2. Although any number format may be used, it is recommended that the assigned number be related to the original unique report number by using the original unique report number and adding -1 for the first amendment, -2 for the second amendment, etc.
- 3. When this code is used, N901 MUST be used with N907-01 citing code PJC to identify the unique number of the report being amended. If this is the first amendment, the number cited in N907-02 should be the original unique report number. If this is the second amendment, the number cited in N907-02 should be the number assigned to the first amendment.

FQ Form Number

Use this code to indicate the number of the form being

transmitted.

08 Original Filing

> When BGN01 in the 1/BGN/0200 segment cites code 00, use of this code is REOUIRED to identify the original filing number. When this code is used, always cite 000 in N902. If the required number is not provided, the Secretary of State's office will reject the filing of the amended form 497.

Amendment Filing

When BGN01 in the 1/BGN/0200 segment is code CO. use of this code is REQUIRED to indicate the filing amendment number. When this code is used, the first amended filing should cite 001. Subsequent amended

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filings should increment the amended filing number
by 1, e.g., 002, 003, etc. If the required number is not
provided, the Secretary of State's office will reject the
filing of the amended form 497.
Report Number

RPT Report Number

1. When BGN01 in the 1/BGN/0200 segment is code 00, and HL03 in the 2/HL/0100 segment cites code RP, use of this code is REQUIRED to provide a unique identifying number for each form 497 that is filed.

2. Any numbering scheme may be used (e.g., 1, 2, 3, PR-1, PR-2, PR-3, etc.) and the report numbers do not have to be sequential.

				nave to be sequential.		
	N902	127	Reference Idea		X	AN 1/50
				rmation as defined for a particular Transa	action Set o	or as
				e Reference Identification Qualifier code FQ, use this data element to cite the	he form nu	mher e o
			F497.	coue 1 Q, use mis una ciemem to ene n	ic joini na	
Not Used	N903	369	Free-form Des	scription	X	AN 1/45
			Free-form desc	riptive text		
Not Used	N904	373	Date		O	<b>DT 8/8</b>
			Date expressed	as CCYYMMDD		
Not Used	N905	337	Time		X	TM 4/8
			Time expressed	d in 24-hour clock time as follows: HHM	M, or HH	MMSS, or
				r HHMMSSDD, where $H = hours$ (00-23)		
			, .	r seconds (00-59) and DD = decimal seconds (00-59).		
Not Used	N906	623	are expressed a  Time Code	as follows: $D = tenths (0-9)$ and $DD = hun$	ndredths (C	10-99) ID 2/2
Not Oseu	11900	023		ag the time. In econdence with Intermetic	_	
				ng the time. In accordance with Internation tandard 8601, time can be specified by a		
				tion to Universal Time Coordinate (UTC		
				acter, + and - are substituted by P and M		
	N907	C040	Reference Idea	ntifier	O	
				e or more reference numbers or identifica	tion numbe	ers as
3.5	G0.4004	400		e Reference Qualifier	3.5	TD 4/2
M	C04001	128		ntification Qualifier	M	ID 2/3
			•	g the Reference Identification		
			PJC	Previous Report Number		
				When N901 is code 92, use this co		
M	C04002	127	Reference Idea	unique number of the report that		nenaea. AN 1/50
141	C04002	127		rmation as defined for a particular Transa		
				e Reference Identification Qualifier	iction bet	n us
Not Used	C04003	128		ntification Qualifier	X	ID 2/3
			Code qualifying	g the Reference Identification		
Not Used	C04004	127	Reference Idea		X	AN 1/50
			Reference info	rmation as defined for a particular Transa	action Set of	or as
				e Reference Identification Qualifier		
Not Used	C04005	128		ntification Qualifier	X	ID 2/3
			Code qualifying	g the Reference Identification		
Not Used	C04006	127	Reference Idea		X	AN 1/50
				rmation as defined for a particular Transa	action Set of	or as

specified by the Reference Identification Qualifier

Segment: **DTM** Date/Time Reference

**Position:** 0318

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

# **Semantic Notes:** Comments:

**Notes:** 

1. When HL03 in the 2/HL/0100 segment is code RP, use this segment to identify the filing date. If the required date is not provided, the Secretary of State's office will reject the filing of this form 497.

2. If the filer's filing officer is the Secretary of State and a late contribution was made to a city or county committee, use this segment to identify the date of the election.

	Ref.	Data			
	Des.	<b>Element</b>	Name	Attr	<u>ibutes</u>
M	DTM01	374	Date/Time Qualifier	M	ID 3/3
			Code specifying type of date or time, or both date and time		
			270 Date Filed		
			840 Election		
	DTM02	373	Date	X	<b>DT 8/8</b>
			Date expressed as CCYYMMDD		
Not Used	DTM03	337	Time	X	TM 4/8
Not Used	DTM04	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	= mii decin	nutes (00- nal seconds
Not Used	DTM05	1250	Code identifying the time. In accordance with International 3 Organization standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the <b>Date Time Period Format Qualifier</b>	Standa - and a	an indication ce + is a
			Code indicating the date format, time format, or date and tim	ie forr	nat
Not Used	DTM06	1251	Date Time Period	X	AN 1/35
			Expression of a date, a time, or range of dates, times or dates	and t	imes

Segment: MTX Text

**Position:** 0618

**Loop:** N9 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** Comments:

1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. Use this segment to provide additional text information related to the report being filed.

2. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

3. This implementation convention limits the use of this segment to one time which allows for 4096 characters of text. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>	Att	<u>ributes</u>
	MTX01	363	Note Reference Code	O	ID 3/3
			Code identifying the functional area or purpose for which the	e note	applies
			REP Report		
	MTX02	1551	Message Text	X	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX03	1551	Message Text	O	AN 1/4096
			To transmit large volumes of message text		
Not Used	MTX04	934	Printer Carriage Control Code	X	ID 2/2
			A field to be used for the control of the line feed of the receive	ving p	orinter
Not Used	MTX05	1470	Number	O	N0 1/9
			A generic number		
Not Used	MTX06	819	Language Code	O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)		

Segment: NM1 Individual or Organizational Name

**Position:** 0718

**Loop:** NM1 Optional (Must Use)

Level: Detail

**Usage:** Optional (Must Use)

Max Use: 1

Purpose: To supply the full name of an individual or organizational entity
Syntax Notes: 1 If either NM108 or NM109 is present, then the other is required.

If NM111 is present, then NM110 is required.

**Semantic Notes:** 

1 NM102 qualifies NM103.

**Comments:** 

Notes:

1 NM110 and NM111 further define the type of entity in NM101.

1. When HL03 cites code EB, use this loop to identify the filer of the form 497. There can only be 1 iteration of this segment when HL03 cites code EB.

- 2. When HL03 is code EC, use this loop as many times as necessary to identify all contributors and associated entities of contributions received.
- 3. When HL03 is code EF, use this loop as many times as necessary to identify all recipients of contributions made.
- 4. Each identified contributor, (NM101 is code EAH) and associated entities (NM101 is code 36 employer of a contributor, or code BUS business name of a self employed contributor) MUST be assigned a cross reference number in the 2/N9/1200 segment. Cite code 60 in N901 and provide the cross reference number in N902. The cross reference number of the contributor and associated entity MUST be unique and the same for each group of related entities.

Data Element Summary					
	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>		<b>Attributes</b>
M	$\overline{NM101}$	98	<b>Entity Identifier C</b>	ode	M ID 2/3
			Code identifying an individual	organizational entity, a physical location	, property or an
			36	Employer	
				<ol> <li>When NM101 is code EAH and NM use this code to indicate the employer of contributor.</li> <li>When this code is used, NM102 sho and the 2/N9/1200 segment MUST be a cross reference number.</li> </ol>	of the
			BUS	Business	
				1. When NM101 is code EAH and NM use this code to indicate the business nemployed contributor.	
				2. When this code is used, NM102 sho the 2/N9/1200 segment MUST be used cross reference number.	
			EAH	Contributor	

- 1. When HL03 is code EC, use of this code is REQUIRED to indicate a contributor.
- 2. When this code is used, NM102 may cite code 1, 2, or 6, the 2/N9/1200 segment MUST be used to provide a cross reference number, the 2/EMS/1500 segment may be used to identify the contributor's occupation, and the 2/AWD/2700 loop MUST be used to identify applicable amounts.

3. When this code is used and NM102 cites code 6, NM108/09 should be used to provide the state assigned committee identification number.

FN Filer Name

- 1. When HL03 is code EB, use of this code is REQUIRED to indicate the submitter of the data.
- When this code is used, NM102 may cite code 1 or
   6.
- 3. When this code is used and NM102 is code 6, NM108/09 MUST be used to provide the state assigned committee identification number and the 2/N9/1200 segment should be used to provide a Secretary of State assigned electronic filer identification number.

Payee

PE

- 1. When HL03 is code EF, use of this code is REQUIRED to indicate a recipient of a contribution.
- 2. When this code is used, NM102 may cite code 1 or 6, the 2/AWD/2700 loop MUST be used to identify applicable amounts.
- 3. If the contribution was made to a candidate, use the 2/LQ/2100 segment to identify the office sought or held and the jurisdiction.
- 4. If the contribution was made to a committee for a candidate, use the 2/N1/3400 segment to identify the candidate and the 2/LQ/3300 segment to identify the office sought and the jurisdiction.
- 5. If the contribution was made for a ballot measure, use the 2/N9/3100 segment to identify the ballot measure number or letter and name of the ballot measure and use the 2/LQ/3300 segment to identify the jurisdiction where the ballot measure will be voted upon.
- 6. When this code is used and NM102 cites code 6, NM108/09 should be used to provide the state assigned committee identification number.

M NM102 1065 Entity Type Qualifier M ID 1/1
Code qualifying the type of entity

Use this data element to identify the entity qualified in NM101 as an individual, a committee, or an entity other than a person or committee.

1 Person

Use this code to indicate an individual.

2 Non-Person Entity

Use this code to indicate an entity other than an individual or a committee.

6 Organization

Use this code to indicate a committee.

Must Use NM103 1035 Name Last or Organization Name

O AN 1/60

Individual last name or organizational name

- 1. Use of this data element is REQUIRED to provide the name of an entity.
- 2. When NM102 is code 1 and the person has a moniker (nickname), use the

			2/N2/0800 segment to provide the moniker.  3. When NM102 is code 2 or 6 and the name of the entity greater than 60 characters, use the 2/N2/0800 segment to place the name.		
	NM104	1036	Name First	O	AN 1/35
			Individual first name		
	NM105	1037	Name Middle	O	AN 1/25
			Individual middle name or initial		
	NM106	1038	Name Prefix	O	AN 1/10
			Prefix to individual name		
	NM107	1039	Name Suffix	O	AN 1/10
			Suffix to individual name		
	NM108	66	Identification Code Qualifier	X	ID 1/2
			Code designating the system/method of code structure used Code (67)	for Id	entification
			When NM101 is code EAH, FN, or PE and NM102 is code	e <b>6, us</b>	e this data
			<i>element to qualify the identification number in NM109.</i> 75 State or Province Assigned Number		
				DE N	M102 :a
			1. When NM101 is code EAH, FN or code 6, use this code to indicate the st		
			committee identification number.	uic us	ngneu
			2. When NM101 is code FN and NM	102 is	code 6,
			use of this code is REQUIRED if an i	dentif	ication
			number been assigned. Failure to pro		
			identification number will be cause for		
			of State's office to reject the filing of a an identification number has not yet l		
			use the 2/LQ/2100 segment to identify		
	NM109	67	Identification Code	X	AN 2/80
			Code identifying a party or other code		
			Use this data element to provide the state assigned commit number.	tee ide	entification
Not Used	NM110	706	Entity Relationship Code	X	ID 2/2
			Code describing entity relationship		
Not Used	NM111	98	Entity Identifier Code	O	ID 2/3
			Code identifying an organizational entity, a physical locatio individual	n, pro	perty or an

Segment: N2 Additional Name Information

**Position:** 0818

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 2

**Purpose:** To specify additional names

Syntax Notes: Semantic Notes: Comments:

Notes: 1. When NM102 is code 1, and the person has a moniker (nickname), use this segment

to provide the moniker.

2. When NM102 is code 2 or 6, use this segment to provide the balance of an

organization name that is greater than 60 characters.

	Ref. <u>Des.</u>	Data <u>Element</u>	<u>Name</u>	<u>Attributes</u>
M	N201	93	Name	M AN 1/60
			Free-form name	
			1. When providing a moniker, insert a space after the m numeric 1, 2, or 3.	noniker and cite the
			1 = moniker is before the first name	
			2 = moniker is between the first and middle name	
			3 = moniker is between the middle and last name	
	N202	93	Name	O AN 1/60
			Free-form name	

Segment: N3 Address Information

**Position:** 0918

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: 2

**Purpose:** To specify the location of the named party

Syntax Notes: Semantic Notes: Comments:

Notes: Use this segment to provide address information, e.g., a street number and name, a

P.O. box number, an apartment number, a room number, etc., applicable to the entity

identified in the 2/NM1/0700 segment.

	Ref.	Data		
	Des.	<b>Element</b>	<u>Name</u>	<u>Attributes</u>
M	$\overline{N301}$	166	Address Information	$\overline{M}$ AN $1/55$
			Address information	
	N302	166	<b>Address Information</b>	O AN 1/55
			Address information	

Segment: N4 Geographic Location

**Position:** 1018

**Loop:** NM1 Optional (Must Use)

Level: Detail Usage: Optional

Max Use: 1
Purpose: To specify the geographic place of the named party

Syntax Notes: 1 Only one of N402 or N407 may be present.
2 If N406 is present, then N405 is required.

3 If N407 is present, then N404 is required.

**Semantic Notes:** 

**Comments:** 1 A combination of either N401 through N404, or N405 and N406 may be adequate to

specify a location.

N402 is required only if city name (N401) is in the U.S. or Canada.

Notes: Use this segment to provide the city, state, and zip code portion of the address

applicable to the entity identified in the 2/NM1/0700 segment.

	Ref.	Data	Duta Exement Summary		
	Des.	Element	Name	Attı	ributes
	N401	19	City Name	O	AN 2/30
			Free-form text for city name		
	N402	156	State or Province Code	$\mathbf{X}$	ID 2/2
			Code (Standard State/Province) as defined by appropriate go	vernr	nent agency
Must Use	N403	116	Postal Code	O	ID 3/15
			Code defining international postal zone code excluding punc (zip code for United States)	tuatio	on and blanks
			This data element MUST be used to provide a ZIP code.		
Not Used	N404	26	Country Code	X	ID 2/3
			Code identifying the country		
Not Used	N405	309	Location Qualifier	$\mathbf{X}$	ID 1/2
			Code identifying type of location		
Not Used	N406	310	Location Identifier	O	AN 1/30
			Code which identifies a specific location		
Not Used	N407	1715	<b>Country Subdivision Code</b>	X	ID 1/3
			Code identifying the country subdivision		

Segment: PER Administrative Communications Contact

**Position:** 1118

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To identify a person or office to whom administrative communications should be directed

**Syntax Notes:** 1 If either PER03 or PER04 is present, then the other is required.

2 If either PER05 or PER06 is present, then the other is required.

3 If either PER07 or PER08 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to provide up to 3 communication numbers applicable to the entity

identified in the 2/NM1/0700 segment.

	Ref.	Data	v		
	Des.	<b>Element</b>	<u>Name</u>		<u>ibutes</u>
M	PER01	366	Contact Function Code		ID 2/2
			Code identifying the major duty or responsibility of the perso	n or	group named
			Use this data element to qualify the party associated with th		
			numbers provided in the following data elements. Use only	the l	isted code.
	DED 0.4	0.2	IC Information Contact	_	137.4760
Not Used	PER02	93	Name	0	AN 1/60
			Free-form name		
	PER03	365	Communication Number Qualifier	X	ID 2/2
			Code identifying the type of communication number		
			TE Telephone		
	PER04	364	Communication Number	X	AN 1/256
			Complete communications number including country or area applicable	code	when
			The telephone number shall be transmitted as a continuous	num	ber. Include
			the area code and extension, if applicable. Concatenate the		
			extension at the end of the number, e.g., 7035551212X123.		
	PER05	365	Communication Number Qualifier	X	ID 2/2
			Code identifying the type of communication number		
			EM Electronic Mail		
			Use to indicate an e-mail address.		
	PER06	364	Communication Number	X	AN 1/256
			Complete communications number including country or area applicable	code	when
	PER07	365	Communication Number Qualifier	$\mathbf{X}$	ID 2/2
			Code identifying the type of communication number		
			FX Facsimile		
	PER08	364	Communication Number	$\mathbf{X}$	AN 1/256
			Complete communications number including country or area applicable	code	when
Not Used	PER09	443	Contact Inquiry Reference	O	AN 1/20
			Additional reference number or description to clarify a contact	et nui	mber

Segment: N9 Reference Identification

**Position:** 1218

**Loop:** NM1 Optional (Must Use)

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

- 1. When NM101 in the 2/NM1/0700 segment is code 36, BUS, or EAH, use of this segment is REQUIRED to provide a cross reference number that associates one entity to another. The cross reference MUST be unique and the same for each group of related entities. This same cross reference number should be assigned to the entity on all forms and schedules and when submitting an amendment.
- 2. When NM101 is code FN, use this segment to provide the Secretary of State assigned electronic filer identification number.

	Ref.	Data		·		
	Des.	<b>Element</b>	<u>Name</u>			<u>ributes</u>
M	N901	128		tification Qualifier	M	ID 2/3
			Code qualifying	the Reference Identification		
			1W	Member Identification Number		
			6O	Use this code to indicate the Secretary assigned electronic filer identification Cross Reference Number		
				Use this code to indicate a cross reference is used to associate entities identified to 2/NM1/0700 segment.		umber that
	N902	127	Reference Iden	tification	X	AN 1/50
			specified by the	mation as defined for a particular Transactio Reference Identification Qualifier	n Set o	
Not Used	N903	369	Free-form Desc	cription	X	AN 1/45
			Free-form descr	iptive text		
Not Used	N904	373	Date		O	<b>DT 8/8</b>
			Date expressed	as CCYYMMDD		
Not Used	N905	337	Time		$\mathbf{X}$	TM 4/8
Not Used	N906	623	HHMMSSD, or $59$ ), $S = integer$	in 24-hour clock time as follows: HHMM, of HHMMSSDD, where H = hours (00-23), M seconds (00-59) and DD = decimal seconds follows: D = tenths (0-9) and DD = hundre	I = min; decir	nutes (00- nal seconds
			Organization sta	g the time. In accordance with International andard 8601, time can be specified by a + or ion to Universal Time Coordinate (UTC) ting eter, + and - are substituted by P and M in the	- and ne; sin	an indication ce + is a
Not Used	N907	C040	Reference Iden	· · · · · · · · · · · · · · · · · · ·	O	
Not Hand	C04001	120	specified by the	or more reference numbers or identification Reference Qualifier		
Not Used	C04001	128		tification Qualifier	M	ID 2/3
			Code quantying	the Reference Identification		

Not Used	C04002	127	Reference Identification	$\mathbf{M}$	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	n Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	on Set	or as

EMS Employment Position **Segment: Position:** 1518 Loop: NM1 Optional (Must Use) Level: Detail Usage: Optional Max Use: >1 **Purpose:** To describe employment position If either EMS05 or EMS06 is present, then the other is required. **Syntax Notes:** If either EMS07 or EMS08 is present, then the other is required. **Semantic Notes:** 1 EMS01 describes the employee's title or position in text. 2 EMS02 defines the employment position relationship. 3 EMS03 defines the occupation category. 4 EMS04 defines the status of employment. 5 EMS05 identifies EMS06 as pay grade. EMS06 defines pay grade for employee, if available. **Comments:** When NM101 in the 2/NM1/0700 segment is code EAH and NM102 is code 1, use of **Notes:** this segment is REQUIRED to identify the occupation of the contributor. **Data Element Summary** 

	Ref.	Data	Data Element Summary		
	Des.	Element	Name		ributes
	EMS01	352	Description	O	AN 1/80
			A free-form description to clarify the related data elements a	ınd th	eir content
			1. Use this data element to identify the occupation of the c		ŕ
			2. This data element is limited to 60 characters. exceeding the risk of the Secretary of State's office not receiving all of		
Not Used	EMS02	1176	<b>Employment Class Code</b>	O	ID 2/3
			Code indicating category of employee		
Not Used	EMS03	1149	Occupation Code	O	ID 4/6
			Code identifying the occupation of an individual		
Not Used	EMS04	584	<b>Employment Status Code</b>	O	ID 2/2
			Code showing the general employment status of an employe	e/clai	mant
Not Used	EMS05	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	EMS06	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	or as
Not Used	<b>EMS07</b>	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transactio specified by the Reference Identification Qualifier	n Set	or as
Not Used	EMS08	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3

Segment: LQ Industry Code

**Position:** 2118

Loop: LQ Optional

Level: Detail Usage: Optional

Max Use: 1

Purpose: Code to transmit standard industry codesSyntax Notes: 1 If LQ01 is present, then LQ02 is required.

Semantic Notes: Comments:

**Notes:** 

- 1. When NM101 in the 2/NM1/0700 segment is code FN, if applicable, this segment should be used to identify that a state assigned identification number HAS NOT been received.
- 2. When NM101 is code PE, and the contribution was made to a candidate, this segment is used to identify the office sought/held, whether the candidate is supported or opposed, and the jurisdiction of the candidate.
- 3. The office sought, jurisdiction, support or oppose indicators, and an indication that a state assigned identification number has not been received are identified by codes contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 4. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

Ref.	Data			
Des.	<b>Element</b>	<u>Name</u>	Attr	<u>ributes</u>
$\overline{\text{LQ0}}$ 1	1270	Code List Qualifier Code	0	ID 1/3
		Code identifying a specific industry code list		
		Use this data element to identify the code list that contains in LQ02.	the co	ode specified
		EAF California Campaign Disclosure Report	Code	es
LQ02	1271	<b>Industry Code</b>	X	AN 1/30
		Code indicating a code from a specific industry code list		
		<ol> <li>When NM101 is code FN, enter a code from section 04 of Campaign Disclosure Code List.</li> <li>When NM101 is code PE and the contribution was made enter codes from sections 03 and/or 08 of the California Ca Disclosure Code List.</li> <li>When code 03-OTH is cited in this data element, use the account to identify the efficiency in text.</li> </ol>	e to a mpai	candidate, gn
		segment to identify the office, in text.  4. When code 08-CIT, 08-CTY, 08-LOC, or 08-OTH is cite	d in t	his data
		element, use the 2/MTX/2600 segment to identify the city. c other jurisdiction, in text.		
		5. When code 08-SEN, 08-ASM, or 08-BOE is cited in this the 2/N9/2200 segment to identify the district.	data	element, use

Segment: N9 Reference Identification

**Position:** 2218

Loop: LQ Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

**Comments:** 

**Notes:** 

When LQ02 in the 2/LQ/2100 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district

within the jurisdiction.

			Data Element Summary				
	Ref.	Data	N				
3.5	Des.	<u>Element</u>	Name		ributes		
M	N901	128	Reference Identification Qualifier	M	ID 2/3		
			Code qualifying the Reference Identification				
			Use this data element to qualify the code cited in N902.				
			DIS District Number				
			Use this code to indicate the district sought or the ballot measure.	ct of the o	ffice		
	N902	127	Reference Identification	X	AN 1/50		
			Reference information as defined for a particular Transa specified by the Reference Identification Qualifier	ction Set	or as		
Not Used	N903	369	Free-form Description	X	AN 1/45		
			Free-form descriptive text				
Not Used	N904	373	Date	O	<b>DT 8/8</b>		
			Date expressed as CCYYMMDD				
Not Used	N905	337	Time	X	TM 4/8		
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where $H = hours (00-23)$ , $M = minutes (00-59)$ , $S = integer seconds (00-59)$ and $DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)  Time Code  O ID 2/2$				
			Code identifying the time. In accordance with Internatio Organization standard 8601, time can be specified by a in hours in relation to Universal Time Coordinate (UTC) restricted character, + and - are substituted by P and M is	+ or - and ) time; sin	an indication ce + is a		
Not Used	N907	C040	Reference Identifier	O			
			To identify one or more reference numbers or identificat specified by the Reference Qualifier				
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3		
			Code qualifying the Reference Identification				
Not Used	C04002	127	Reference Identification	M	AN 1/50		
Ni-4 II J	C04002	120	Reference information as defined for a particular Transa specified by the Reference Identification Qualifier				
Not Used	C04003	128	Reference Identification Qualifier Code qualifying the Reference Identification	X	ID 2/3		
Not Used	C04004	127	Reference Identification	x	AN 1/50		
Tior Osca	CU-100-1	141	Reference information as defined for a particular Transa				
			specified by the Reference Identification Qualifier	chon Set (	or as		
113CA460 ((	004021)		391	Sept	tember 6, 1999		
				_	•		

Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	Set	or as

Segment: MTX Text

**Position:** 2618

**Loop:** LQ Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

**Semantic Notes:** 1 MTX05 is the number of lines to advance before printing.

**Comments:** 1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. When LQ02 in the 2/LQ/2100 segment cites code 03-OTH, use this segment to identify the office sought.

2. When LQ02 cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city. county, local or other jurisdiction.

3. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.

4. This implementation convention limits the use of this segment to a maximum of one time per LQ loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data				
	Des.	<b>Element</b>	<u>Name</u>		Attı	<u>ributes</u>
	MTX01	363	Note Reference	Code	O	ID 3/3
			Code identifying	the functional area or purpose for which the	e note	applies
			EAM	Jurisdiction		
			EAQ	Description of Position		
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit large	e volumes of message text		
				nt is limited to a maximum of 40 characters k of the data not being received by the Sect		
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit large	e volumes of message text		
Not Used	MTX04	934	<b>Printer Carriag</b>	e Control Code	X	ID 2/2
			A field to be use	d for the control of the line feed of the receive	ving p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic number	er		
Not Used	MTX06	819	Language Code		O	ID 2/3
				g the language used in text, from a standard e International Standards Organization (ISO		

Segment: AWD Amount with Description

**Position:** 2718

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: 1

**Purpose:** To specify a code and/or description with an associated amount

Syntax Notes: 1 Only one of AWD02 or AWD03 may be present.

2 At least one of AWD02 or AWD03 is required.

**Semantic Notes:** Comments:

**Notes:** 

1 AWD03 is used when information source provides non-numeric values.

When NM101 is code EAH or PE, use this segment to provide amounts applicable to a contribution. Each monetary transaction MUST be assigned a PERMANENT and UNIQUE monetary transaction identifier in the 2/N9/3100 segment by citing code TN in N901. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction and MUST be consistently used on all schedules and amendments for the same monetary transaction.

2. This segment is used to identify a monetary amount in AWD02 and to qualify the amount by means of a code cited in the composite data element AWD01. The following should be used in AWD01 to qualify the amount:

Amount:

AWD01-01 code 93

	Ref.	Data		ment Summary		•
M	<u>Des.</u> AWD01	Element C007	Name Amount Qualify	ing Description	Att	<u>ributes</u>
IVI	AWDUI	C007	To qualify an am	Amount Qualifying Description		
				ount te data element to provide multiple qualifi	os the	it identify the
			amount cited in		es mu	u wenngy ine
M	C00701	522	Amount Qualific		M	ID 1/3
			Code to qualify a	mount		
			93	Contribution		
				Use this code to indicate a contribution received or made.	n amo	ount, either
Not Used	C00702	522	Amount Qualific	er Code	O	ID 1/3
			Code to qualify a	mount		
Not Used	C00703	1638	Value Detail Co	de	O	<b>ID</b> 1/1
			Code identifying	extent of an amount or quantity		
Not Used	C00704	935	Measurement Si	gnificance Code	O	ID 2/2
			Code used to ben	chmark, qualify or further define a measure	ement	value
Not Used	C00705	344	Unit of Time Per	riod or Interval	O	ID 2/2
			Code indicating t	he time period or interval		
Not Used	C00706	1637	Net/Gross Code		O	<b>ID</b> 1/1
			Code indicating v	whether monetary values are net or gross		
Not Used	C00707	935	Measurement Si	gnificance Code	O	ID 2/2
			Code used to ben	chmark, qualify or further define a measure	ement	value
Not Used	C00708	352	Description		O	AN 1/80
			A free-form desc	ription to clarify the related data elements a	nd th	eir content
Not Used	C00709	1271	<b>Industry Code</b>		O	AN 1/30
			Code indicating a	a code from a specific industry code list		
	AWD02	782	Monetary Amou	ınt	X	R 1/18
			Monetary amoun	t		

			Use this segment to identify the monetary amount associated with the qualifier codes cited in the AWD01 composite data element.				
Not Used	AWD03	61	Free-Form Message	X	AN 1/30		
			Free-form information				
Not Used	AWD04	100	Currency Code	O	ID 3/3		
			Code (Standard ISO) for country in whose currency the char	ges a	re specified		

Segment: DTM Date/Time Reference

**Position:** 2818

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify pertinent dates and times

**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.

2 If DTM04 is present, then DTM03 is required.

3 If either DTM05 or DTM06 is present, then the other is required.

**Semantic Notes:** Comments:

Notes: Use this segment to identify the receipt date or payment date of the contribution.

M	Ref. <u>Des.</u> DTM01	Data Element 374	Name Date/Time Qualifie	r		ributes ID 3/3
			Code specifying type	e of date or time, or both date and time		
			050	Received		
				When NM101 in the 2/NM1/0700 segne EAH, use this code to indicate the rece contribution.		
			666	Date Paid		
				When NM101 in the 2/NM1/0700 segmuse this code to indicate the date the comade.		
	DTM02	373	Date		X	DT 8/8
			Date expressed as C	CYYMMDD		
			Use this data elemen	nt to identify the date of the contribution	n.	
Not Used	DTM03	337	Time		X	TM 4/8
Not Used	DTM04	623	HHMMSSD, or HH 59), S = integer seco	4-hour clock time as follows: HHMM, o MMSSDD, where H = hours (00-23), M ands (00-59) and DD = decimal seconds; ows: D = tenths (0-9) and DD = hundred	= mi decir	nutes (00- nal seconds
			Code identifying the	e time. In accordance with International S	Standa	ards
			in hours in relation t	rd 8601, time can be specified by a + or or or Universal Time Coordinate (UTC) tim + and - are substituted by P and M in the	e; sin	ce + is a
Not Used	DTM05	1250	Date Time Period I	•	X	ID 2/3
			· ·	date format, time format, or date and time	e fori	mat
Not Used	DTM06	1251	Date Time Period		X	AN 1/35
			Expression of a date	, a time, or range of dates, times or dates	and t	times

Segment: N9 Reference Identification

**Position:** 3118

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To transmit identifying information as specified by the Reference Identification Qualifier

**Syntax Notes:** 1 At least one of N902 or N903 is required.

- 2 If N906 is present, then N905 is required.
- 3 If either C04003 or C04004 is present, then the other is required.
- 4 If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** 1 N906 reflects the time zone which the time reflects.

2 N907 contains data relating to the value cited in N902.

#### **Comments:**

**Notes:** 

- 1. When NM101 in the 2/NM1/0700 segment is code EAH or PE, use of this segment is REQUIRED to provide a PERMANENT and UNIQUE monetary transaction identifier applicable to amounts associated with a contributor or a recipient of a contribution. This monetary transaction identifier MUST be the same for all amounts within the same monetary transaction associated with the contributor or recipient of a contribution. This same monetary transaction identifier MUST be used in all schedules where the monetary transaction is provided and in amendments to this data.
- 2. The Secretary of State's office will reject the filing of the form 497 if this transaction identifier is not a provided.
- 3. When NM101 is code PE and the contribution was made for a ballot measure, use this segment to identify the ballot measure number or letter and its name.
- 4. When LQ02 in the 2/LQ/3300 segment cites code 08-SEN, 08-ASM, or 08-BOE from the California Campaign Disclosure Code List, use this segment to identify the district within the jurisdiction.

#### **Data Element Summary**

			Data	Dicincia Summary	
	Ref.	Data			
	Des.	<b>Element</b>	<u>Name</u>		<u>Attributes</u>
M	N901	128	Reference Ide	entification Qualifier	$\mathbf{M} \mathbf{ID}\ \mathbf{2/3}$
			Code qualifyir	ng the Reference Identification	
			DIS	District Number	
			ECB	Ballot Identifier	
			TN	Use this code to indicate the ballot reletter. Specify the ballot measure not Transaction Reference Number	
				Use this code to indicate a monetary identifier applicable to an amount. monetary transaction identifier MU ALL amounts associated with an enmonetary transaction identifier MU schedules where the monetary transand in amendments.	The same ST be assigned to atity. This same ST be used in all
	N902	127	Reference Ide	entification	X AN 1/50
		• • •	specified by th	ormation as defined for a particular Transact ne Reference Identification Qualifier	
	N903	369	Free-form De	escription	X AN 1/45
			Free-form des	criptive text	
				s code ECB, use this data element to identij e. Abbreviate if necessary.	fy the name of the
Not Used	N904	373	Date		O DT 8/8

Date expressed as CCYYMMDD

Not Used	N905	337	Time	X	TM 4/8
Not Used	N906	623	Time expressed in 24-hour clock time as follows: HHMM, of HHMMSSD, or HHMMSSDD, where H = hours (00-23), M 59), S = integer seconds (00-59) and DD = decimal seconds; are expressed as follows: D = tenths (0-9) and DD = hundred <b>Time Code</b>	= mi decir	nutes (00- nal seconds
			Code identifying the time. In accordance with International Standard 8601, time can be specified by a + or in hours in relation to Universal Time Coordinate (UTC) time restricted character, + and - are substituted by P and M in the	- and e; sin	an indication ce + is a
Not Used	N907	C040	Reference Identifier	O	
			To identify one or more reference numbers or identification a specified by the Reference Qualifier	numb	ers as
Not Used	C04001	128	Reference Identification Qualifier	M	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04002	127	Reference Identification	M	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as
Not Used	C04003	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04004	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as
Not Used	C04005	128	Reference Identification Qualifier	X	ID 2/3
			Code qualifying the Reference Identification		
Not Used	C04006	127	Reference Identification	X	AN 1/50
			Reference information as defined for a particular Transaction specified by the Reference Identification Qualifier	ı Set	or as

Segment: LQ Industry Code

**Position:** 3318

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

Purpose: Code to transmit standard industry codes
Syntax Notes: 1 If LQ01 is present, then LQ02 is required.
Semantic Notes:

Comments: Notes:

- 1. When NM101 in the 2/NM1/0700 segment is code PE, and the contribution was made for a ballot measure, this segment is used to identify the jurisdiction in which the ballot measure will be voted upon and whether it was supported or opposed.
- 2. When NM101 is code PE, and the contribution was made for a candidate, this segment is used to identify the office sought/held, whether the candidate is supported or opposed, and the jurisdiction of the candidate.
- 3. The jurisdiction and support or oppose indicators are identified by codes contained in the California Election Campaign Disclosure Code List which is maintained by the Office of the Secretary of State.
- 4. All codes contained in the California Election Campaign Disclosure Code List have the following structure: Two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

### **Data Element Summary**

		Data Element Summary					
Ref.	Data						
Des.	<b>Element</b>	<u>Name</u>	Attr	<u>ributes</u>			
LQ01	1270	Code List Qualifier Code	O	ID 1/3			
		Code identifying a specific industry code list					
		EAF California Campaign Disclosure Repor	t Code	es			
LQ02	1271	Industry Code	X	AN 1/30			
		Code indicating a code from a specific industry code list					
		<ol> <li>When NM101 in the 2/NM1/0700 segment is code PE and the contribution was made for a ballot measure, use this data element to cite a code from section 04 and 08 of the California Campaign Disclosure Code List.</li> <li>When NM101 is code PE and the contribution was made for a candidate, enter codes from sections 03 and/or 08 of the California Campaign Disclosure Code List.</li> <li>When code 03-OTH is cited in this data element, use the 2/MTX/2600 segment to identify the office, in text.</li> <li>When 08-CIT, 08-CTY, 08-LOC, or 08-OTH is cited in this data element,</li> </ol>					
		use the 2/MTX/3500 segment to identify the city, county, lo jurisdiction, in text.  5. When code 08-SEN, 08-ASM, 08-BOE is cited in this do	ŕ				

the 2/N9/3100 segment to identify the district.

Segment: N1 Name

**Position:** 3418

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To identify a party by type of organization, name, and code

**Syntax Notes:** 1 At least one of N102 or N103 is required.

If either N103 or N104 is present, then the other is required.

**Semantic Notes:** Comments:

1 This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.

N105 and N106 further define the type of entity in N101.

Notes: When NM101 in the 2/NM1/0700 segment is code PE and the contribution was made

for a candidate, use this data element to identify the candidate.

	Ref.	Data	Data Element Summary			
	Des.	<b>Element</b>	<u>Name</u>		<b>Attributes</b>	
M	N101	98	<b>Entity Identifier Code</b>	M	ID 2/3	
			Code identifying an organizational entity, a physical location individual VB Candidate	, proj	perty or an	
Must Use	N102	93	Name	X	AN 1/60	
			Free-form name			
Not Used	N103	66	Identification Code Qualifier	X	ID 1/2	
			Code designating the system/method of code structure used for Code (67)	or Ide	entification	
Not Used	N104	67	<b>Identification Code</b>	X	AN 2/80	
			Code identifying a party or other code			
Not Used	N105	706	Entity Relationship Code	O	ID 2/2	
			Code describing entity relationship			
Not Used	N106	98	Entity Identifier Code	O	ID 2/3	
			Code identifying an organizational entity, a physical location individual	, prop	perty or an	

Segment: MTX Text

**Position:** 3518

Loop: AWD Optional

Level: Detail
Usage: Optional
Max Use: >1

**Purpose:** To specify textual data

**Syntax Notes:** 1 If MTX01 is present, then MTX02 is required.

- 2 If MTX03 is present, then MTX02 is required.
- 3 If MTX05 is present, then MTX04 is required.

Semantic Notes: Comments: 1 MTX05 is the number of lines to advance before printing.

1 If MTX04 is "AA - Advance the specific number of lines before print", then MTX05

is required.

Notes: 1. When LQ02 in the 2/LQ/2100 segment cites code 03-OTH, use this segment to

identify the office sought.

2. When LQ02 cites code 08-CIT, 08-CTY, 08-LOC, or 08-OTH, use this segment to identify the city. county, local or other jurisdiction.

- 3. Use this segment also to provide additional text information related to the amount cited in the 2/AWD/2700 segment.
- 4. The use of text is discouraged if the information can be provided in a codified manner elsewhere within this transaction set.
- 5. This implementation convention limits the use of this segment to one time for each code listed in MTX01 per AWD loop. Additional repetitions of this segment run the risk of data not being received by the Secretary of State's office.

	Ref.	Data	Data Elei	ment Summary		
	Des.	<b>Element</b>	<u>Name</u>		<b>Attributes</b>	
	MTX01	363	Note Reference Code		O	ID 3/3
			Code identifying the functional area or purpose for which the			applies
			ADD	Additional Information		
			EAM	Jurisdiction		
			EAQ	Description of Position		
	MTX02	1551	Message Text		X	AN 1/4096
			To transmit large volumes of message text			
				is code EAM or EAQ, this data element in Eding this limit runs the risk of the data no of State's office.		
Not Used	MTX03	1551	Message Text		O	AN 1/4096
			To transmit large	volumes of message text		
Not Used	MTX04	934	Printer Carriage	Control Code	X	ID 2/2
			A field to be used	for the control of the line feed of the recei	ving p	orinter
Not Used	MTX05	1470	Number		O	N0 1/9
			A generic number			
Not Used	MTX06	819	Language Code		O	ID 2/3
			Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)			

Segment: **SE** Transaction Set Trailer

**Position:** 3600

Loop:

Level: Detail Usage: Mandatory

Max Use:

**Purpose:** To indicate the end of the transaction set and provide the count of the transmitted

segments (including the beginning (ST) and ending (SE) segments)

Syntax Notes: Semantic Notes:

**Comments:** 

1 SE is the last segment of each transaction set.

M	Ref. <u>Des.</u> SE01	Data <u>Element</u> 96	Name Number of Included Segments	Attr M	<u>ributes</u> N0 1/10		
	2201		Total number of segments included in a transaction set including ST and SE segments				
	This data element identifies the total number of segme transaction set being transmitted. This number include and SE (trailer) segments						
M	SE02	329	<b>Transaction Set Control Number</b>	M	AN 4/9		
			Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set  This data element MUST cite the same number as is cited in ST02 of the				
			1/ST/0100 segment.		, in the second		